The University of Sheffield

CALENDAR
2019-20

Charter, Statutes, General Regulations and Information
NOTE
The Regulations are reviewed and revised by Council and Senate, as relevant. While every effort has been made to ensure the accuracy of the information given in this publication, changes may need to be made between the date of publication and the time the student embarks on a programme of study. This publication is correct as at the time of print.

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DATES OF SEMESTERS

Session 2019-2020
Autumn Semester 30 September 2019 to 21 December 2019
20 January 2020 to 8 February 2020
Spring Semester 10 February 2020 to 20 March 2020
20 April 2020 to 13 June 2020

Session 2020-2021
Autumn Semester 28 September 2020 to 19 December 2020
18 January 2021 to 6 February 2021
Spring Semester 08 February 2021 to 27 March 2021
19 April 2021 to 12 June 2021

Session 2021-2022
Autumn Semester 27 September 2021 to 18 December 2021
17 January 2022 to 05 February 2022
Spring Semester 07 February 2022 to 02 April 2022
25 April 2022 to 11 June 2022

Session 2022-2023
Autumn Semester 26 September 2022 to 17 December 2022
16 January 2023 to 04 February 2023
Spring Semester 06 February 2023 to 01 April 2023
24 April 2023 to 10 June 2023

Session 2023-2024
Autumn Semester
Spring Semester 25 September 2023 to 16 December 2023
15 January 2024 to 03 February 2024
05 February 2024 to 23 March 2024
15 April 2024 to 08 June 2024
HISTORICAL NOTE

Origins of the University

The University of Sheffield developed from three local institutions: the Sheffield School of Medicine, Firth College and the Sheffield Technical School. The School of Medicine, founded in 1828, was by far the oldest. Its early history was very insecure and it was saved from collapse by the opening of Firth College, which took over the teaching of all basic Science subjects to medical students.

Firth College was one of a group of university colleges founded in the later 19th century. It developed out of the Cambridge University Extension Movement, a scheme designed to bring university teaching to the large towns and cities of England, most of which lacked any university provision. The success of these courses in Sheffield led Mark Firth, a local steel manufacturer, to establish the College in 1879 as a centre for teaching Arts and Science subjects.

The Sheffield Technical School was the product of local concern about the need for better technical training of the men responsible for running the great industries of Sheffield, particularly steelmaking. A movement was started within Firth College to collect funds to create a technical department, which was established in 1884 as the Sheffield Technical School. In 1886 the School moved to new premises on the site of the old Grammar School at St George’s Square.

In 1897, the three institutions were amalgamated by Royal Charter to form the University College of Sheffield. This step was part of the plan to link up with the Victoria University, a federation of the University Colleges at Manchester, Liverpool and Leeds.

By 1900, however, the Federal University was disintegrating and within a few years independent universities were formed from the three University Colleges.

On 31 May 1905 the University of Sheffield was granted its Royal Charter, and in July the new Firth Court Building on Western Bank was opened by King Edward VII and Queen Alexandra. St George’s Square remained the centre of Applied Science departments, with Arts, Medicine and Science being housed at Western Bank.

The University in 1905

At the time of the University’s foundation in 1905 there were 114 full-time students reading for degrees in Arts, Pure Science, Medicine and Applied Science. In 1919 when returning ex-servicemen were admitted in large numbers, the full-time student figure rose to a short-lived peak of about 1,000. By then the Faculty of Applied Science had split into Engineering and Metallurgy; the University’s first Hall of Residence (the original Stephenson Hall) had been established; and the Edgar Allen library had opened (1909).

At that time the University was as committed to non-degree teaching as to teaching full-time students. Courses covered not only many conventional academic subjects but also topics as diverse as cow-keeping, railway economics, mining and razor-grinding. During the First World War some of these were replaced by teaching of (and participation in) munitions making, medical appliances design and production, translation and politics. Between the two wars full-time student numbers stabilised at about 750 and expansion into new areas of specialist teaching and research continued slowly. The Second World War brought with it new areas of specialist research and training - in, for example, radar, dietary and vitamin studies, production of anaesthetics and chemicals (as substitutes for materials previously imported from Europe), magnetism, fuel production and economy, naval cartography, glass manufacture and English language teaching.

Since the Second World War

Since the Second World War, many older houses have been brought into academic use and major new buildings have been constructed - the Main Library in 1959, and the Arts Tower, Hicks Building, Alfred Denny Building, Sir Robert Hadfield Building, Chemical Engineering Building, University House, five Halls of Residence and the Union of Students in the 1960s.

New buildings for Geography and Psychology followed in the 1970s, along with the Crookesmoor Building (for Law and Management), the Royal Hallamshire Hospital, and purpose-built student flats. The next decade saw the opening of the Octagon Centre, the Sir Henry Stephenson Building (for engineering), and major extensions at the Northern General Hospital.

In the 1990s, new premises for the School of Clinical Dentistry, the Management School, the School of Education, St George’s Library (then incorporating Blackwell’s University Bookshop) and St George’s Flats and Lecture Theatre were opened, together with extensions to Stephenson, Halifax, and Tapton Halls of Residence, and three new blocks of student flats.
The Regent Court building, which houses the Department of Computer Science and the Information School and the Sheffield Centre for Health and Related Research, were also completed. The Union of Students underwent a £5 million development programme, improving welfare, social and meetings facilities.

Following the University’s integration with the Sheffield and North Trent College of Nursing and Midwifery in 1995, a building programme provided new facilities for nursing and midwifery teaching and research. This includes the extension and conversion of the St George’s Hospital site on Winter Street, and the construction of a new building at the Northern General Hospital.

