

Laboratory confirmation of C_{60}^+ as carrier of two diffuse interstellar bands

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1 In 1994 two diffuse interstellar bands (DIBs) at 9632 and 9577 Å were detected and proposed
2 by Foing & Ehrenfreund ¹ to be the absorption features of C_{60}^+ . This was based on the prox-
3 imity of these wavelengths to the two prominent absorption bands of C_{60}^+ measured in a neon
4 matrix ². Subsequent astronomical measurements ^{3,4} provided evidence for the interstellar
5 nature of the 9632 and 9577 Å bands, as well as their recent detection in protoplanetary neb-
6 ulae ⁵. Confirmation of the assignment required the gas phase spectrum of C_{60}^+ , which has
7 taken 20 years. The approach which has succeeded to obtain these data confines C_{60}^+ ions in
8 a 22-pole radiofrequency trap, cool them by collisions with high density helium allowing *in*
9 *situ* synthesis of $C_{60}^+ - He$ at 5.8 K. The photofragmentation spectrum of the $C_{60}^+ - He$ com-
10 plex is then recorded using a cw diode laser. In order to infer the position of the absorption
11 features of the bare C_{60}^+ ion, measurements on $C_{60}^+ - He_2$ were also made. These show that
12 the presence of the additional helium atom shifts the absorptions by less than 0.2 Å, much
13 less than the accuracy of the astronomical measurements. The two absorption features in
14 the laboratory have band maxima at 9632.7(1) and 9577.5(1) Å, with FWHM of 2.2(2) and

15 **2.5(2) Å at 5.8 K, comparable with the DIBs. Collective agreement of their positions, widths**
16 **and relative intensities derived from our gas phase measurements leads to the first definite**
17 **identification of two bands among the several hundred DIBs features known** ⁶.

18 The DIBs are absorptions seen towards reddened stars and were recognized as such around
19 a hundred years ago ⁷. They possess different intensities (equivalent width, EW), band widths
20 (FWHM ranging from 0.2 to several Å) and sometimes show asymmetry or even features typical of
21 unresolved rotational profiles of polyatomic molecules. Though a number of hypotheses have been
22 put forward as to the carriers ⁶, no definite identification could be made. Excluded from this list
23 are the di- and triatomic species detected in diffuse interstellar clouds, e.g. CH⁺, CN, C₂, C₃ and
24 H₃⁺. The presence of smaller polyatomic molecules is also indicated from microwave detections in
25 similar environments, e.g. c-C₃H₂ ⁸. Significant experimental progress came about in the last two
26 decades because it became possible to measure in the gas phase at low temperatures (10 – 80 K)
27 electronic spectra of carbon chains and ions ⁹ as well as of a few polycyclic aromatic cations with
28 absorptions in the DIB range ⁶. None of these unambiguously match the DIB absorptions.

29 Shortly after the discovery of C₆₀ ¹⁰, the question of its relevance to the diffuse interstellar
30 bands was raised ¹¹. It was soon apparent that neutral C₆₀ did not have appropriate absorptions in
31 the DIB range ¹². However it was pointed out by Jura and Kroto ¹³ that due to its low ionization
32 potential (7.61 eV), in the diffuse interstellar clouds C₆₀ would be present mainly as C₆₀⁺ and hence
33 a possible DIB carrier. In the latter work charge transfer complexes of (C₆₀ – M)⁺ were also
34 considered and proposed as further candidates. With the discovery of C₆₀ in planetary ¹⁴ and

35 reflection nebula ¹⁵, interest in C_{60}^+ has reawakened.

