

REGULATION XVI:

General Regulations for Higher Degrees, Postgraduate Diplomas and Postgraduate Certificates

SCOPE OF THESE REGULATIONS

1. These Regulations apply to:
 - Master's Degrees in all Faculties (except Integrated Master's Degrees)
 - Postgraduate Diplomas in all Faculties
 - Postgraduate Certificates in all FacultiesAnd to the coursework element of:
 - the Degree of EdD
 - the Degree of DBA
 - the Degree of DClintDent
 - the Degree of DClintPsy
 - the Degree of DDSc
 - the Degree of DEdCPsy
 - the Degree of DEdPsy
 - the Degree of DMedSci
 - the Degree of EngD
 - PhD with Integrated Studies
2. These Regulations are subject to provisions relating to a specific Higher Degree, and to the General Regulations for Higher Degrees by Research, where a programme of study includes a programme of research and a thesis, provided that where any programme of study is designated as a non-modular programme, Regulations 13 to 19 will not apply to that programme.

MINIMUM QUALIFICATIONS FOR ADMISSION AS A STUDENT

3. A person may be admitted as a student who:
 - (a) is a recognised graduate and who has attained a standard at least equivalent to that of a good Honours Degree in a subject related to that of the proposed programme of study; *or*
 - (b) has passed a qualifying examination in such a subject at a standard equivalent to Level 3 and at a time and subject to such conditions (which may include attendance for an appropriate programme of study) as may be prescribed by the Faculty; *or*
 - (c) has been deemed qualified for admission as a student by the Faculty; *or*
 - (d) being a student for a Postgraduate Diploma, is permitted by the Faculty to transfer to candidature for a Master's Degree in the same subject.

PROGRAMMES OF STUDY

4. For each Higher Degree by coursework (or by coursework and dissertation), the Senate will on the recommendation of the Faculty make Regulations providing for one or more programmes of study leading to the award of the Degree.
5. The Regulations for each programme of study will specify the units required of or available to students, and may specify other requirements (which may include requirements assigned no value in terms of credits) to be met by a student before successful completion of the programme of study. Where the Regulations for a modular programme of study require a dissertation, the dissertation will be assigned a value of not more than 90 credits. The availability of units specified in the Regulations for a programme of study is subject to the conditions as to pre-requisites and unacceptable combinations of units published on the authority of the Senate. Where a student is required to register for a unit, that registration may only be amended with the permission of the Faculty, on the recommendation of the Head of Department.
6. A reference in a Regulation to a particular programme of study or unit does not mean that the programme or unit will necessarily be available in any particular session. The availability of any unit may be subject to conditions as to the minimum or maximum size of classes, the availability of accommodation, timetabling restraints, and, where the Regulations for a programme of study contain

- requirements satisfaction of which depends upon the provision of facilities by another institution or body, the agreement of that institution or body.
7. Where a Regulation enables or requires a student to make a choice, the student's choice requires approval. The approval of the Head of the Department responsible for the programme of study is required for the subject of a special topic, special study, dissertation, extended essay or project and, where the Regulations require a student to make a choice between units, for the student's choice of units. Where the programme of study is the responsibility of more than one Department, the Heads of those Departments will agree on the procedure for giving such approval and publish it to students. Except where these Regulations or the Regulations for a particular programme of study provide otherwise, the choice of a unit as an unrestricted unit within a programme of study requires the approval only of the Head of the Department offering the unit.
 8. A student may amend the registration in respect of any semester with the consent of the Head of Department but not after the end of the third week of the relevant semester. Amendment to registration after the end of the third week of the relevant semester will be made only with the permission of the Faculty.
 9. A student may, with the permission of the Faculty, transfer to another programme of study. The Faculty will determine in each case the extent to which parts of the original programme already completed by a student will be treated as forming part of the new programme. Where parts of the original programme are so treated the credits and grades (including any fail grades) already obtained by the student will be counted in respect of the new programme. Where parts of the original programme are not so treated, the fact that the student has previously taken the relevant units and the grades and credits (if any) awarded will be disregarded for the purposes of these Regulations except those dealing with time-limits and transcripts. A transfer from one Faculty to another requires the permission of both Faculties concerned. Permission to transfer will not imply the waiver of any requirements as to prerequisites.

RECOGNITION OF CREDITS AND EXAMINATIONS

10. The Faculty may recognise credits obtained or examinations passed in other institutions as equivalent to credits awarded under the Regulations of this University, provided that the work attracting the credits has not been and will not be submitted for any other degree, except as permitted by the Senate in the case of collaborative dual/double award programmes, and that a higher Degree may not, without the special permission of the Senate, be awarded to any student who has not been awarded:
 - (a) in the case of Degrees and Postgraduate Diplomas and Postgraduate Certificates wholly awarded by the University, at least one-half of the required number of credits under those Regulations;
 - (b) in the case of Degrees wholly awarded by the University in respect of a programme of study that is jointly developed and delivered in conjunction with one or more partner institutions, at least one-third of the required number of credits under those Regulations;
 - (c) in the case of Postgraduate Diplomas and Postgraduate Certificates wholly awarded by the University in respect of a programme of study that is jointly developed and delivered in conjunction with one or more partner institutions, at least one-half of the required number of credits under those Regulations;
 - (d) in the case of jointly awarded Degrees, at least one-third of the required number of credits under those Regulations;
 - (e) in the case of jointly awarded Postgraduate Diplomas and jointly awarded Postgraduate Certificates, at least one-half of the required number of credits under those Regulations.

“Credits awarded under the Regulations of this University” includes credits obtained in respect of a part of a programme of study which a student registered in this University is permitted or required by the Regulations to complete away from the University.

AEGROTAT CASES

11. Where the Examiners are satisfied by appropriate medical evidence that a student was prevented by illness from completing an examination but are satisfied that the work done by the student shows beyond reasonable doubt that the student would have passed the examination, they may recommend that the student be deemed to have passed the examination and that the student be awarded the credits assigned to relevant units but without the award of a grade. The credits awarded in respect of such units will not be taken into account in determining the student's eligibility for the award of merit or distinction.

12. Where the Examiners are satisfied by appropriate medical evidence that a student was prevented by illness from completing a substantial part of the Examination for a Degree, and are satisfied that the work done by the student shows beyond reasonable doubt that the student would have passed the Examination, they may recommend that the student be awarded an Aegrotat Degree.

MINIMUM PERIOD OF STUDY

13. The programme of study and research for a Master's Degree by coursework (or coursework and dissertation) will be not less than one year for a full-time student and not less than two years for a part-time student. Minimum periods of study for the Degrees of EdD, DBA, DCLinDent, DCLinPsy, DDSc, DEdCPsy, DEdPsy, DMedSci, EngD and PhD with Integrated Studies are specified in the Code of Practice for Research Degrees.

GRADES

14. The Examiners will award in respect of each unit or other part of the programme of study a grade expressed as a whole number on the numerical scale set out in Regulation 44(b) of the General Regulations for First Degrees.

AWARD OF CREDITS

15. A student who satisfies the Examiners in the examination for a unit or other part of a programme of study will be awarded the credits assigned to that unit or other part.
16. A student who has been awarded credits in respect of any unit or other part of the programme of study may not repeat the examination for that unit or other part.

CREDITS REQUIRED FOR A MASTER'S DEGREE OR POSTGRADUATE DIPLOMA OR CERTIFICATE

17. A student who is awarded 180 credits will thereby pass the Examination for a Master's Degree. Where the Regulations for a Master's Degree make provision for a related Postgraduate Diploma or Postgraduate Certificate, a student who is awarded 120 credits will be eligible for the award of the Postgraduate Diploma, and a student who is awarded 60 credits will be eligible for the award of the Postgraduate Certificate.
18. The Examiners may in their discretion recommend that a student who is awarded not fewer than 165 credits be deemed to have passed the Examination for a Master's Degree, providing that the student has obtained an average grade of not less than 50 and a grade or grades of not less than 40 in the elements of the programme of study in respect of which credits are not awarded, this calculation to include all units.
19. The Examiners may in their discretion recommend that a student who is awarded not fewer than 105 credits be deemed to have passed the Examination for a Postgraduate Diploma, providing that the student has obtained an average grade of not less than 50 and a grade or grades of not less than 40 in the elements of the programme of study in respect of which credits are not awarded. In the case of a student for a Master's degree who is being considered for the award of a Postgraduate Diploma or Postgraduate Certificate, calculation of the average grade will exclude the dissertation grade.