The 21st Century

The University’s ambitious estates strategy has continued into the new millennium. Campus additions include the £80 million refurbishment programme for laboratories in the medical, biological and physical sciences. Other developments include:

- Custom-built University Health Centre.
- The Sheffield Bioincubator.
- Multidisciplinary Informatics Collaboratory for the Social Sciences.
- The inauguration of the £20 million North Campus (housing the Kroto Research Institute and the Nanoscience and Technology Centre).
- A £20 million refurbishment of the Students’ Union and former University House.
- Construction of The Diamond, an £81 million new building at the heart of the campus, which contributes £20.6 million to Sheffield's economy each year.
- A £21 million development of the seven storey Pam Liversidge building, housing the Faculty of Engineering Graduate School.
- A £3 million refurbishment of the Department of Journalism Studies on Mappin Street.
- The refurbishment of the Crookesmoor Building, previously the location of the University’s School of Law, to house the Management School (which moved from Mappin Street).
- The construction of Information Commons, a £23 million library and study space building with 24 hour access.
- A £160 million building programme with projects to redevelop residential accommodation on the Endcliffe and Ranmoor sites, completed in 2008 and 2009 respectively.
- The award-winning Factory of the Future which forms the heart of the Advanced Manufacturing Research Centre (AMRC).
- Three buildings for the Faculty of Arts and Humanities: The Jessop Building, The Soundhouse and Jessop West.
- The refurbishment of the Grade II listed Arts Tower.
- A new 561 space multi-storey car park and retail facility on the site of the existing Durham Road car park.
- Transformation, in a joint project with Sheffield City Council, of the public space around Leavygreave Road, and Western Bank, creating a greener, safer space for pedestrians and cyclists.
- Redevelopment of the Concourse area, with new seating, plants and trees. This area also includes a new cycle hub with safe cycle storage and showers.
- The Engineering Heartspace, a quadruple height atrium between the Grade II listed Sir Frederick Mappin Building and the 1885 Central Wing, creating a dynamic social and research collaboration between the two oldest buildings in the University estate.
- Building of a world-class Social Sciences facility to enhance the student experience and encourage interdisciplinary research will start in May 2019.
- The creation of our four Flagship Research Institutes which bring together the University’s key strengths to tackle the big issues facing humanity. Our four flagships are: energy, sustainable food, neuroscience, and healthy lifespan.
- Expansion of the AMRC.

The mile-long ‘campus’ now stretches almost unbroken from St George’s Square into Crookesmoor, with student residences concentrated in suburbs to the west of the University. For the first fifty years of its existence the University’s full-time student population did not rise above 2,000. By 1980, however, it had reached 8,000 and in 2006 the number of full-time students had risen to 21,000. The total student population is now close to 30,000 and includes students from over 150 countries.
THE ARMS OF THE UNIVERSITY OF SHEFFIELD

The University Arms, whose background colour is azure, contains a gold-edged open book at its centre, on which is inscribed Disce Doce (Learn and Teach). On either side is a sheaf of eight silver arrows, being derived from the shield of old Sheffield. The gold crown is the Crown of Success and the White Rose of York denotes the University's home county. The scroll carries the motto of Firth College, Rerum Cognoscere Causas (To Discover the Causes of Things; from Virgil's Georgics II, 490).

The Coat of Arms should not be confused with the University's logo, which consists of a redrawn version of the Coat of Arms set alongside the University's name in our own distinctive Stephenson font, on a white background. Introduced in 2005, the University's centenary year, the logo complements but does not supersede the Coat of Arms, which remains the University's official heraldic symbol.

Reproduction of the University's Coat of Arms is restricted by copyright to formal University of Sheffield documents. It may not be used by external organisations in their marketing activities, whether in hard copy or on the web.
CHARTER OF INCORPORATION

EDWARD VII, by the Grace of God of the United Kingdom of Great Britain and Ireland and of the British Dominions beyond the Seas, King, Defender of the Faith

WHEREAS Humble Petitions have been presented to Us by the University College of Sheffield and by the Lord Mayor Aldermen and Citizens of the City of Sheffield praying Us to constitute and found a University within the said City for the Advancement of Knowledge the Diffusion and Extension of Arts Sciences and Learning the Provision of Liberal and Professional and Technical Education and the furtherance of the objects for which the University College of Sheffield was incorporated by our Royal Predecessor Queen Victoria and to grant a Charter with such provisions therein in that behalf as shall seem to Us right and suitable.

And Whereas We have taken the said Petitions into Our Royal consideration and are minded to accede thereto.

Now therefore know ye that We by virtue of Our Royal Prerogative and of Our Special Grace certain knowledge and mere motion by these Presents for Us Our Heirs and Successors will and ordain as follows:

1. This Charter constitutes and founds the University of Sheffield ("the University") as a body corporate.

2. The objects of the University shall be to advance education through teaching and research ("the Objects").

3. The University shall have all the powers of a natural person including but not limited to the following powers, in furtherance of the Objects:

   (a) to award degrees and other academic awards and to withdraw such awards;
   (b) to acquire, own, maintain, manage and dispose of land and other property;
   (c) to solicit, receive and administer fees, charges, grants, subscriptions, donations, endowments, legacies, gifts and loans of any property whatsoever whether land or personal property and any other sources of income;
   (d) to act as trustee for and in relation to endowments, legacies and gifts;
   (e) to invest;
   (f) so far as permitted by charity law, to give guarantees;
   (g) so far as permitted by charity law, to borrow and raise money and give security for loans; and for those purposes the University shall have the authority to enter into any financial instrument which is ancillary and incidental to the exercise of such powers;
   (h) to take such steps as may from time to time be deemed expedient for the purposes of procuring and receiving contributions to the funds of the University and to raise money in such other manner as the University may determine;
   (i) to co-operate and collaborate with other institutions and individuals, award joint degrees or other awards, and affiliate or incorporate into the University any other institution and take over its property, rights, liabilities and staff;
   (j) to enter into engagements and to accept obligations and liabilities in all respects without any restrictions whatsoever and in the same manner as an individual may manage his or her own affairs; and
   (k) to do anything else, whether incidental to these powers or not, in order to further the Objects of the University as a place of teaching and research.

4. There shall be a Council of the University which shall be the University’s governing body with responsibility for the management of the University and the conduct of all the University’s affairs.

5. There shall be a Senate of the University which shall, subject to the Statutes and the control and approval of the Council, oversee the teaching and research of the University and the admission and regulation of Students.

6. There shall be a Chancellor of the University who shall be the titular head of the University and entitled to confer degrees and other academic awards of the University.

7. There shall be a chief executive of the University who shall have the title of Vice-Chancellor or equivalent and who shall be the Chair of the Senate and who shall in the absence of the Chancellor confer Degrees and other academic awards.

8. There shall be not fewer than two Pro-Chancellors of the University. The Chair of the Council shall be a Pro-Chancellor by virtue of holding that office.