36 In 1994 two DIBs were discovered at 9577 and 9632 Å ¹. Their proximity in wavelength to
37 two absorptions bands of C_{60}^+ measured in a neon matrix ² was pointed out and assignment to this
38 cation proposed. The 9632 Å DIB would correspond to the origin band of the ${}^2E - {}^2A_u$ electronic
39 transition in D_{5d} symmetry which is lower than the I_h symmetry of neutral C_{60} , because of a Jahn-
40 Teller distortion as indicated by theoretical studies ¹⁶. Further observations of the 9577 and 9632 Å
41 DIBs followed, confirming their interstellar nature, though some variation in the relative EWs and
42 minor differences in wavelengths were manifested ^{3,4,17}. The most recent has been the detection
43 of these two absorptions in a protoplanetary nebula ⁵, where C_{60} itself has been identified by its IR
44 vibrational transitions.

45 All the astronomical studies concluded that decision on the assignment has to await the gas-
46 phase measurement of the C_{60}^+ absorptions at low temperatures. The criteria are well defined: band
47 positions, widths and relative intensities ¹⁸. Some doubt on this proposition was cast based on the
48 lack of observation of two higher energy C_{60}^+ absorptions ² in the interstellar medium ⁴. In this
49 letter, laboratory gas phase measurements are reported and shown to satisfy the aforementioned
50 criteria. The results presented here prove that indeed the 9577 and 9632 Å DIBs are due to C_{60}^+ .

51 In the past years we built an apparatus in order to measure the electronic spectra of cations
52 of astrophysical interest at temperatures typical of the interstellar medium ¹⁹. The central part
53 of the instrument is a cryogenic 22-pole radiofrequency trap ²⁰ in which ions are confined and
54 undergo collisions with cold, $T = 5$ K, and very dense, $[He] = 4 \times 10^{15} \text{ cm}^{-3}$, helium. In the

55 case of smaller polyatomic cations we could show spectroscopically there are a sufficient number
56 of collisions to ensure that the rotational and vibrational temperatures are equilibrated ²¹. Under
57 these conditions it became possible to synthesize *in situ* helium complexes of mass-selected ions
58 *via* ternary association ²².

59 These experimental advances allowed us to obtain the electronic spectrum of $C_{60}^+ - He$ by
60 one photon excitation followed by the loss of the He atom. “Tagging” methods have been used for
61 decades in spectroscopy of ions mainly in the IR ²³ using rare gases, but also in the visible with
62 helium attachment ²⁴. Due to the low binding energies of weakly bound complexes like $C_{60}^+ - He$,
63 temperatures below 8 K are required.

64 The measured spectra, presented in Figure 1, are in outstanding agreement with the two
65 DIBs at 9632 and 9577 Å. As expected from the weak interaction of the helium, measurement of
66 the corresponding absorption for $C_{60}^+ - He_2$ (Extended Data Figure 1) indicates that the shift on
67 the electronic transition is less than 0.2 Å.

68 The best fits to our data with a single function have been obtained using a Gaussian, rather
69 than a Lorentzian. The band maxima are at 9632.7(1) and 9577.5(1) Å. The authors of ref ⁴ give
70 9632.6(2) and 9577.4(2) Å as the rest wavelength after careful correction for stellar lines. The
71 extracted wavelengths towards different stars, given by refs. ^{3,4,17}, are nearly all within 1 Å of
72 those given above. Agreement with our laboratory data is remarkable; the systematic errors in the
73 DIB measurements are larger. It should also be noted that several clouds are sampled along the
74 line of sight.

75 Further support for the DIB assignment comes by comparison with the experimental FWHM.
76 The values of the two interstellar bands are reported as $3.0(2) \text{ \AA}^4$. Our laboratory results lead to
77 $2.2(2)$ and $2.5(2) \text{ \AA}$ at $9632.7(1)$ and $9577.5(1) \text{ \AA}$, respectively. The FWHM of the C_{60}^+ rotational
78 profile at 5.8 K is around 1 \AA^{25} . The lines are therefore broadened by internal conversion, indicating
79 a lifetime of 2 ps in the excited electronic state. The somewhat larger FWHM of the DIBs is
80 expected because of the higher rotational temperature in the diffuse clouds. Temperatures of non-
81 polar molecules are higher than the 5.8 K in our measurements, for example H_3^+ ²⁶ and C_3 ²⁷
82 lie in the $30 - 80 \text{ K}$ range. FWHM values for the 9632 and 9577 \AA bands recently detected in
83 a protoplanetary nebula are reported as $2.0(3)$ and $2.3(3) \text{ \AA}^5$, comparable to our gas phase data
84 (Fig. 1).