DISTINCTIONS AND MERITS

20. The Examiners may in their discretion recommend the award of a mark of distinction or merit to a student registered for a Master's degree, such that:
- a student who obtains a weighted mean grade of not less than 69.5 in the Examination as a whole and a grade of not less than 70 in units to the value of not less than 90 credits may be recommended for the award of the Degree with distinction; *and*
 - a student who obtains a weighted mean grade of not less than 59.5 in the Examination as a whole and a grade of not less than 60 in units to the value of not less than 90 credits may be recommended for the award of the Degree with merit.
21. The Examiners may in their discretion recommend the award of a mark of distinction or merit to a student registered for a Postgraduate Diploma, such that:
- a student who obtains a weighted mean grade of not less than 69.5 in the Examination as a whole and a grade of not less than 70 in units to the value of not less than 60 credits may be recommended for the award of the Postgraduate Diploma with distinction; *and*
 - a student who obtains a weighted mean grade of not less than 59.5 in the Examination as a whole and a grade of not less than 60 in units to the value of not less than 60 credits may be recommended for the award of the Postgraduate Diploma with merit.

22. The Examiners may in their discretion recommend the award which, having regard to all the evidence before them, best reflects the overall performance of the student notwithstanding Regulations 20 and 21 above.

DISSERTATIONS

23. Where a programme of study includes a dissertation, the dissertation will be of a length and be presented in typed form not later than the time prescribed by the Head of Department.
24. A student will state in the dissertation the sources from which the information it contains is derived and the extent to which the student has made use of the work of others.
25. A student may not submit a dissertation a substantial part of which consists of material which has been submitted for any other Degree or qualification.

FAILURE

26. A student who fails to satisfy the Examiners in respect of any unit or other part of the programme of study (including the dissertation if any) and who has not been recommended for the award of a Master's Degree or a Postgraduate Diploma may (subject to the Regulations for the particular programme of study and to the General Regulations as to the Progress of Students) enter for a subsequent examination in respect of the unit or submit a revised dissertation on one occasion only. A student satisfying the Examiners in a subsequent examination or in respect of a revised dissertation will be awarded a bare pass grade in respect of that unit. In the event that the grade achieved following the subsequent examination is lower than that achieved on the first occasion, the higher grade will be awarded.
27. The Faculty may prescribe conditions to be satisfied by the student, and in the absence of any other provision, the re-examination or re-submission will be within one year of the notification of the first result.
28. Regulations for the Degrees of DCLinPsy, DBA, DDSc, and EngD where a student fails to satisfy the Examiners in respect of the thesis are included in the Regulations relating to specific Higher Degrees by research.

TIME-LIMITS AND EXTENSIONS

29. Except with the permission of the Faculty, or where the Regulations for a particular programme of study provide otherwise:
- (a) a full-time student must complete the programme of study for a Master's Degree within a period one year greater than the minimum required to complete the programme of study; *and*
 - (b) a part-time student must complete the programme of study for a Master's Degree within a period of two years greater than the minimum required to complete the programme of study.

Any period during which the Faculty has granted a student leave of absence will be ignored in calculating the time-limit.

Applicable time-limits for full-time and part-time students may, for good reason, be extended by not more than one year by the Faculty and, in exceptional cases, by a further period by the Senate on the recommendation of the Faculty. In any case in which the Faculty refuses to extend or recommend the extension of a time-limit, the Senate will consider the case (including the views of the Faculty) and may grant the extension.

30. Time limits for the Degrees of DCLinPsy, DBA, DDSc, and EngD are included in the Regulations relating to specific Higher Degrees by research.

General Regulations for Higher Degrees by Research

SCOPE OF THESE REGULATIONS

1. These regulations apply to:
- the Degree of PhD in all Faculties
 - the Degree of PhD with Integrated Studies (in respect of the programme of research and thesis)
 - the Degree of PhD with Integrated Masters in Data Analytics and Society (CDT)**
 - the Degree of PhD undertaken in an EPSRC Doctoral Training Centre
 - the Degree of EdD (in respect of the programme of research and thesis)
 - the Degree of DBA
 - the Degree of DCLinPsy
 - the Degree of DDSc

- the Degree of DEdCPsy (in respect of the programme of research and thesis)
- the Degree of DEdPsy (in respect of the programme of research and thesis)
- the Degree of DMedSci (in respect of the programme of research and thesis)
- the Degree of DSpecMed (Dermatology)
- the Degree of EngD
- the Degree of MD
- the Degree of MPhil in all Faculties
- the Degree of MSc(Res) (in respect of the research project)
- the Degree of LLM by research
- the Degree of MMus by research

2. These Regulations are subject to Programme Regulations relating to a specific Higher Degree, and/or to the General Regulations for Higher Degrees by Coursework where a programme contains coursework elements.

MINIMUM QUALIFICATIONS FOR ADMISSION AS A STUDENT

3. Applicants must hold an honours degree related to the proposed area of research, hold an equivalent qualification, or be deemed by the Faculty, and must satisfy the University that they are fit by reason of their ability, experience and training to undertake the Degree.

STUDENT CLASSIFICATION

4. A person may be admitted as a student in one of the following categories, unless stated otherwise in the relevant Programme Regulations:
 - (a) full-time student candidate; *or*
 - (b) part-time student candidate; *or*
 - (c) University staff candidate.
5. A full-time or part-time student candidate may apply for admission as a University staff candidate at any time within one year after the commencement of the programme of research, on the proviso that any checks that may be required on their employment, application or immigration status can be completed and cleared within this period.

SPECIAL CANDIDATES

6. Where a student ceases to fall within the category that was applicable at the time of admission but desires to complete the programme of study or research, the student may be permitted to do so as a special candidate under conditions approved in each case by the Senate, other than those admitted under a remote location scheme approved by the Senate.

SUPERVISORS

7. One or more Supervisors will be appointed by the Senate on the recommendation of the Faculty for each student. At least one Supervisor will be a member of the Academic Staff of the University.
8. In the case of a Joint Award arrangement, at least one additional Supervisor will be appointed by the partner institution.
9. The supervision of any candidate (other than one admitted under a remote location scheme approved by the Senate) will be personal and not by correspondence only. The candidate will meet the Supervisor at such frequent and regular intervals as the Faculty may direct. A report on the progress of the research will be submitted annually by the Supervisor through the Faculty to the Senate.

RESEARCH AWAY FROM THE UNIVERSITY

10. A full-time or part-time student may pursue the whole or part of the programme of research at a specified place away from the University, in accordance with a remote location scheme approved by the Senate, or a Memorandum of Agreement for a remote location scheme with a specific institution. To apply for a remote location scheme, students must:
 - (a) be an International or European Union (EU) student not resident in the UK; *and*
 - (b) meet the normal entry requirements for the programme of research for which they are applying; *and*
 - (c) accept adequate supervisory arrangements for the research scheme acceptable to the relevant faculty of the University; *and*

- (d) prove access to the necessary library, computing and other research facilities required to successfully undertake the programme of research within the required period.

Approval to study as a remote location student can normally be sought during the application process and will not normally be given to students who are already registered as full-time or part-time students of the University. Remote location students must not spend more than eight consecutive weeks at the University at any time during the course of their degree (schemes agreed with specific institutions may set out further specifications relating to the amount of time spent at the University).

RECOGNITION OF ATTENDANCE AT OTHER INSTITUTIONS

11. The Senate may recognise, as part of the period of research, some or all of the time already spent in another institution by a student under approved supervision on research relevant to the subject of the thesis, provided that:
- (a) the work done during that time has been approved by the Faculty as of sufficiently high standard; *and*
 - (b) the work has not been and will not be submitted for any other Degree; *and*
 - (c) the remaining period of research is normally at least the minimum period of study.

PERIOD OF STUDY, REGISTRATION, TIME-LIMITS AND TUITION FEE PAYMENT

12. Periods of study and time-limits for each programme of research are set out in the *Code of Practice for Research Degree Programmes*.
13. Time-limits for the presentation of theses will be calculated from the date of the student's initial registration at the commencement of the programme of research unless an extended time-limit is approved by Faculty on the basis of significant additional programme content (such as compulsory advanced training, placements, internships, enterprise activities or similar and/or on the recommendation of the funder).
14. The normal period of registration and tuition fee payment, as specified in the *Code of Practice for Research Degree Programmes*, applies unless an extended time-limit is approved by Faculty on the basis of significant additional programme content (such as compulsory advanced training, placements, internships, enterprise activities or similar and/or on the recommendation of the funder).