9. There shall be a Students’ Union of the University and the University shall take steps to ensure that the Students’ Union acts fairly and democratically and is accountable for its finances.
10. It is a fundamental requirement of the University to promote equal opportunities and ensure that no unlawful discriminatory test be imposed upon any person in order to entitle them to:
   (a) become a holder of office at or a member of staff, student or graduate of the University; or
   (b) receive any advantage or privilege by reason of such position.

11. There shall be a Visitor of the University who shall be appointed by the Privy Council from time to time, on the nomination of the Council, from those who hold or who have held high judicial office.

12. The University shall take steps to encourage engagement in its activities by the holders of degrees and other academic awards of the University (“the Alumni”), including specifically co-operation between the alumni and the Council, to support and advance the Objects.

13. The Council may at any time amend or add to the Charter or the Statutes and any such amendments or additions shall take effect when approved by the Privy Council. The Statutes shall prescribe or regulate, as the case may be, the internal management of the University.

14. The Council may make Regulations and may amend or repeal Regulations.

15. The property and funds of the University shall be used only for promoting the Objects. If the University is dissolved, the assets (if any) remaining after providing for all its liabilities must be applied in one or more of the following ways:
   (a) by transfer to one or more other bodies established for exclusively charitable purposes within, the same as or similar to the Objects;
   (b) directly for the Objects or for charitable purposes which are within or similar to the Objects;
   (c) in such other manner consistent with charitable status as the Privy Council approves in writing in advance.

16. In this Our Charter “Statutes” means the Statutes set out in the Schedule and “Regulations” means Regulations made under the powers conferred by this Charter.
THE STATUTES OF THE UNIVERSITY

SECTION 1:
Definitions

1. In these Statutes:
   “Academic Staff” means:
   (a) Any employee employed by the University to engage directly in, or carry out teaching, learning and research in an academic context under the terms of their contract of employment;
   (b) Such other senior members of Staff as may be determined by Council from time to time.
   “Charter” means the Charter of the University.
   “Council” means the Council of the University.
   “Officer” shall have the meaning set out in the Regulations and includes any deputy appointed in accordance with such Regulations.
   “Professional Staff” shall have the meaning set out in the Regulations.
   “Research Staff” means any employee employed by the University to engage directly in, or carry out research.
   “Senate” means the Senate of the University.
   “Senior Academic Officer” shall have the meaning set out in the Regulations.
   “Staff” means all persons employed by the University.
   “Statutes” means these Statutes of the University which shall be interpreted in such a way as not to conflict with the Charter.
   “Student” means any registered student of the University.
   “Students’ Union” means the body known as “The University of Sheffield Union of Students”.
   “Regulations” means Regulations of the University.
   “Teaching Staff” means any employee employed by the University to engage directly in, or carry out teaching and learning.
   “Treasurer” shall have the meaning set out in the Regulations.
   “University” means the University of Sheffield.
   “University Year” means the period of 12 calendar months ending on the last day of July in each year or on such other day in each year as the Council shall determine.

2. Unless the context otherwise requires, words in the singular shall include the plural and words in the plural shall include the singular.

SECTION 2:
The Auditor

1. The Council shall appoint an auditor or auditors. Every such auditor shall be a member of a recognised supervisory body and eligible for appointment under the rules of that body.

SECTION 3:
The Council

1. The Council shall be appointed in accordance with the Regulations and shall consist of a maximum of 20 members, ensuring always that independent members shall remain in the majority. The members of the Council shall be as follows:
   Class (1): The Pro-Chancellors, The Vice-Chancellor and the Treasurer.
   Class (2): Not fewer than two and not more than three Senior Academic Officers.
Statutes

Class (3):  Not fewer than seven and not more than eight persons who shall be neither Staff nor Students.

Class (4):  Three members of the Senate, of whom not more than two may be Professors.

Class (5):  One member of Professional Staff.

Class (6):  The President of the Students’ Union.

2. Members of Classes (3), (4) and (5) shall hold office for three University Years and subject to the Regulations may not serve for more than three consecutive periods of office. A person who has held office as a Member of Class (3), (4) or (5) and who has ceased by reason of length of service to be eligible for re-election shall after an interval of three University Years again become eligible for election in that Class.

3. Members of Class (2) shall hold office for one University Year and shall be eligible for re-appointment, provided that a Member may not serve for more than five consecutive periods of office. A person who has held office as a Member of Class (2) and who has ceased by reason of length of service to be eligible for re-election shall after an interval of one University Year again become eligible for election in Class (2).

4. A Member of Council shall vacate office on ceasing to hold any office or other qualification by virtue of which that person became a Member of the Council.

5. Seven Members of the Council of whom not more than three may be members of the Staff of the University shall form a quorum.

SECTION 4:
Powers of the Council

1. The Council shall be responsible for the conduct and activities of the University, the custody and use of the University's Common Seal and shall exercise all the University's powers.

2. In addition to all other powers vested in it, the Council shall have the powers set out in the Regulations.

3. Subject to paragraph 4 of this Section, the Council shall be entitled to delegate all or any of its functions, powers and duties to any person or body.

4. The Council shall not delegate responsibility for:
   (a) appointing the Chancellor;
   (b) appointing the Vice-Chancellor;
   (c) the variation, amendment or revocation of the Charter or Statutes;
   (d) the approval of the University’s strategic plan;
   (e) the approval of the University’s financial forecasts and annual budget;
   (f) the approval of the University’s annual audited accounts;
   (g) appointing the University’s auditors.

SECTION 5:
The Senate

1. The Senate shall oversee teaching and research, and be responsible for the academic quality and standards of the University and the admission and regulation of students.

2. The membership and powers of the Senate shall be prescribed in the Regulations.

SECTION 6:
Staff

1. This section shall apply to all Staff.

2. The Council shall be responsible for ensuring that there are in place procedures governing the whole of the employment relationship, including the appointment, development and reward of Staff.
3. The Council shall also ensure that:
   (a) there are in place employment procedures for the staffing matters listed in paragraph 5 of this section; and
   (b) there is a Regulation for the removal from office and dismissal of the chief executive of the University.

4. In determining the procedures to be adopted under paragraph 3, the Council shall apply the following guiding principles:
   (a) ensure that Academic Staff, Teaching Staff and Research Staff have freedom within the law to question and test received wisdom, and to put forward new ideas and controversial or unpopular opinions, without placing themselves in jeopardy of losing their jobs or privileges;
   (b) enable the University to deliver its mission, vision and associated activities, efficiently and economically;
   (c) apply the principles of justice and fairness; and
   (d) seek to advance the principles of equality and diversity.