85 The relative cross-sections of the $9632.7(1)$ and $9577.5(1) \text{ \AA}$ laboratory absorptions have
86 been determined (Methods, Extended Data Figure 2) to be about equal, in accord with the EW
87 of the DIBs. The latter vary somewhat in the published articles^{3,4,17}, and the 9632 \AA DIB is
88 partially blended with a MgII line, but the consensus appears to be that they are comparable or
89 perhaps the EW of the 9577 \AA DIB is a bit larger. In the study of the absorption spectrum in the
90 matrix², it was not clear what these two transitions were due to. The current experiments clarify
91 this: irradiation at either 9632.7 or 9577.5 \AA leads to near complete attenuation in the number
92 of complexes. This indicates that the two transitions arise from a single structural isomer. The
93 implications are that both transitions originate from the $v'' = 0$ level of the D_{5d} structure (${}^2\text{A}_{1u}$
94 for C_{60}^+ in D_{5d} symmetry) either to two excited electronic states separated by ca. 55 \AA , suggested
95 on basis of magnetic circular dichroism measurements in argon matrices, or to the two spin-orbit

96 components of the upper state, 2E_g in D_{5d} ^{28,29}.

97 According to the absorption spectrum in the neon matrix², the next bands to higher energy
98 are less than a factor of 4 – 5 in intensity. A search for DIBs at 9366 and 9419 Å was reported in
99 refs.⁴ and¹⁷. In this region we have observed bands in the gas phase at 9365.9(1) and 9428.5(1) Å,
100 as shown in Figure 1. From the result that a DIB at 9366 Å was not detected, the former study put
101 an upper limit of 16 % of the 9577 Å band intensity. This is consistent with our measured relative
102 cross-section of around 20 %. The other band was looked for in interstellar clouds at 9419 Å but not
103 found. However, the absorption is at 9428.5(1) Å in the gas phase according to our measurement.
104 In ref⁴ both an ‘unidentified’ emission feature at 9429 Å and a ‘depression’ at 9428 Å are reported.
105 Astronomical measurements in this region are difficult because of stellar lines.

106 Gas phase laboratory measurements at 5.8 K are in remarkable agreement with two DIBs
107 proposed by Foing & Ehrenfreund¹ to be the result of absorption features of C_{60}^+ . Our conclusion
108 is based not only on wavelength but also on FWHM and relative intensity of these electronic
109 transitions in the gas phase. This constitutes a breakthrough in the DIB mystery, lasting a century,
110 providing the first unambiguous molecule identification.

111 **Methods**

112 The use of cryogenic traps for astrophysics and spectroscopy is well documented in the literature²⁰.

113 **Experimental procedure** C_{60}^+ was produced by 50 eV electron impact of the neutral gas at 10^{-4} mbar.

114 After passing through a quadrupole mass filter, operated here in the transmission mode, the inter-

115 nally hot ions are turned through 90 degrees using an electrostatic quadrupole bender and injected
 116 into a 22-pole radiofrequency (amplitude, $V_0 = 160$ V, frequency, $f = 4.85$ MHz) ion trap. The
 117 use of the bender allowed separation of neutrals and ions. The trap is mounted onto the second
 118 stage of a closed cycle cryostat (Sumitomo, RDK-205E). Trapping is achieved by pulsing the po-
 119 tential of the entrance and exit electrodes and the trap contents are analysed using a quadrupole
 120 mass spectrometer and a Daly detector. Experiments are performed at a repetition rate of 1 Hz.