EXTENSIONS

15. Time-limits may, for good reason, be extended for one year by the Faculty and by a second year (and in exceptional cases, by a further period) by the Senate on the recommendation of the Faculty. In any case in which the Faculty refuses to extend or recommend the extension of a time-limit, the Senate will consider the case (including the views of the Faculty) and may grant the extension.

DOCTORAL DEVELOPMENT PROGRAMME

16. A student will undertake a Training Needs Analysis at the start of each year (or other appropriate period of study) in consultation with the supervisory team, in order to identify the additional skills and experience required to meet the levels of competence outlined in the appropriate Faculty's Needs Analysis Framework.
17. A student will undertake an individual programme of training and skills development 'Doctoral Development Programme' during each year (or other appropriate period of study) based on the outcomes of the Training Needs Analysis, to be agreed in consultation with the supervisory team subject to the approval of the Head of Department and the Faculty.
18. A student will not be permitted to pass the Confirmation Review (if required for the programme of research), unless the student has presented a portfolio demonstrating that they have undertaken the agreed programme of training and skills development and have achieved an appropriate level of competency as agreed by the supervisory team.
19. A student will not be awarded the degree unless the Faculty has confirmed the student's satisfactory completion of the Doctoral Development Programme.
20. Where a student fails to successfully complete a part or parts of the programme of training and skills development, the Faculty may permit the student to repeat that part or parts, or to undertake other training or skills development, in each case on such conditions as the Faculty may determine.

THESES

21. A student for a Higher Degree by Research will, on the completion of the programme of research:

- (a) present a thesis containing the results of the student's research and showing the sources from which the information it contains is derived and the extent to which the student has made use of the work of others; *and*
 - (b) pass an oral examination in matters relevant to the subject of the thesis.
- At the oral examination, no person other than the student and the Examiners may be present except with the agreement of those persons.
- 22. The language of the thesis will be English. Exceptionally, and with the permission of the Faculty, a student may present their thesis in a language other than English where this is of demonstrable significance to the impact and dissemination of the research.
 - 23. Where the programme of research leads to the production of material additional to the thesis, such as creative work or supporting material submitted on electronic media, the thesis will clearly present the additional material in its relevant context and will be accompanied by a permanent record of the additional material, where practicable, bound with the thesis.
 - 24. A thesis may not be presented before the completion of the minimum period of the programme of research for the relevant Degree, as specified in the *Code of Practice for Research Degree Programmes*.
 - 25. A student may not submit a thesis a substantial part of which consists of material which has been submitted for any other Degree or qualification unless exceptions are specified in the Programme Regulations
 - 26. A student will include in the thesis:
 - (a) a declaration concerning access to and reproduction of the thesis;
 - (b) a summary, not exceeding 300 words in length, which may be drawn up in consultation with the Supervisor.
 - 27. If, on the recommendation of the Examiners, a student is required to submit a revised thesis, such a submission will be within one year of the date of notification of the first result.
 - 28. For first submission and re-submission, a student is required to submit the thesis to Turnitin, according to the procedure operating in the relevant department (Turnitin is a text matching tool that is licensed for use in the University of Sheffield as an aid in the prevention of unfair means). The version of the thesis submitted to Turnitin must be an exact copy of the version submitted for examination.
 - 29. For first submissions and resubmissions, two hard copies of the thesis must be submitted to the University. An identical third copy must also be provided in electronic format, preferably on CD, and will be retained by the University as a Master copy, pending the outcome of the examination. The hard copies will be properly bound using the University Print Service's binding service or equivalent.
 - 30. When a thesis has been approved following examination, an electronic version only of the thesis is required to be submitted to the institutional repository (White Rose eTheses Online). Where the eThesis is incomplete or has been edited to remove sensitive material or material that has not been copyright cleared, a hard copy will also be required to be submitted to the University Library.
 - 31. The award of any qualification will be withheld until an electronic copy and/or a hard copy (as directed by the University) of the final version of the thesis following examination is submitted to the University.

EXAMINERS

- 32. At least two Examiners will be appointed to report to the Faculty on the thesis and oral examination. At least one Examiner will be an External Examiner. Prior to appointment an Examiner will declare any conflict of interest with respect to the student or their research project.
- The following special provisions apply to particular categories of student:
- (a) in the case of a University Staff candidate at least two External Examiners will be appointed;
 - (b) in the case of a University Staff candidate holding a non-established appointment arising from external financing, one Examiner may be a member of the Academic Staff of the University other than the grant-holder; at the discretion of the Vice-President, the grant-holder may be appointed as an additional Examiner.

Regulations for the Degree of PhD in all Faculties

- 1. A person may be admitted as a student in one of the following categories:
 - (a) full-time student candidate;
 - (b) part-time student candidate;

- (c) University Staff candidate;
 - (d) Hospital Staff candidate;
 - (e) Research Fellowship or Independent Research Worker candidate.
2. (a) For students who commenced the programme of study and research before 1 August 2012: the Senate may permit a student for a Master's Degree by research in any Faculty to become instead a student for the Degree of PhD and may recognise as part of the period of research for the Degree of PhD some or all of the time spent as a student for the Master's Degree.
- (b) For students commencing the programme of study and research on or after 1 August 2012: a student's registration for the Degree of PhD will be considered probationary until such time as the candidate successfully passes the departmental Confirmation Review. A student may be permitted a maximum of two attempts to pass the Confirmation Review. The final decision regarding whether a student may be permitted to pass the Confirmation Review must be taken within 18 months of the students' initial registration for full-time students (pro-rata for part-time students). A student who fails the Confirmation Review will be required to transfer to candidacy for the Degree of MPhil.
3. (a) For students who commenced the programme of study and research before September 2010: a full-time student candidate may not be awarded the Degree of PhD unless the candidate has been awarded a total of *forty-five* credits or *forty-five* less exemptions in respect of units prescribed in the Regulations for the Research Training Programme. A person may not be admitted as a full-time student candidate (or be permitted to transfer to that status from candidacy for a Master's Degree) unless:
- (i) the candidate has taken units from those prescribed in the Regulations for the Research Training Programme and has been awarded at least *twenty* credits in respect of such units or *twenty* less exemptions; *or*
 - (ii) in exceptional circumstances, the candidate who has not yet satisfied that requirement is admitted (or permitted to transfer) subject to such condition as to satisfaction of that requirement by a specified date as the Senate may impose. The candidate may be exempted by the Senate from the whole or part of the requirements of this Regulation on account of credits awarded in another institution or of the candidate's previous study and/or experience, or in other exceptional circumstances.
- (b) For students commencing the programme of study and research in or after September 2010: students will be required to undertake the Doctoral Development Programme as prescribed in the Regulations for the Doctoral Development Programme.

RECOGNITION OF ATTENDANCE AT OTHER INSTITUTIONS

4. The Senate may recognise as part of the period of research for the Degree of PhD other time already spent in another institution by a student under approved supervision on research relevant to the subject of the thesis, provided that:
- (a) the work done during that time has been approved by the Faculty as of sufficiently high standard; *and*
 - (b) the work has not been and will not be submitted for any other Degree; *and*
 - (c) the remaining period of research is at least two years.

PERIOD OF STUDY, REGISTRATION AND TUITION FEE PAYMENT

5. The programme of research will be pursued for not less than the following periods unless an extended minimum period of study is approved by the Faculty on the basis of significant additional programme content (such as compulsory advanced training, placements, internships, enterprise activities or similar) and/or on the recommendation of the funder:
- (a) full-time student candidates, two years in the case of the holder of a Bachelor's Degree with Honours or an equivalent qualification, or a Master's Degree, or (with the permission of the Senate) the Degrees of MB, ChB, and three years in all other cases, which must be spent in full-time work on the research, the permission of the Head of Department being required before the candidate undertakes any other work during the period;
 - (b) part-time student candidates, four years (provided that the Faculty may require a particular candidate to spend some part of this period in full-time work in the University on the research topic);

- (c) University Staff candidates, a period not less than two years determined in each case by the Senate having regard to the candidate's qualifications and the proportion of time to be spent on the research, and so the total time devoted to the research will not be less than that required of a full-time student candidate (except in the case of a person admitted as a University Staff candidate as a condition of external funding and who would otherwise have been admitted as a full-time student candidate, in which case Regulation 5(a) applies);
 - (d) Hospital staff candidates, a period of not less than two years determined in each case by the Senate having regard to the candidate's qualifications and the proportion of time to be spent on the research and so the total time devoted to the research will not be less than that required for a full time candidate;
 - (e) Research Fellowship or Independent Research Worker candidates, two years in the case of the holder of a Bachelor's Degree with Honours or an equivalent Degree, or a Master's Degree, or (with the permission of the Senate) the Degrees of MB, ChB, and three years in all other cases, which must be spent in full-time work on the research, the permission of the Head of Department being required before the candidate undertakes any other work during the period.
6. The normal period of registration and tuition fee payment will be as follows unless an extended period of registration and tuition fee payment is approved by the Faculty on the basis of significant additional programme content (such as compulsory advanced training, placements, internships, enterprise activities or similar) and/or on the recommendation of the funder:
- (a) full-time student candidates, three years;
 - (b) part-time student candidates, six years;
 - (c) University Staff candidates, two years (except in the case of a person admitted as a University Staff candidate as a condition of external funding and who would otherwise have been admitted as a full-time student candidate, in which case Regulation 6(a) applies);
 - (d) Hospital Staff candidates, six years;
 - (e) Research Fellowship or Independent Research Worker candidates, three years.
7. A candidate shall be required to undertake the Doctoral Development Programme as prescribed in the Regulations for the Doctoral Development Programme.