5. The procedures referred to in paragraph 3 are employment procedures for all Staff regarding:
   (a) the handling of disciplinary cases including the dismissal of such members of Staff by reason of misconduct and for appeals against disciplinary action. Such procedures shall provide adequate opportunities for improvement including the use of warnings with summary dismissal only available in cases of gross misconduct. Suspension shall be applied in the limited circumstances set out in the University’s employment procedures, subject to regular review and only applied where no alternative is considered appropriate or practicable;
   (b) the dismissal of members of Staff by reason of redundancy and appeals against such dismissals; any such procedure shall be aimed at consideration of measures to avoid compulsory redundancy with provisions for collective consultation;
   (c) the dismissal of members of Staff (following confirmation in post after any applicable probationary period) by reason of incapability relating to work performance and appeals against such dismissals; such procedures shall provide adequate opportunities for improvement including the use of warnings;
   (d) the dismissal of members of Staff on the grounds of ill health or medical incapacity and appeals against such dismissals. In all cases where the dismissal of a member of Staff is an option, the disciplinary panel shall give due consideration to advice from the University’s occupational health advisers, medical evidence submitted by the Staff member from a qualified medical practitioner involved in their treatment and any additional medical evidence that may be requested by the disciplinary panel where appropriate;
   (e) the dismissal of members of Staff for any reason other than the reasons specified in sub-paragraphs (a) – (d) and (g) and appeals against such dismissals;
   (f) the handling of grievances raised by members of Staff and appeals against grievance outcomes.

Additionally, the following are employment procedures for Academic Staff, Teaching Staff and Research Staff only:
   (g) the review of performance and progress of such Staff during any probationary period to which their appointment or employment is subject, and for the dismissal of such Staff during or at the end of their probationary period in the event of unacceptable progress or performance, and appeals against such dismissals. The primary purpose of the procedure shall be to enable the member of Staff to become effective in the role and where necessary to bring about a sustained improvement in performance and ensure the member of Staff has adequate opportunity to achieve this;
   (h) the removal of a member of such Staff from any role that is not defined within that member of Staff’s contract of employment by reason of incapability relating to work performance or misconduct and appeals against such removal; and
   (i) the investigation and response to allegations of research misconduct against members of such Staff.
6. The following principles shall be incorporated into the procedures for all Staff:
   (a) procedures shall be applied to enable issues to be resolved informally where appropriate and wherever possible close to the time of origin, and within a reasonable timescale, including by mediation with the agreement of both parties; and
   (b) procedures shall be based upon the principles of natural justice.

7. Additionally, the following principles shall only apply to Academic Staff, Teaching Staff and Research Staff (and in this section the term “Officer” shall only include any senior academic member of Staff of the University as defined in the relevant employment procedures, including any deputy appointed in accordance with such procedures):
   (a) in all cases where dismissal is an option, an internal panel shall be convened to determine the case which shall include at least one Officer, being a person not subject to or otherwise connected with the proceedings;
   (b) redundancy dismissals arising from departmental closure or significant organisational restructure shall only occur where Council has both approved the plans and authorised their implementation;
   (c) under any procedure in this section, where the member of Staff exercises the right of appeal the panel shall include an Officer, being a person not subject to or otherwise connected with the proceedings; and
   (d) appeals against any dismissal shall be heard by a panel which shall include an independent professional or academic nominated by Council, who is not employed by the University as a member of Staff, and an Officer, being a person not subject to or otherwise connected with the proceedings.

8. Any employment policy and procedure made under paragraph 3 or 5 of this section shall be construed in every case to give effect to the guiding principles in paragraph 4. For the avoidance of doubt, a member of Academic Staff, Teaching Staff and Research Staff may raise the principle of academic freedom as an issue to be taken into account in any investigation of the facts; in any hearing or appeal which could lead to dismissal, the imposition of any penalty or sanction or removal or restriction of privileges; and in any decision or appeal in relation to any grievance.

SECTION 7:
Validity of Decisions of Council

1. Decisions taken at a meeting of Council shall not be invalidated because of:
   (a) a procedural defect of which the Council is unaware at the time, provided that the defect, once identified, is at the earliest reasonable opportunity brought to the attention of the Council and the Council is asked to consider whether the decision should stand;
   (b) a technical defect in the appointment of a Council Member of which the Council is unaware at the time;
   (c) a technical defect in the giving of notice of which the Council is unaware at the time; or
   (d) a vacancy in the membership of the Council.
**REGULATION I: **

**Responsibility for Creation and Amendment of Regulations**

1. In accordance with Article 14 of the Charter, the Council shall have the power to make, amend or repeal Regulations.

2. The following table sets out the responsibility for the making, amendment and revocation of each of the Regulations and the delegation of such power pursuant to Regulation II (7.2) by the Council to Senate in relation to specified Regulations.

3. Pursuant to Regulation II (4.2), the Council also delegates the power to Senate to make further Regulations in addition to those set out below in relation to academic matters.

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REGULATION II:

The Council

Terms defined in this Regulation II shall have the meaning given to them in the Statutes and in Regulation VIII.

1. Appointment or Election of Members of Council

1.1 Subject to the Statutes:

1.1.1 the Council Members in Class (1) shall be appointed by the Council in accordance with Regulation VI;

1.1.2 the Council Members in Class (2) shall be appointed by the Council on the recommendation of the President & Vice-Chancellor;

1.1.3 the Council Members in Class (3) shall be appointed by the Council on the recommendation of its Nominations Committee, which shall ensure that at least one of those holding appointment in Class (3) is a graduate of the University;

1.1.4 the Council Members in Class (4) shall be elected by and from the Senate; and

1.1.5 the Council Member in Class (5) shall be elected by and from the Professional Staff in Grades 1 to 7.

1.2 Casual vacancies in Classes (2), (3), (4) and (5) shall be filled by the persons or body which elected or appointed the Member whose place has become vacant. Council Members elected or appointed to casual vacancies shall retire at the time when the person whom they respectively succeed should have retired.

1.3 In preparing its recommendations to the Council, the Nominations Committee shall have regard to the diversity of potential new members together with their experience and skills in the full range of activities that are relevant to the fulfilment of the University's Objects.