121 The internal degrees of freedom of the ions are cooled *via* inelastic collisions with helium
 122 buffer gas which is in equilibrium with the temperature of the trap walls (nominal temperature,
 123 $T_{nom} = 5$ K). The $C_{60}^+ - He$ ions are rotationally cold because the internal temperature of the ion
 124 is given by the mass weighted average of the translational temperature of the ions and the buffer
 125 gas,

$$T_{rot} = (m_1 T_2 + m_2 T_1) / (m_1 + m_2), \quad (1)$$

126 where m_1 , T_1 and m_2 , T_2 are the mass and translational temperature of the ions and buffer gas,
 127 respectively. For heavy $C_{60}^+ - He$ stored in cold helium, a translational temperature of 150 K for
 128 the ions still leads to a rotational temperature $T_{rot} = 5.8$ K.

129 Around $10^5 C_{60}^+ cm^{-3}$ per filling are loaded into the trap by lowering the potential of the
 130 entrance electrode for 200 ms. Here they interact with high number density helium buffer gas,
 131 $[He] = 4 \times 10^{15} cm^{-3}$, for 500 ms. The helium is introduced by resonantly exciting a piezo valve
 132 with 4.5 V in amplitude at a frequency of 3.8 kHz. In the trap $C_{60}^+ - He$ complexes are formed
 133 *via* ternary association. Due to extremely slow cooling of all vibrational modes to their ground
 134 states, attachment of He to primary ions is very inefficient. However, a few % are sufficient,

135 especially because a large fraction can be fragmented. After pumping out the gas for 100 ms,
136 the ion cloud is exposed to cw radiation produced from a homebuilt diode laser (up to 100 mW,
137 ~ 12 MHz bandwidth), which is gated with a mechanical shutter and open for a period of 300 ms.
138 To avoid power broadening, only 2 mW have been used for recording the two bands at 9632.7(1)
139 and 9577.5(1) Å. For the other two 20 to 30 mW were required to obtain an attenuation of 20 %.
140 The potential of the exit electrode is lowered and the contents extracted and analysed 150 ms after
141 irradiation. The resulting laser induced attenuation of the number of complexes was monitored as
142 a function of laser frequency yielding photofragmentation spectra.

143 **Relative photoabsorption cross-sections** In the present experiment a confined ensemble of some
144 thousand C_{60}^+ –He complexes, $N(P)$, is exposed to a cw diode laser. As can be seen from Extended
145 Data Figure 2, the effective power density in the trap (diameter of the ion cloud, 0.8 cm) can be
146 varied over a wide range. The observed attenuation curves have been fit with the exponential
147 function,

$$N(P) = N_0 \exp -(P/P_0). \quad (2)$$

148 The characteristic power, P_0 , is a measure of the relative fragmentation cross-section of the com-
149 plex. It is safe to assume this is equal to the relative absorption cross-section, σ_{rel} , and provides a
150 reliable value for the absorption of C_{60}^+ itself. The results shown in Extended Data Figure 2 indicate
151 that all complexes absorb at 9632.7(1) or 9577.5(1) Å. This supports the argument that, after many
152 collisions with He in the trap, only one structural isomer remains, as discussed in the main text.
153 The relative cross-sections for four bands are presented in Extended Data Table 1. The influence
154 of power broadening is shown in Extended Data Figure 3.