TIME LIMITS

8. The time-limit for the presentation of the thesis will be as follows unless an extended time-limit is approved by the Faculty on the basis of significant additional programme content (such as compulsory advanced training, placements, internships, enterprise activities or similar) and/or on the recommendation of the funder:
- (a) full-time student candidates, four years;
 - (b) part-time student candidates, eight years;
 - (c) University Staff candidates, eight years; (except in the case of a person admitted as a University Staff candidate as a condition of external funding and who would otherwise have been admitted as a full-time student candidate, in which case Regulation 7(a) applies);
 - (d) Hospital Staff candidates, eight years;
 - (e) Research Fellowship or Independent Research Worker candidates, four years.

SUPERVISION

9. In the case of a part-time student candidate (other than one admitted under a remote location scheme approved by the Senate), the supervision of the candidate will be personal and not by correspondence only. The candidate will meet the Supervisor at such frequent and regular intervals as the Faculty may direct. A report on the progress of the research will be submitted annually by the Supervisor through the Faculty to the Senate.

THESES

10. A student will satisfy the Faculty that the thesis forms an addition to knowledge, shows evidence of systematic study and of ability to relate the results of such study to the general body of knowledge in the subject, and is worthy of publication either in full or in an abridged form.

FAILURE

11. A student who fails to satisfy the Examiners may, on the recommendation of the Examiners:

- (a) be permitted on one occasion only to present a revised thesis, or take a further oral examination, or both; *or*;
- (b) be awarded instead a Master's Degree; *or*
- (c) be permitted to become instead a student for a Master's Degree and to submit on one occasion only a revised thesis, and, if required by the Examiners, take a further oral examination for the Master's Degree.

Regulations for the Degree of PhD in the Faculty of Arts and Humanities

THESES

1. A student in Music for the Degree of PhD or MPhil in the Faculty of Arts may:
 - (a) supplement a thesis in the area of music performance practice with a public instrumental or vocal recital illustrating aspects of the thesis; *or*
 - (b) in place of a thesis, offer a portfolio of original compositions with accompanying commentary not exceeding 10,000 words in length, and satisfy the Faculty that the compositions show coherence, invention and originality in a variety of extended structures; *or*
 - (c) in the case of a student for the Degree of PhD, submit a portfolio of creative music technology research and accompanying thesis of 30,000 – 40,000 words contextualising the research within a critical artistic and/or technological perspective.

In the case of a student electing to proceed under this Regulation, any reference to a thesis includes a thesis as supplemented by a recital or a portfolio of original compositions.

2. A student in Practical Drama for the Degree of PhD or MPhil in the Faculty of Arts may:
 - (a) in the case of a student for the Degree of MPhil, submit a practical component as part of the thesis, accompanied by a written commentary of 8,000 words, which contextualises the project and includes a retrospective critical analysis of the process and outcomes;
 - (b) in the case of a student for the Degree of PhD, submit a practical component as part of the thesis, accompanied by a written commentary of 30 – 40,000 words. The practical component must demonstrate a high level of performance skill and involve a research inquiry. The written component will contextualise the project, offering a retrospective analysis of the process and outcomes, and reflecting on the chosen research methodologies and production processes and the relation between them. The thesis will be supplemented by a permanent record of the research process, which may include electronic media, drawings and photographs.

In the case of a student electing to proceed under this Regulation, any reference to the thesis includes a thesis supplemented by a practical component.

Regulations for the Degree of PhD with Integrated Studies

1. A person may be admitted in the following category:
 - (a) full-time student candidate.
2. The programme of study and research will be pursued for not less than four years.
3. A candidate will be admitted as a student for a Master's Degree approved for this purpose and pursue the programme of study for not less than one year.
4. A student who, after completing the minimum period of study, and who has progressed satisfactorily as determined by the department¹ or as specified by the individual programme Regulation, has obtained not less than *one hundred and twenty* credits may be permitted to become additionally a student for the Degree of PhD with Integrated Studies (in the case of a student commencing the programme of study and research before September 2010 or on or after 1 August 2012) or a student for the Degree of MPhil with Integrated Studies (in the case of a student commencing the programme of study and research in or after September 2010 but before 1 August 2012). Such a student will:
 - (a) pursue a programme of research for not less than three years, and present a thesis for examination in accordance with the Regulations for Higher Degrees by Research;
 - (b) (i) **(For students commencing the programme of study and research before September 2010)**

¹ It is normally expected that a candidate should be working at the level of a Merit in line with Regulation 20 of the General Regulations for Higher Degrees, Postgraduate Diplomas and Postgraduate Certificates.

- successfully complete the departmental upgrading process before progressing to the third year of study.
- (ii) **(For students commencing the programme of study and research in or after September 2010 but before 1 August 2012)**
successfully complete the departmental upgrading process before becoming a candidate for the Degree of PhD.
- (iii) **(For students commencing the programme of study and research on or after 1 August 2012)**
successfully pass the departmental Confirmation Review (prior to this the student's registration for the Degree of PhD with Integrated Studies will be considered probationary). A student may be permitted a maximum of two attempts to pass the Confirmation Review. The final decision regarding whether a student may be permitted to pass the Confirmation Review must be taken within 18 months of the students' initial registration for the Degree of PhD with Integrated Studies. A student who fails the Confirmation Review will be required to transfer to candidacy for the Degree of MPhil with Integrated Studies.
- (c) successfully complete the departmental upgrading process before progressing to the third year of study (in the case of a student commencing the programme of study and research before September 2010) and additionally (in the case of a student commencing the programme of study and research in or after September 2010) before becoming a student for the Degree of PhD;
- (d) obtain a total of not less than *forty-five* credits (or *forty-five* less exemptions) in respect of Research Training units prescribed by the Head of Department (in the case of a student who commenced the programme of study and research before September 2010), or undertake the Doctoral Development Programme as prescribed in the Regulations for the Doctoral Development Programme (in the case of a student commencing the programme of study and research in or after September 2010); *and*
- (e) complete satisfactorily such of the additional non-credit-bearing requirements of the Regulations for the relevant programme as are specified to be met in the relevant year.
5. The time-limit for the Master's Degree will be two years. The time-limit for the presentation of the thesis for the Degree of PhD with Integrated Studies will be five years from initial registration as a student for the Master's Degree.
6. A student will satisfy the Faculty that the thesis forms an addition to knowledge, shows evidence of systematic study and of ability to relate the results of such study to the general body of knowledge in the subject, and is worthy of publication either in full or in an abridged form.
7. A student who completes the requirements of the programme of study and research for the Master's Degree may be awarded that Degree and a student who completes the requirements of Regulation 4 may also be awarded the Degree of PhD with Integrated Studies.
8. A student who fails to satisfy the Examiners may, on the recommendation of the Examiners:
- (a) be permitted on one occasion only to present a revised thesis, or take a further oral examination, or both; *or*;
- (b) be awarded instead a Master's Degree; *or*
- (c) be permitted to become instead a student for a Master's Degree and to submit on one occasion only a revised thesis, and, if required by the Examiners, take a further oral examination for the Master's Degree.
9. The following programmes of study and research are specified for the purposes of Regulation 3 above.
- AAPT90 ARCHAEOLOGICAL MATERIALS (MSc) (FACULTY OF ARTS AND HUMANITIES)
(For initial registration of a student for the Degree of PhD with Integrated Studies in Archaeological Materials only)
- ACST90 ADVANCED CONTROL AND SYSTEMS ENGINEERING (MSc) (FACULTY OF ENGINEERING)
(For initial registration of a candidate for the Degree of PhD with Integrated Studies in Control and Systems Engineering only)
- ARCT190 SUSTAINABLE ARCHITECTURAL STUDIES (MArchStudies) (FACULTY OF SOCIAL SCIENCES)