1.4 The procedure for carrying out elections for Council Members in Classes (4) and (5) and any changes to such procedure shall be prepared by the University Secretary for approval by the Class (1) Council Members and, once approved, will be published and maintained by the University Secretary.

2. Removal and Resignation of Members of Council

2.1 Members of Council may resign by letter addressed to the University Secretary.

2.2 The Council may remove from office any Member of Council for conduct which, in the reasonable opinion of the Council, is inappropriate to the holding of such office.

2.3 The Council will not remove a Member of Council from office pursuant to paragraph 2.2 until there has been a process of review by and recommendation from the Council's Nominations Committee and this process shall include the Member who is proposed to be removed from office being given a reasonable opportunity of being heard.

2.4 A non-professorial Council Member of Class (4) shall vacate office as a Council Member upon their appointment as a Professor if that appointment increases the number of Professors who are Class 4 Council Members beyond two;

2.5 A Member of Council shall vacate office on ceasing to hold any office or other qualification by virtue of which that person became of Member of the Council.

2.6 A Member of Council’s term of office as such automatically terminates if they:

2.6.1 are disqualified under the Charities Act 2011 from acting as a charity trustee;

2.6.2 are determined by the Office for Students not to be a fit and proper person to hold such office;

2.6.3 are incapable, whether mentally or physically, of managing his/her own affairs; or

2.6.4 are absent without prior notification to the Chair from three consecutive meetings of Council.

3. Attendance at Meetings of Council

3.1 Subject to paragraph 3.2, persons who are not Members of Council will not be permitted to attend meetings of the Council except with the prior agreement of the University Secretary and the Chair of Council.
3.2 The Council Member of Class (6) may be accompanied at meetings of the Council by another officer of the Students’ Union nominated by the President of the Students’ Union.

4. **Powers of Council**

Subject to the Charter and the Statutes the Council shall in addition to all other powers vested in it have, without limitation, the power to:

4.1 subject to the approval of the Privy Council, make and amend Statutes and amend the Charter provided that the principle of every such proposal shall be communicated to the Senate in advance of the meeting of the Council at which the business is to be considered;

4.2 make Regulations governing all matters except those relating to the powers of Senate as set out in Regulation IX and the duties or powers of Faculties as set out in Regulation X;

4.3 appoint and remove the Chancellor and Pro-Chancellors;

4.4 appoint and remove the Chair of Council and the Treasurer;

4.5 appoint and remove the President & Vice-Chancellor, Provost & Deputy Vice-Chancellor, Vice-Presidents, University Secretary, Heads of Departments and other University Officers as may from time to time be determined, together with Staff of the University, and to ensure that arrangements are put in place to regulate the terms and mode of appointment, tenure of and removal from office, promotion, duties, salaries, allowances and superannuation allowances of the University Officers and Staff of the University;

4.6 consider, adjudicate upon and if thought fit redress any grievances of the University Officers, Staff or Students of the University;

4.7 review the learning, teaching and academic quality and standards of the University;

4.8 promote and make provision for research within the University and to require reports from time to time on such research;

4.9 provide for the welfare of the Students;

4.10 govern, manage and regulate all of the University’s financial activities which includes:

   4.10.1 investing any monies belonging to the University;
   4.10.2 selling, buying, exchanging, leasing and accepting leases of real and personal property on behalf of the University;
   4.10.3 providing the buildings, premises, furniture and equipment and other means needed for carrying on the work of the University;
   4.10.4 borrowing or raising money in any manner and, in particular, by the issue of notes, bonds, loan stock, shares, stock, warrants or any other instrument or security of any kind;
   4.10.5 refinancing, replacing or reorganising any capital, finance or credit previously raised or obtained by the University;
   4.10.6 guaranteeing, supporting or securing (whether or not receiving any consideration or benefit) the payment, repayment or discharge of any monies, debts or liabilities, or the performance or observance of any obligations in relation to any transaction whatsoever so far as permitted by charity law;
   4.10.7 creating or granting a mortgage, charge, pledge, lien or encumbrance of any kind over, or entering into any other type of transaction (including accepting or granting options) in relation to, all or any of the undertaking, property or assets of the University (present and future) so far as permitted by charity law;
   4.10.8 providing indemnities in respect of every kind of claim, proceeding, tax, liability, loss, expense, failure, default or contingency, with or without securing the indemnity by a mortgage, charge, pledge, lien or other encumbrance;
   4.10.9 entering into any agreement or arrangement as to the subordination or priority of any debts, liabilities or encumbrance (of any kind) incurred at any time either by, or in favour of, the University;
   4.10.10 entering into any contract with any party, the purpose or purported purpose of which is to protect the University and its assets:

   (a) against any fluctuation in rates of exchange, interest or any index of stocks, bonds or commodity prices;
(b) in order to secure a profit or avoid a loss in respect of the value or price of property of any description;

(c) any similar or comparable contract;

provided that any monies borrowed, raised or guaranteed by the University or value of assets charged shall not at any time exceed in aggregate the sum of £330,000,000.

4.11 carry on any of the University’s activities by or through any body corporate or unincorporate, and whether or not a subsidiary within the meaning of such term in the Companies Act 2006 (as amended from time to time) or in collaboration or by way of joint venture with such body corporate or unincorporate;

4.12 acquire any part of another institution or body or to merge the University with any other higher education institution;

4.13 negotiate, enter into and execute all documents, deeds, instruments, agreements, securities, options, contracts and undertakings of every kind and description whatsoever relating to or incidental to the exercise of any of the Council’s powers and to vary and cancel such contracts on behalf of the University;

4.14 select a Seal, Arms and Mace for the University and have the sole custody of the Seal;

4.15 approve the dissolution of the University and apply to surrender the Charter; and

4.16 undertake any act incidental to the operation of the above powers or to achieving the Objects of the University.