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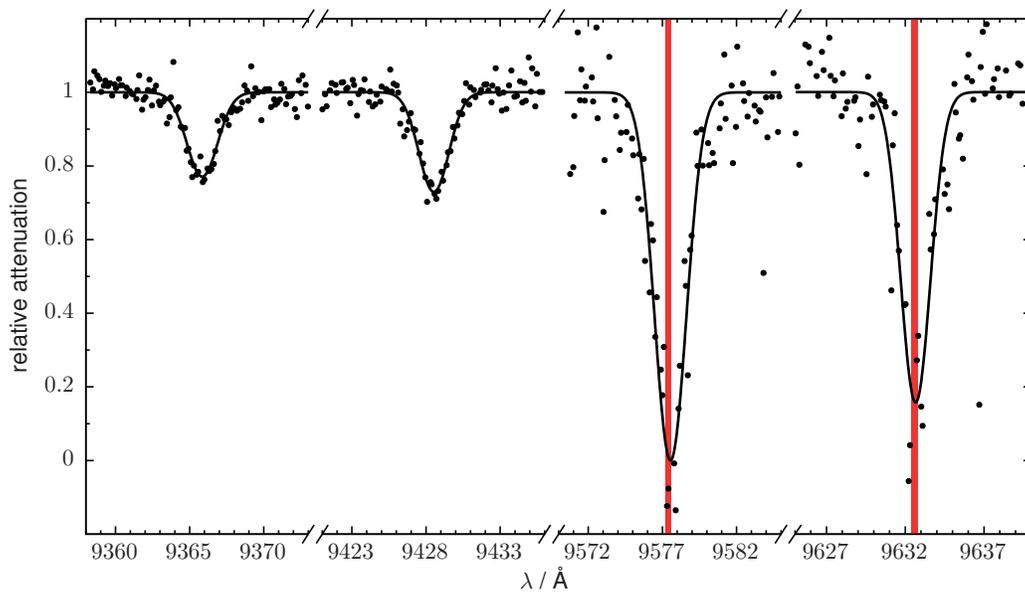
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219 authors declare no competing financial interests. Correspondence and requests for materials should be
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221

222 **Figure 1 Gas phase laboratory spectra at 5.8 K** The spectra were recorded by mon-
 223 itoring the depletion on the $C_{60}^+ - He$ mass channel. Gaussian fits to the experimental
 224 data (circles) are represented by solid lines. The intensities of the bands have been
 225 scaled by the measured relative absorption cross-sections. The fit parameters are given
 226 in Extended Data Table 1. The vertical red lines are the rest wavelengths, 9577.4(2)
 227 and 9632.6(2) Å, of two DIBs reported in ref. ⁴, and the width of the lines is given by the
 228 uncertainty.

229 **Extended Data Figure 1** $C_{60}^+ - (He)_2$ spectrum This was recorded by monitoring the
230 depletion on the $C_{60}^+ - (He)_2$ mass channel. A Gaussian fit to experimental data (circles) is
231 represented by the solid line. The fit gives a band maximum at 9632.8(1) Å and a FWHM
232 of 3.6(2) Å.

233 **Extended Data Figure 2 Relative cross-section measurement a**, Fragmentation of
234 $C_{60}^+ - He$ as a function of laser power at 9632 Å. **b**, Fragmentation of $C_{60}^+ - He$ as a function
235 of laser power at 9577 Å. Experimental data (circles) have been corrected for the number
236 of background ions appearing at m/z 724 (e.g. $^{13}C_4^{12}C_{56}$). Fits (solid lines) to Equation 2
237 (Methods) provide information on the relative absorption cross-sections and indicate that
238 all trapped ions interact with the laser.

239 **Extended Data Figure 3 Influence of laser power on the 9577.5 Å band**. Gas phase
240 spectrum recorded by monitoring the depletion on the $C_{60}^+ - He$ mass channel using
241 1.5 mW (black) and 14 mW (red). Gaussian fits to experimental data (circles) are rep-
242 resented by solid lines, and give FWHM of 2.5(2) and 4.1(2) Å at 1.5 and 14 mW, respec-
243 tively. The dashed line shows a Gaussian with a FWHM of 2.5 Å

Extended Data Table 1: Gas phase band maxima, widths and relative absorption cross-sections The wavelengths, FWHM and standard deviations are determined from fits to the C_{60}^+ – He fragmentation spectra using a single Gaussian function. The experimentally determined relative absorption cross-sections are a measure for the relative intensities with an estimated uncertainty of around 20 %.