(For initial registration of a student for the Degree of PhD with Integrated Studies in Sustainable Architectural Studies only)

ARCT134 SUSTAINABLE ARCHITECTURE AND COMPUTER AIDED ENVIRONMENTAL DESIGN (MSc) (FACULTY OF SOCIAL SCIENCES)

(For initial registration of a student for the Degree of PhD with Integrated Studies in Sustainable Architecture and Computer Aided Environmental Design only)

ARCT135 URBAN DESIGN (MA) (FACULTY OF SOCIAL SCIENCES)

(For initial registration of a student for the Degree of PhD with Integrated Studies in Urban Design only)

ARCT136 ARCHITECTURAL DESIGN (MA) (FACULTY OF SOCIAL SCIENCES)

(For initial registration of a student for the Degree of PhD with Integrated Studies in Architectural Design only)

ARCT137 CONSERVATION AND REGENERATION (MA) (FACULTY OF SOCIAL SCIENCES)

(For initial registration of a student for the Degree of PhD with Integrated Studies in Conservation and Regeneration only)

ARCT138 DESIGNING LEARNING ENVIRONMENTS (MA) (FACULTY OF SOCIAL SCIENCES)

(For initial registration of a student for the Degree of PhD with Integrated Studies in Designing Learning Environments only)

BMST02 STEM CELL AND REGENERATIVE MEDICINE (MSc) (FACULTY OF SCIENCE)

(For initial registration of a student for the Degree of PhD with Integrated Studies in Biomedical Science only)

BMST11 INTEGRATED STUDIES IN SENSORY NEUROSCIENCE (MSc) (FACULTY OF SCIENCE)

(For initial registration of a student for the Degree of PhD with Integrated Studies in Sensory Neuroscience)

BMST27 INTEGRATED STUDIES IN GENOMIC APPROACHES TO DRUG DISCOVERY (MSc) (FACULTY OF SCIENCE)

(For initial registration of a student for the Degree of PhD with Integrated Studies in Genomic Approaches to Drug Discovery)

BMST31 INTEGRATED STUDIES IN BIOMEDICAL SCIENCE (MSc) (FACULTY OF SCIENCE)

(For initial registration of a student for the Degree of PhD with Integrated Studies in Biomedical Science)

BMST30 INTEGRATED STUDIES IN MOLECULAR, CELLULAR AND DEVELOPMENTAL BIOLOGY (MSc) (FACULTY OF SCIENCE)

(For initial registration of a student for the Degree of PhD with Integrated Studies in Molecular, Cellular and Developmental Biology)

CHMT16 MOLECULAR SCALE ENGINEERING (MSc) (FACULTY OF SCIENCE)

(For initial registration of a student for the Degree of PhD with Integrated Studies in Molecular Scale Engineering only)

CIVT90 ENVIRONMENTAL MANAGEMENT OF URBAN LAND AND WATER (MSc(Eng)) (FACULTY OF ENGINEERING)

(For initial registration of a student for the Degree of PhD with Integrated Studies only)

CIVT91 EARTHQUAKE AND CIVIL ENGINEERING DYNAMICS (MSc) (FACULTY OF ENGINEERING)

(For initial registration of a student for the Degree of PhD with Integrated Studies only)

CIVT95 CONCRETE ENGINEERING (MSc) (FACULTY OF ENGINEERING)

(For initial registration of a student for the Degree of PhD with Integrated Studies only)

CIVT96 STEEL CONSTRUCTION (MSc) (FACULTY OF ENGINEERING)

(For initial registration of a student for the Degree of PhD with Integrated Studies only)

CIVT97 STRUCTURAL ENGINEERING (MSc) (FACULTY OF ENGINEERING)

(For initial registration of a student for the Degree of PhD with Integrated Studies only)

- COMT90 ADVANCED COMPUTER SCIENCE (MSc) (FACULTY OF ENGINEERING)
(For initial registration of a student of the Degree of PhD with Integrated Studies in Computer Science only)
- CPET90 ENVIRONMENTAL AND ENERGY ENGINEERING (MSc(Eng)) (FACULTY OF ENGINEERING)
(For initial registration of a student for the Degree of PhD with Integrated Studies only)
- EDUT118 EDUCATION POLICY AND PRACTICE (MA) (FACULTY OF SOCIAL SCIENCES)
(For initial registration of a student for the Degree of PhD with Integrated Studies in Education Policy and Practice only)
- GEMT93 MOLECULAR AND GENETIC MEDICINE (MSc) (FACULTY OF MEDICINE, DENTISTRY AND HEALTH)
(For initial registration of a student for the Degree of PhD with Integrated Studies only)
- HART90 PUBLIC HEALTH (MPH) (FACULTY OF MEDICINE, DENTISTRY AND HEALTH)
(For initial registration of a student for the Degree of PhD with Integrated Studies in Public Health or the Degree of PhD with Integrated Studies in Health Sciences Research only)
- MATT91 ADVANCED METALLURGY (MMet) (FACULTY OF ENGINEERING)
(For initial registration of a student for the Degree of PhD with Integrated Studies only)
- MATT92 AEROSPACE MATERIALS (MSc (Eng)) (FACULTY OF ENGINEERING)
(For initial registration of a student for the Degree of PhD with Integrated Studies in Advanced Materials Science only)
- MATT93 CERAMIC SCIENCE AND ENGINEERING (MSc (Eng)) (FACULTY OF ENGINEERING)
(For initial registration of a student for the Degree of PhD with Integrated Studies only)
- MATT94 POLYMER AND POLYMER COMPOSITE SCIENCE AND ENGINEERING (MSc (Eng)) (FACULTY OF ENGINEERING)
(For initial registration of a student for the Degree of PhD with Integrated Studies only)
- MATT95 WASTE IMMOBILISATION (MSc (Eng)) (FACULTY OF ENGINEERING)
(For initial registration of a student for the Degree of PhD with Integrated Studies in Waste Immobilisation only)
- MATT144 ADVANCED MATERIALS MANUFACTURING (MSc (Eng)) (FACULTY OF ENGINEERING)
(For initial registration of a student for the Degree of PhD with Integrated Studies in Advanced Materials Manufacturing only)
- MATT145 MATERIALS SCIENCE AND ENGINEERING (MSc (Eng)) (FACULTY OF ENGINEERING)
(For initial registration of a student for the Degree of PhD with Integrated Studies in Materials Science and Engineering only)
- MATT146 BIOMATERIALS AND REGENERATIVE MEDICINE (MSc (Eng)) (FACULTY OF ENGINEERING)
(For initial registration of a student for the Degree of PhD with Integrated Studies in Biomaterials and Regenerative Medicine only)
- MATT147 NANOMATERIALS AND MATERIALS SCIENCE (MSc (Eng)) (FACULTY OF ENGINEERING)
(For initial registration of a student for the Degree of PhD with Integrated Studies in Nanomaterials and Materials Science only)
- PAST90 STATISTICS (MSc) (FACULTY OF SCIENCE)
(For initial registration of a student of the Degree of PhD with Integrated Studies in Probability and Statistics only)
- PHYT90 NANOSCALE SCIENCE AND TECHNOLOGY (MSc) (FACULTY OF SCIENCE)
(Joint programme with the University of Leeds). (For initial registration of a student for the degree of PhD with Integrated Studies in Nanoscale Science and Technology only)
- PHYT91 STUDIES AT THE LIFE SCIENCE/PHYSICAL SCIENCES INTERFACE (MSc) (FACULTY OF SCIENCE)

(For initial registration of a student for the Degree of PhD with Integrated Studies only)

POLT90 RESEARCH METHODS IN POLITICS AND INTERNATIONAL RELATIONS (MA)
(FACULTY OF SOCIAL SCIENCES)

(For initial registration of a student for the Degree of PhD with Integrated Studies in Politics only)

Regulations for the Degree of PhD with Integrated Masters in Data Analytics and Society (CDT)