5. Functions of Council

Subject to the Charter and Statutes, the Council’s primary functions are to:

5.1 develop and approve the mission and strategic vision of the University, long-term academic and business plans and key performance indicators, and to ensure that these meet the interests of stakeholders;

5.2 maintain oversight of the exercise of the authority delegated by the Council to the President & Vice-Chancellor, as chief executive, for the academic, corporate, financial, estate and human resources management of the University and to establish and keep under regular review the policies, procedures and limits applicable to such management functions as shall be undertaken by and under the authority of the President & Vice-Chancellor;

5.3 review, test, refer back, control, amend or disallow any act of the Senate and give directions to the Senate;

5.4 ensure the establishment and monitoring of systems of control and accountability including financial and operational controls and risk assessment, and procedures for handling internal grievances and for managing conflicts of interest;

5.5 ensure processes are in place to monitor and evaluate the performance and effectiveness of the University against the plans and approved key performance indicators, which should be, where possible and appropriate, benchmarked against other comparable universities;

5.6 establish processes to monitor and evaluate the performance and effectiveness of the Council itself;

5.7 conduct its business with regard to best practice in higher education corporate governance and in accordance with the public interest governance principles drawn up by the Office for Students and the principles of public life drawn up by the Committee on Standards in Public Life, such that the University operates openly, honestly, accountably and with integrity;

5.8 safeguard the reputation and values of the University;

5.9 take such steps as are reasonably practicable to ensure that freedom of speech within the law is secured within the University;

5.10 promote equality and diversity throughout the University, including in relation to its own operation;

5.11 appoint the President & Vice-Chancellor as chief executive, and to put in place suitable arrangements for monitoring his/her performance;

5.12 appoint the University Secretary, who shall by virtue of holding that office be the secretary to the Council and Senate;

5.13 be the employing authority for all Staff in the University and to be responsible for establishing a human resources strategy;
5.14 be the principal financial and business authority of the University, to ensure that financial control and risk management procedures are robust, to approve the annual budget and financial statements, and to have overall responsibility for the University’s assets, property and estate, including ensuring value for money;

5.15 ensure that systems are in place for meeting all the University’s legal obligations, including those relating to health and safety and those arising from contracts and other legal commitments made in the University’s name;

5.16 make such provision as it thinks fit for the general welfare of Students, in consultation with the Senate;

5.17 review at intervals of not less than five years the constitution of the Students' Union and approve amendments recommended by the Students' Union to its constitution between those five yearly reviews;

5.18 act as trustee for any property, legacy, endowment, bequest or gift in support of the Objects of the University; and

5.19 ensure that the University’s Charter and Statutes are followed at all times and that appropriate advice is available to enable this to happen.

6. Matters Reserved for Decision by Council

6.1 The following matters are reserved to Council for decision, subject to advice, as appropriate, from Senate, the President & Vice-Chancellor or other members of his/her executive board, or other committees and may not be delegated.

6.2 Constitutional matters:

6.2.1 amendments to the Charter and Statutes subject to the approval of the Privy Council;

6.2.2 establishment or abolition of Faculties and Departments, and approval of their titles;

6.2.3 other major changes in the University’s organisational and committee structure; and

6.2.4 establishment of and amendments to a Scheme of Delegation in respect of the delegation of the powers of the Council.

6.3 Mission and strategy:

6.3.1 approval and amendment of the University’s mission, vision and identity; and

6.3.2 approval of the University’s strategic plan and any other key strategies related to the plan.

6.4 Financial matters:

6.4.1 approval of the University’s financial forecasts and annual budget;

6.4.2 approval of the University’s annual audited accounts;

6.4.3 appointment of the University’s auditors;

6.4.4 approval of the delegation of other financial matters for inclusion in the Scheme of Delegation.

6.5 Statutory compliance:

6.5.1 approval of statements and policies complying with statutory requirements, for example, the Equality Duty and the University’s Health and Safety Policy.

6.6 Appointment/removal of University Officers and Members of Council: the Chancellor; Pro-Chancellors; Treasurer; President & Vice-Chancellor; Provost & Deputy Vice-Chancellor; Vice-Presidents; University Secretary; and Class (3) Members of the Council.

6.7 Audit and monitoring:

6.7.1 monitoring and evaluating the performance of the University against approved plans and key performance indicators;

6.7.2 considering an annual report from the Council Audit Committee;

6.7.3 approving the University’s internal control and risk management procedures and corporate risk register; and

6.7.4 implementing decisions or recommendations arising from any review of the effectiveness of Council.
6.8 Other institutions:

6.8.1 granting the designation “affiliated to the University of Sheffield” to other institutions on the recommendation of Senate; and

6.8.2 approval of mergers with other institutions.

7. Delegation of Powers

7.1 Subject to section 4 of the Statutes and paragraph 6 above, the Council may:

7.1.1 delegate to any University Officer, member of Staff or Committee any power exercisable by the Council, the Senate or the Faculties under the Statutes and these Regulations;

7.1.2 provide that the actions taken in the discharge of the delegated powers shall not require confirmation by the body whose powers are delegated; and

7.1.3 revoke or alter a delegation at any time.

7.2 The delegation of powers exercisable by Council pursuant to paragraph 7.1 above shall be recorded in the Scheme of Delegation, which shall be reviewed by Council on an annual basis, it being noted that the Scheme of Delegation is a non-exhaustive record of powers delegated by Council and does not preclude Council from delegating its powers outside the provisions of the Scheme of Delegation.

7.3 Council has delegated to the Chair of Council the following powers to act on the Council's behalf between scheduled meetings of the Council:

7.3.1 to carry out items of routine business that would not normally merit discussion or resolution at meetings of the Council;

7.3.2 to take action to implement decisions that have already been approved by the Council provided always that any such action remains within the parameters of the approval given by Council; and

7.3.3 to take action in respect of any issue which, in the view of the Chair, under advisement from the University Secretary, is too urgent and important for consideration to be deferred until the next scheduled meeting of the Council or an emergency meeting of the Council, especially where any lack of timely action could damage the interests of the University.

7.4 Where the Chair of Council has exercised their delegated authority to act on behalf of the Council pursuant to paragraph 7.3 above, a report on the action taken, together with any background documents, will be made available to Council at its next scheduled meeting where the Chair will explain the reason action was taken.

7.5 Each of Senate and the Faculties may by Regulation sub-delegate the exercise of powers delegated to them under the Statutes and these Regulations, provided that details of such sub-delegations are recorded and maintained in Regulation IX (in respect of powers sub-delegated by Senate) and Regulation X (in respect of powers sub-delegated by the Faculties).