1. A person may be admitted as a candidate in the following category:
 - (a) full-time student candidate.
2. A student will be required to undertake a programme of taught study as defined in the Regulations for SMIT03.
3. In order to progress to the second year of study, a student must successfully complete the requirements of the first year of registration, to include:
 - (a) obtaining a total of not less than 90 of the integrated Master's Degree element;
 - (b) full engagement in other aspects of the programme (e.g. regular attendance at journal clubs and away days, internship module etc.).
4. A student who is awarded 60 credits (as per the regulations for SMIT05) but who does not wish to proceed to the second year of study, or who does not successfully complete the requirements of the first year of study, may be awarded an approved Postgraduate Certificate in Data Analytics and Society.
5. In order to progress to the third year of study, a student must successfully complete the requirements of the second year of registration, to include:
 - (a) obtaining a total of not less than 180 credits of the integrated Master's Degree element;
 - (b) submission of a research project that has been approved by the programme directors;
 - (c) full engagement in other aspects of the programme (e.g. regular attendance at journal clubs and away days, etc.).
6. A student who is awarded 120 credits (as per the regulations for SMIT04) and/or has produced sufficient research evidence but who does not wish to proceed to the third year of study, or who does not successfully complete the requirements of the second year of study, may be awarded an approved Postgraduate Diploma in Data Analytics and Society and / or an MPhil. Should both awards be appropriate, they will be made in an integrated form.
7. A student who fails to satisfy the Examiners in respect of a credit-bearing unit may enter for a subsequent examination in respect of the unit on one occasion only.
8. A student's registration for the Degree of PhD will be considered probationary until such time as the candidate successfully passes the departmental Confirmation Review. A student may be permitted a maximum of two attempts to pass the Confirmation Review. The final decision regarding whether a student may be permitted to pass the Confirmation Review must be taken within two years of the student's initial registration. A student who fails the Confirmation Review will be required to transfer to candidacy for the Degree of MPhil.
9. In all other aspects of the programme, the Regulations for the Degree of PhD in all Faculties will apply.

Regulations for the Degree of PhD undertaken in an EPSRC Centre for Doctoral Training and the Degree of EngD undertaken in an EPSRC Centre for Doctoral Training

1. A person may be admitted as a full-time student candidate.
2. The programme of study and research will be pursued for not less than three years except in the case of PhDs undertaken in:
 - the EPSRC Centre for Doctoral Training in Energy Storage and its Applications;
 - the EPSRC Centre for Doctoral Training in Advanced Metallic Systems (PhD);
 - the EPSRC Centre for Doctoral Training in Advanced Metallic Systems (EngD);
 - the EPSRC Centre for Doctoral Training in Nuclear Fission – Next Generation Nuclear;
 - the EPSRC Centre for Doctoral Training in Polymers, Soft Matter and Colloids;
 - the EPSRC Centre for Doctoral Training in Engineering for the Water Sector (STREAM IDC) (EngD);
 - the EPSRC Centre for Doctoral Training in Integrated Tribology;when it will be not less than four years.
3. A candidate will be admitted initially as a student for the Degree of MPhil leading to PhD/EngD (in the case of a student commencing the programme of study and research before 1 August 2012), or as a

- student for the Degree of PhD/EngD (in the case of a commencing the programme of study and research on or after 1 August 2012) or as a student for a Postgraduate Diploma.
4. A student admitted initially for a Postgraduate Diploma approved for this purpose and who has obtained not less than *one hundred and twenty* credits may be permitted to become instead:
 - (a) a student for the Degree of MPhil leading to PhD/EngD (in the case of a student commencing the programme of study and research before 1 August 2012), or as a student for the Degree of PhD/EngD (in the case of a student commencing the programme of study and research on or after 1 August 2012); *and where applicable also*
 - (b) a student for an appropriate Postgraduate Diploma in professional skills, as specified in the Regulations for the relevant EPSRC Centre for Doctoral Training.
 5. A student for the Degree of MPhil leading to PhD/EngD or the Degree of PhD/EngD and, where applicable also for the appropriate Postgraduate Diploma in professional skills will:
 - (a) pursue the programme of research for not less than three years on a full-time basis; *and*
 - (b) pursue the appropriate Postgraduate Diploma in professional skills on a part-time basis; *and*
 - (c)
 - (i) **(For students commencing the programme of study and research before 1 August 2012)** successfully complete the relevant departmental upgrading procedure before progressing to the third year of study and becoming a candidate for the Degree of PhD/EngD; *or*
 - (ii) **(For candidates commencing the programme of study and research on or after 1 August 2012)** successfully pass the departmental Confirmation Review before progressing to the third year of study (prior to this the student's registration for the Degree of PhD/EngD will be considered probationary. A student may be permitted a maximum of two attempts to pass the Confirmation Review. A student who fails the Confirmation Review will be required to transfer to candidacy for the Degree of MPhil); *and*
 - (d) present a thesis for examination in accordance with the General Regulations for Higher Degrees by Research.
 6. A student shall, on completion of the programme of research, present a summary of the portfolio of training and skills development they have compiled as part of the Doctoral Development Programme to demonstrate their engagement with the Doctoral Development Programme throughout the programme of research. The summary should not exceed 500 words in length. A student who fails to demonstrate sufficient engagement with the Doctoral Development Programme will not be eligible for the award of the higher degree for which they are registered.
 7. A student shall satisfy the Faculty that the thesis forms an addition to knowledge, shows evidence of systematic study and of ability to relate the results of such study to the general body of knowledge in the subject, and is worthy of publication either in full or in an abridged form.
 8. A student who successfully completes the requirements of the programme of study and research for the Degree of PhD/EngD may be awarded that Degree, and a student who successfully completes the requirements of the programme of study for the appropriate Postgraduate Diploma in professional skills may be awarded that Diploma.
 9. A student who fails to satisfy the Examiners may, on the recommendation of the Examiners:
 - (a) be permitted on one occasion only to present a revised thesis, or take a further oral examination, or both; *or*
 - (b) be awarded instead a Master's Degree; *or*
 - (c) be permitted to become instead a student for a Master's Degree and to submit on one occasion only a revised thesis, and, if required by the Examiners, take a further oral examination for the Master's Degree.
 10.
 - (a) For students who commenced the programme of study and research before September 2010: a student for the Degree of PhD/EngD undertaken in an EPSRC Centre for Doctoral Training will complete either an appropriate Postgraduate Diploma in professional skills or *forty-five* credits of the Research Training Programme, as appropriate to the individual EPSRC Centre for Doctoral Training.
 - (b) For students commencing the programme of study and research on or after September 2010:

a student for the Degree of PhD/EngD undertaken in an EPSRC Centre for Doctoral Training will undertake the Doctoral Development Programme as prescribed in the Regulations for the Doctoral Development Programme.

11. The time-limit for the presentation of the thesis for the Degree of PhD/EngD will be five years from the date of initial registration as a student, this period may include some or all of the time spent as a student for the Degree of MPhil.
12. In the following programmes, a training placement may be required as an integral part of the programme:

Energy Storage and its Applications:	An industrial placement of up to one month, and a one-week placement at the University of Southampton, Malaysia Campus.
The opportunity (not compulsory) to undertake placements in the sponsoring company or in an overseas research institution for 2-3 months:	
Advanced Metallic Systems (EngD):	All students will be expected to spend up to 75% of their time in their sponsoring company.
Nuclear Fission – Next Generation Nuclear:	Optional secondments, typically between 3-6 months in length.
Polymers, Soft Matter and Colloids:	All students will be expected to spend 6 months at the sponsor's site in year 3 or 4.

Regulations for the Degree of PhD by Publication in all Faculties

1. A person may be admitted as a candidate in the following category:
 - (a) University Staff candidate.
2. A person may be admitted as a candidate who:
 - (a) is a member of staff of at least two years' standing, *or* is the holder of at least two years' standing of an Honorary Academic Title conferred by the University; *and*
 - (b) is not a member of staff solely as a condition of external funding and who would otherwise have been admitted as a full-time student candidate; *and*
 - (c) has been deemed qualified for admission by the Faculty.
3. A candidate may, with the permission of the Senate, transfer registration from the Degree of PhD to registration for the Degree of PhD by Publication; the power to grant this permission may be devolved to Faculties where the Senate deems this to be appropriate.
4. The normal period of registration will be no longer than 12 months.
5. The time-limit for the presentation of the published work will be 12 months.
6. An academic advisor will be appointed to provide guidance to the candidate on the presentation of the published work. The academic advisor will be a senior member of the academic staff of the University who is familiar both with the standard required and with the candidate's field of work.
7. A candidate shall be required to undertake the Doctoral Development Programme as prescribed in the Regulations for the Doctoral Development Programme.
8. A candidate who submits published work for examination for a doctoral degree will:
 - (a) submit the published work (which will normally include only work published in scholarly books and journals within the last eight years);
 - (b) submit a substantial commentary not exceeding 15,000 words, linking the published work and outlining its coherence and significance;
 - (c) submit a signed statement advising how far the work submitted is based on the candidate's own independent study, making it clear, for each publication, how far the work was conducted in collaboration with or with the assistance of others and the conditions and circumstances in which the work was carried out;
 - (d) submit a CV, focusing on the candidate's research career and on the circumstances under which the research work leading to the publications submitted was carried out;
 - (e) pass an oral examination in matters relevant to the subject of the published works.
9. A candidate will satisfy the Faculty that the published work shows convincing evidence of the capacity of the candidate to pursue research and scholarship and make an original contribution and substantial

addition to knowledge. The amount and quality of the work should be at least equivalent to that expected for the Degree of PhD.

10. Published work submitted for the degree must be substantially different from any work which may have previously been submitted for any degree at this or any other institution.
11. At least two External Examiners will be appointed to report to the Faculty on the thesis and oral examination. In addition, a member of academic staff will be appointed by the Faculty to act as an internal co-ordinator. Prior to appointment, an Examiner will declare any conflict of interest with respect to the candidate or their research project.
12. No person other than the candidate and the Examiners may be present at the oral examination except with the agreement of those persons.
13. A candidate who fails to satisfy the Examiners may, on the recommendation of the Examiners, be permitted on one occasion only to present a revised commentary linking the published work to address minor amendments, or be permitted to take a further oral examination, or both.
14. If the Senate decides on the recommendation of the Faculty that the Degree be not awarded, a candidate may not make another submission for the degree within two years of the first candidature.
15. A candidate will submit appropriate copies of the published works as prescribed in Regulations 46-49 of the Regulations for Higher Degrees by Research.

Regulations for the Degree of MD by Publication in all Faculties

1. A person may be admitted as a candidate in the following category:
 - (a) University Staff candidate.
2. A person may be admitted as a candidate who:
 - (a) is a member of staff of at least two years' standing, *or* is the holder of at least two years' standing of an Honorary Academic Title conferred by the University; *and*
 - (b) has been deemed qualified for admission by the Faculty.
3. A candidate may, with the permission of the Senate, transfer registration from the Degree of MD to registration for the Degree of MD by Publication; the power to grant this permission may be devolved to Faculties where the Senate deem this to be appropriate.
4. The normal period of registration shall be no longer than 12 months.
5. The time-limit for the presentation of the published work shall be 12 months.
6. An academic advisor shall be appointed to provide guidance to the candidate on the presentation of the published work. The academic advisor shall be a senior member of the academic staff of the University who is familiar both with the standard required and with the candidate's field of work.
7. A candidate who submits published work for examination for a doctoral degree shall:
 - (a) submit the published work (which will normally include only work published in scholarly books and journals within the last eight years);
 - (b) submit a substantial commentary not exceeding 10,000 words, linking the published work and outlining its coherence and significance;
 - (c) submit a signed statement advising how far the work submitted is based on the candidate's own independent study, making it clear, for each publication, how far the work was conducted in collaboration with or with the assistance of others and the conditions and circumstances in which the work was carried out;
 - (d) submit a CV, focusing on the candidate's research career and on the circumstances under which the research work leading to the publications submitted was carried out;
 - (e) pass an oral examination in matters relevant to the subject of the published works.
8. A candidate shall satisfy the Faculty that the published work shows convincing evidence of the capacity of the candidate to pursue research and scholarship and make an original contribution and substantial addition to knowledge. The amount and quality of the work should be at least equivalent to that expected for the Degree of MD.
9. Published work submitted for the degree must be substantially different from any work which may have previously been submitted for any degree at this or any other institution.
10. At least two External Examiners shall be appointed to report to the Faculty on the thesis and oral examination. In addition, a member of academic staff shall be appointed by the Faculty to act as an

internal co-ordinator. Prior to appointment, an Examiner shall declare any conflict of interest with respect to the candidate or their research project.

11. No person other than the candidate and the Examiners may be present at the oral examination except with the agreement of those persons.
12. A candidate who fails to satisfy the Examiners may, on the recommendation of the Examiners, be permitted on one occasion only to present a revised commentary linking the published work to address minor amendments, or be permitted to take a further oral examination, or both.
13. If the Senate decides on the recommendation of the Faculty that the Degree be not awarded, a candidate may not make another submission for the degree within two years of the first candidature.
14. A candidate shall submit appropriate copies of the published works as prescribed in Regulations 45-47 of the Regulations for Higher Degrees by Research.

Regulations for the Degree of MPhil in all Faculties

1. A person may be admitted as a candidate in one of the following categories:
 - (a) full-time student candidate;
 - (b) part-time student candidate;
 - (c) candidate without attendance;
 - (d) University Staff candidate;
 - (e) Hospital Staff candidate;
 - (f) Research Fellowship or Independent Research Worker candidate.
2. A candidate for the degree of MPhil commencing the programme of study and research in or after September 2010 will be required to undertake the Doctoral Development Programme as prescribed in the Regulations for the Doctoral Development Programme.

MINIMUM PERIOD OF STUDY

3. The programme of research will be for not less than the following periods:
 - (a) full-time student candidates (except in the Faculty of Medicine), one year;
 - (b) full-time student candidates in the Faculty of Medicine, one year in the case of the holder of the Degrees of MB, ChB or BDS, or BMedSci with Honours or BSc with Honours of the University or an equivalent award of another institution or body recognised by the Senate, and two years in all other cases;
 - (c) part-time student candidates, two years (provided that the Faculty may require a particular candidate to spend some part of this period in full-time work in the University on a research topic);
 - (d) candidates without attendance, one year;
 - (e) University Staff candidates, a period not less than one year determined in each case by the Senate having regard to the candidate's qualifications and the proportion of time to be spent on the research, and so that the total time devoted to the research will not be less than that required of a full-time student candidate;
 - (f) Hospital Staff candidates, a period not less than one year determined in each case by the Senate having regard to the candidate's qualifications and the proportion of time to be spent on the research, and so that the total time devoted to the research will not be less than that required of a full-time student candidate;
 - (g) Research Fellowship or Independent Research Worker candidates, one year, which must be spent in full-time work on the research, the permission of the Head of Department being required before the candidate undertakes any other work during the period.
4. In the case of part-time student candidates, the supervision of the candidate will be personal and not by correspondence only. The candidate will meet the Supervisor at such frequent and regular intervals as the Faculty may direct. A report on the progress of the research will be submitted annually by the Supervisor through the Faculty to the Senate.

TIME-LIMITS

5. The time-limit for the presentation of the thesis will be as follows:
 - (a) full-time student candidates, three years;
 - (b) part-time student candidates, six years;

- (c) candidates without attendance, four years;
- (d) University Staff candidates, six years;
- (e) Hospital Staff candidates, six years;
- (f) Research Fellowship or Independent Research Worker candidates, four years.

Note: The attention of candidates seeking to transfer to candidacy for the Degree of PhD is drawn to Regulation 3 for that Degree.

Regulations for the Degree of MPhil in the Faculty of Arts and Humanities

THESES

1. A student in Music for the Degree of PhD or MPhil in the Faculty of Arts may:
 - (a) supplement a thesis in the area of music performance practice with a public instrumental or vocal recital illustrating aspects of the thesis; *or*
 - (b) in place of a thesis, offer a portfolio of original compositions with accompanying commentary not exceeding 10,000 words in length, and satisfy the Faculty that the compositions show coherence, invention and originality in a variety of extended structures; *or*
 - (c) in the case of a student for the Degree of PhD, submit a portfolio of creative music technology research and accompanying thesis of 30,000 – 40,000 words contextualising the research within a critical artistic and/or technological perspective.

In the case of a student electing to proceed under this Regulation, any reference to a thesis includes a thesis as supplemented by a recital or a portfolio of original compositions.

2. A student in Practical Drama for the Degree of PhD or MPhil in the Faculty of Arts may:
 - (a) in the case of a student for the Degree of MPhil, submit a practical component as part of the thesis, accompanied by a written commentary of 8,000 words, which contextualises the project and includes a retrospective critical analysis of the process and outcomes;
 - (b) in the case of a student for the Degree of PhD, submit a practical component as part of the thesis, accompanied by a written commentary of 30 – 40,000 words. The practical component must demonstrate a high level of performance skill and involve a research inquiry. The written component will contextualise the project, offering a retrospective analysis of the process and outcomes, and reflecting on the chosen research methodologies and production processes and the relation between them. The thesis will be supplemented by a permanent record of the research process, which may include electronic media, drawings and photographs.