7.6 Where there is delegation to a Committee of the exercise of the following powers, there shall not be any Student member of that Committee:

7.6.1 any of the powers of the Senate and the Faculties regarding the award of Degrees, Fellowships, Prizes and other distinctions and examination results; and

7.6.2 any of the powers of the Senate and Faculties, in accordance with Regulations made by the Senate, to review the progress or fitness to practise of Students or to consider academic appeals.

7.7 The making of a Regulation under this paragraph shall not be taken as divesting the Council, the Senate or any Faculty of any of their powers under the Statutes or Regulations.

8. Meetings of the Council and its Committees and conduct of business

8.1 The Council may make Regulations for its own proceedings and the proceedings of all Committees, but subject to the Statutes and any such Regulations every Committee shall determine in consultation with the University Secretary their own procedures for the proceedings of their meetings and the conduct of their business. The University Secretary shall publish and maintain details of such procedures of Council and of its Committees.

8.2 Council Members of Class (6) are Members of Council in respect of all business of the Council except matters concerning the admission, progress and academic assessment of
individual Students and the powers of the Senate as listed under Regulation IX paragraphs (1.1.1) and (1.1.2).

9. Committees of Council

9.1 The Council shall have the following sub-committees, the respective duties and terms of reference of which shall be approved by Council the respective duties and terms of reference for each shall be reviewed by the Committee annually and any amendments proposed for approval by Council:

9.1.1 The Audit Committee, which shall comprise:
   (a) four Class (3) Members of the Council, appointed by the Council; and
   (b) up to four co-opted members, appointed by the Council.
Quorum: two members of the Committee, of whom at least one should be a Member of Council.

9.1.2 The Council Nominations Committee, which shall comprise:
   (a) the Chair of the Council and other Pro-Chancellors;
   (b) the President & Vice-Chancellor;
   (c) the Provost & Deputy Vice-Chancellor; and
   (d) at times when only two Pro-Chancellors are in post, one additional member, who will normally be a member of the Council, appointed by the Council.
Quorum: four members of the Committee, of whom members of Staff of the University shall not constitute a majority.

9.1.3 The Senior Remuneration Committee, which shall comprise:
   (a) the Chair of the Council;
   (b) the Pro-Chancellors;
   (c) the Treasurer;
   (d) one other member of the Council, appointed by the Council.
Quorum: three members of the Committee.

9.2 For the time being, the Council shall additionally have the following sub-committees, the respective duties and terms of reference for each shall be reviewed by the relevant Committee annually and any amendments proposed for approval by Council:

9.2.1 The Council Equality, Diversity and Inclusion Committee;

9.2.2 The Council Estates Committee

9.2.3 The Council Finance Committee;

9.2.4 The Honorary Degrees Committee (a joint committee of the Senate and the Council).

9.3 The Council and Senate may also, from time to time, appoint such and so many standing and special Committees as may seem to them fit and may place on them persons who are not members of the appointing bodies.

10. Consultation with the Senate

10.1 The Council shall inform, consult with or receive recommendations from the Senate in relation to matters including but not limited to the following:

10.1.1 when appointing a President & Vice-Chancellor, the Council shall consult with the Senate by means of the membership of a Joint Committee of the Council and the Senate;

10.1.2 when appointing a University Secretary, the Council shall include a member of the Senate on the relevant appointment panel;

10.1.3 when amending the Charter and making or amending Statutes and/or these Regulations the Council shall, in respect of matters relevant to the powers delegated to the Senate or of any Faculty, or to the sub-delegation of those powers, do so only after consultation with the Senate, and in respect of this shall communicate to the Senate the principle of every such proposal in advance of the meeting of the Council at which the business of the amendment of the Charter, Statutes and/or these Regulations is to be considered.
REGULATION III:
Scheme of Council Delegation

Introduction

The purpose of the Scheme of Delegation is to provide a summary of the powers of the University Council as set out in the Statutes and in Regulation II and then to identify in relation to those powers the responsibilities and delegated authorities for making key decisions in the name of, or on behalf of, the University. This information is contained in the table below.

The intention of the Scheme of Delegation is to provide clarity on the decision-making process and final authorities in relation to key strategic and policy matters for which the Council has the ultimate responsibility in order that the University's decision-making process is transparent and demonstrates effective accountability and good governance.

How to apply the Scheme of Delegation

The Statutes and Regulation II detail the powers of the Council that can only be exercised by the Council and cannot be delegated. These powers are set out in Section 1 of the table below.

Section 2 of the table below sets out details of the powers of Council that have been delegated and the person or committee to which the exercise of those powers has been delegated and which are therefore able to make decisions in relation to those powers on behalf of the Council. Where the Council has delegated the exercise of its powers to another person or body, the Council is still ultimately accountable for the actions taken.

The Scheme of Delegation should be used as a first point of reference for understanding where the responsibility lies for key decision-making. The Scheme of Delegation is not exhaustive as the Council is able to make decisions to delegate its powers (other than those set out at Section 1 of the table below) at any time as required in order to maintain effective governance of the University. It may also be the case that a particular project does not fall within a specified delegation in the table below; where this is the case, a common-sense approach should be taken in applying the Scheme of Delegation in order to determine the most appropriate body, committee or person to which a decision should be referred, with guidance from the University Secretary. If there is doubt as to whether there is delegated authority to make a decision, it should be assumed that no such authority is given and that the decision is reserved to Council. The University Secretary will be able to provide clarification on the application of the Scheme of Delegation.

The Scheme of Delegation is reviewed and updated on an annual basis by the Council.
## SECTION 1 – POWERS OF COUNCIL THAT CANNOT BE DELEGATED