In the case of a student electing to proceed under this Regulation, any reference to the thesis includes a thesis supplemented by a practical component.

Regulations for the Degree of EdD

1. A student shall satisfy the Faculty that the thesis forms an addition to knowledge, shows evidence of systematic study and of ability to relate the results of such study to the general body of knowledge in the subject, and is worthy of publication either in full or in an abridged form.
2. A student who fails to satisfy the Examiners may, on the recommendation of the Examiners:
 - (a) be permitted on one occasion only to present a revised thesis, or take a further oral examination, or both; *or*
 - (b) be awarded instead a Master's Degree; *or*
 - (c) be permitted to become instead a student for a Master's Degree and to submit on one occasion only a revised thesis, and, if required by the Examiners, take a further oral examination for the Master's Degree.

Regulations for the Degree of DBA

1. A student shall satisfy the Faculty that the thesis forms an addition to knowledge, shows evidence of systematic study and of ability to relate the results of such study to the general body of knowledge in the subject, and is worthy of publication either in full or in an abridged form.
2. A student who fails to satisfy the Examiners may, on the recommendation of the Examiners:
 - (a) be permitted on one occasion only to present a revised thesis, or take a further oral examination, or both; *or*
 - (b) be awarded instead a Master's Degree; *or*
 - (c) be permitted to become instead a student for a Master's Degree and to submit on one

occasion only a revised thesis, and, if required by the Examiners, take a further oral examination for the Master's Degree.

Regulations for the Degree of DCLinPsy

1. A student shall satisfy the Faculty that the thesis forms an addition to knowledge, shows evidence of systematic study and of ability to relate the results of such study to the general body of knowledge in the subject, and is worthy of publication either in full or in an abridged form.
2. A student who fails to satisfy the Examiners may be permitted, on the recommendation of the Examiners, to present a revised thesis, or take a further oral examination, or both.

Regulations for the Degree of DDSc

1. A student shall satisfy the Faculty that the thesis forms an addition to knowledge, shows evidence of systematic study and of ability to relate the results of such study to the general body of knowledge in the subject, and is worthy of publication either in full or in an abridged form.
2. A student who fails to satisfy the Examiners may, on the recommendation of the Examiners:
 - (a) be permitted on one occasion only to present a revised thesis, or take a further oral examination, or both; *or*
 - (b) be awarded instead a Master's Degree; *or*
 - (c) be permitted to become instead a student for a Master's Degree and to submit on one occasion only a revised thesis, and, if required by the Examiners, take a further oral examination for the Master's Degree.

Regulations for the Degree of DEdPsy

1. A student shall satisfy the Faculty that the thesis forms an addition to knowledge, shows evidence of systematic study and of ability to relate the results of such study to the general body of knowledge in the subject, and is worthy of publication either in full or in an abridged form.

Regulations for the Degree of DEdCPsy

1. A student shall satisfy the Faculty that the thesis forms an addition to knowledge, shows evidence of systematic study and of ability to relate the results of such study to the general body of knowledge in the subject, and is worthy of publication either in full or in an abridged form.

Regulations for the Degree of DEng

1. A student shall satisfy the Faculty that the thesis forms an addition to knowledge, shows evidence of systematic study and of ability to relate the results of such study to the general body of knowledge in the subject, and is worthy of publication either in full or in an abridged form.
2. A student who fails to satisfy the Examiners may, on the recommendation of the Examiners:
 - (a) be permitted on one occasion only to present a revised thesis, or take a further oral examination, or both; *or*
 - (b) be awarded instead a Master's Degree; *or*
 - (c) be permitted to become instead a student for a Master's Degree and to submit on one occasion only a revised thesis, and, if required by the Examiners, take a further oral examination for the Master's Degree.

Regulations for the Degree of MD

1. A student shall satisfy the Faculty that the thesis forms an addition to knowledge, shows evidence of systematic study and of ability to relate the results of such study to the general body of knowledge in the subject, and is worthy of publication either in full or in an abridged form.
2. A student who fails to satisfy the Examiners may, on the recommendation of the Examiners:
 - (a) be permitted on one occasion only to present a revised thesis, or take a further oral examination, or both; *or*
 - (b) be awarded instead a Master's Degree; *or*
 - (c) be permitted to become instead a student for a Master's Degree and to submit on one occasion only a revised thesis, and, if required by the Examiners, take a further oral examination for the Master's Degree.

Regulations for the Degree of DSpecMed (Dermatology)

1. A person may be admitted as a student who:

- (a) has a degree in Medicine from a reputable institution, followed by at least two years' experience in hospital or general medical practice, or a year of dermatology experience; *and*
 - (b) has an MSc in Dermatology from King's College, University of London, Cardiff University or University of Hertfordshire, or another reputable institution as judged by the Faculty; *and*
 - (c) has two satisfactory references from previous teaching or learning institutions and one reference from the student's funder or international sponsor.
2. A student's registration for the Degree of DSpecMed (Dermatology) will be considered probationary until such time as:
 - (a) the student successfully passes the departmental Confirmation Review; *and*
 - (b) the student successfully passes the assessment at the end of year two.
3. A student may be permitted a maximum of two attempts to pass the Confirmation Review. The final decision regarding whether a student may be permitted to pass the Confirmation Review must be taken within 18 months of the students' initial registration. A student who fails the Confirmation Review will be required to transfer to candidacy for the Degree of MPhil.
4. Assessment at the end of year two shall include:
 - (a) Submission of a thesis to the standard expected for the Degree of MD, in accordance with the Regulations for Higher Degrees by Research; *and*
 - (b) Successful completion of an oral examination in matters relevant to the subject of the thesis; *and*
 - (c) Successful completion of an interview with a panel of experts appointed by the Faculty.
5. Students who fail to meet the requirements of assessment at the end of year two shall:
 - (a) be permitted on one occasion only to present a revised thesis, or take a further oral examination, or both; *or*
 - (b) be awarded instead a Master's Degree; *or*
 - (c) be permitted to become instead a student for a Master's Degree and to submit on one occasion only a revised thesis, and, if required by the Examiners, take a further oral examination for the Master's Degree.
6. Students who do not wish to continue to pursue the Degree of DSpecMed (Dermatology), or who do not progress satisfactorily in years 3-5 (as determined by the department), but who successfully complete the requirements of Regulation 3 (a) and (b), may be awarded an MD in Clinical Dermatology.
7. Students who, after completing the minimum period of study (as defined in the *Code of Practice for Research Degree Programmes*), satisfactorily complete the requirements of the course as determined by the department, may be awarded the Degree of DSpecMed (Dermatology).

Regulations for the Degree of MSc(Res)

1. Material submitted in part satisfaction of the requirements for the Degree may additionally be used to form part of the thesis for a related Higher Degree by Research.
2. A student who fails to satisfy the Examiners may be permitted, on the recommendation of the Examiners, to present a revised thesis, or take a further oral examination, or both.

Regulations for the Degree of LLM by research

1. A student who fails to satisfy the Examiners may be permitted, on the recommendation of the Examiners, to present a revised thesis, or take a further oral examination, or both.

Regulations for the Degree of MMus by research

1. A student who fails to satisfy the Examiners may be permitted, on the recommendation of the Examiners, to present a revised thesis, or take a further oral examination, or both.

Regulations for the Degree of PhD in Public Health, Economics and Decision Science (DTC)

1. A person may be admitted as a candidate in the following category:
 - (a) full-time student candidate.
2. In order to progress to the second year of study, a student must successfully complete the requirements of the first year of registration, to include:
 - (a) obtaining a total of not less than *sixty* credits of relevant Bachelor's and/or Master's Degrees;

- (b) completion of three research attachments, including submission of a reflexive account of the knowledge and skills acquired in each, to be assessed by the attachment lead supervisor;
 - (c) identification of supervisors and development of a research proposal that has been approved by the programme directors;
 - (d) regular participation in other learning activities that form part of the programme, including research away days and seminar programme.
3. A student who fails to satisfy the examiners in respect of a credit-bearing unit may enter for a subsequent examination in respect of the unit on one occasion only.
 4. A student's registration for the Degree of PhD will be considered probationary until such time as the candidate successfully passes the departmental Confirmation Review. A student may be permitted a maximum of two attempts to pass the Confirmation Review. The final decision regarding whether a student may be permitted to pass the Confirmation Review must be taken within 2 years of the students' initial registration. A student who fails the Confirmation Review will be required to transfer to candidacy for the Degree of MPhil.
 5. In all other aspects of the programme, the Regulations for the Degree of PhD in all Faculties will apply.