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<tr>
<td>Amendments to the Charter and Statutes</td>
<td>Subject to the approval of the Privy Council, make and amend Statutes and amend the Charter provided that the principle of every such proposal shall be communicated to the Senate in advance of the meeting of the Council at which the business is to be considered;</td>
<td>4.1</td>
<td>Context: see Regulation I (Responsibility for Creation and Amendment of Regulations)</td>
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<tr>
<td>Making Regulations other than those within the control of Senate</td>
<td>Make Regulations governing all matters except those relating to the powers of Senate and the duties and powers of Faculties as set out in Regulation I;</td>
<td>4.2</td>
<td>None, other than Financial Regulations, for which authority is delegated to Council Finance Committee (see 4.10)</td>
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<td>Appointment/removal of the Chancellor and Pro-Chancellors</td>
<td>Appoint and remove the Chancellor and Pro-Chancellors;</td>
<td>4.3</td>
<td>Context: see Regulation VI (The University Officers)</td>
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<td>Appointment/removal of the Chair of Council and the Treasurer</td>
<td>Appoint and remove the Chair of Council and the Treasurer;</td>
<td>4.4</td>
<td>Context: see Regulation VI (The University Officers)</td>
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<tr>
<td>Appointment/removal of the President &amp; Vice-Chancellor</td>
<td>Appoint and remove the President &amp; Vice-Chancellor, University Secretary, Provost &amp; Deputy Vice-Chancellor, Vice-Presidents, Heads of Departments and other University Officers as may from time to time be determined, together with Staff of the University, and to ensure that arrangements are put in place to regulate the terms and mode of appointment, tenure of and removal from office, promotion, duties, salaries, allowances, and pensions of the Officers and employees of the University;</td>
<td>4.5</td>
<td>Context: see Regulations VI (The University Officers) and VII (The Dismissal and Removal from Office of the President &amp; Vice-Chancellor)</td>
</tr>
<tr>
<td>Appointment/removal of the University Secretary</td>
<td>As above</td>
<td>4.5</td>
<td>Context: see Regulation VI (The University Officers)</td>
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<tr>
<td>Appointment/removal of the Provost &amp; Deputy-Vice-Chancellor and Vice-Presidents</td>
<td>As above</td>
<td>4.5</td>
<td>Context: See Regulation VI (The University Officers)</td>
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# SECTION 1 – POWERS OF COUNCIL THAT CANNOT BE DELEGATED

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<td>Approval of University’s financial statements, financial forecasts and annual budget</td>
<td>Govern manage and regulate all of the University's financial activities</td>
<td>4.11</td>
<td>Council Audit Committee and Council Finance Committee advise Council on various aspects</td>
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<td>Novel and/or contentious issues</td>
<td>As above</td>
<td>4.11</td>
<td>Strategic policy matter for Council decision</td>
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<tr>
<td>Creation and amendment to the University's financial strategy</td>
<td>As above</td>
<td>4.11</td>
<td>Strategic policy matter for Council decision</td>
</tr>
<tr>
<td>Appointment of Bankers</td>
<td>As above</td>
<td>4.11</td>
<td>Strategic policy matter for Council decision</td>
</tr>
<tr>
<td>Purchase, refurbishment, development of land, property and equipment with a value greater than £5,000,000</td>
<td>Provide the buildings premises furniture and apparatus and other means needed for carrying on the work of the University</td>
<td>4.10.3</td>
<td>Council is advised by Council Finance Committee</td>
</tr>
<tr>
<td>Amendments to the internal borrowing limit in Regulation II (4.10.4)</td>
<td>Borrow or raise money in any manner and, in particular, by the issue of notes, bonds, loan stock, shares, stock, warrants or any other instrument or security of any kind</td>
<td>4.10.4</td>
<td>For delegated authority where value is less than £5M, please refer to Section 2 below</td>
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</table>

For details as to when exercise of powers under Regulation II(7.12) may be delegated, please refer to Section 2 below

Approval of mergers and acquisitions | Acquire any part of another institution or body or to merge the University with any other higher education institution | 4.12    |
### SECTION 1 – POWERS OF COUNCIL THAT CANNOT BE DELEGATED

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<td>Entering into major strategic alliances at institutional level</td>
<td>Negotiate, enter into and execute all documents, deeds, instruments, agreements, securities, options, contracts and undertakings of every kind and description whatsoever relating to or incidental to the exercise of any of the Council’s powers and to vary and cancel such contracts on behalf of the University</td>
<td>4.13</td>
<td>For details as to when exercise of powers under Regulation II(4.13) may be delegated, please refer to Section 2 below</td>
</tr>
<tr>
<td>Selection of Seal, Arms and Mace</td>
<td>Select a Seal, Arms and Mace for the University and have the sole custody of the Seal</td>
<td>4.14</td>
<td>Custody of Seal delegated to the Chief Financial Officer with no further delegation</td>
</tr>
<tr>
<td>Custody of Seal</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dissolution of the University</td>
<td>Approve the dissolution of the University and apply to surrender the Royal Charter</td>
<td>4.15</td>
<td></td>
</tr>
</tbody>
</table>
## SECTION 2: POWERS DELEGATED BY COUNCIL

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<tbody>
<tr>
<td>Authorisation and reporting to Council of any severance payments for Senior Staff post holders (as defined in the Office for Students Accounts Direction)</td>
<td>As above</td>
<td>7.5</td>
<td>Council Senior Remuneration Committee</td>
<td>No further delegation Context: See CUC Senior Staff Remuneration Code</td>
</tr>
<tr>
<td>Appointment of Heads of Academic Departments</td>
<td>As above</td>
<td>7.5</td>
<td>President &amp; Vice-Chancellor</td>
<td>No further delegation</td>
</tr>
<tr>
<td>Appointment of Professors and equivalent level staff</td>
<td>As above</td>
<td>7.5</td>
<td>President &amp; Vice-Chancellor</td>
<td>No further delegation</td>
</tr>
<tr>
<td>Appointment of all other employees of the University and issue of contracts of employment</td>
<td>As above</td>
<td>7.5</td>
<td>Director of HR</td>
<td>No further delegation</td>
</tr>
<tr>
<td>Approval of conferment of the title of Reader and promotions to Personal Chair</td>
<td>As above</td>
<td>7.5</td>
<td>University Reward and Recognition Panel</td>
<td>No further delegation</td>
</tr>
<tr>
<td>Approval of recommendations for the regrading/promotion of all other employees</td>
<td>As above</td>
<td>7.5</td>
<td>Director of HR</td>
<td>Further delegation to Vice-President &amp; Head of Faculty or, in respect of AMRC staff, the Executive Dean of the AMRC</td>
</tr>
<tr>
<td>Termination of employment contracts and dismissal of employees</td>
<td>As above</td>
<td>7.5</td>
<td>Director of HR</td>
<td>No further delegation</td>
</tr>
<tr>
<td>Authorisation of any payments associated with ill-health and early retirements</td>
<td>As above</td>
<td>7.5</td>
<td>Director of HR</td>
<td>No further delegation Senior Staff fall within the remit of the Council Senior Remuneration Committee</td>
</tr>
<tr>
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</tr>
<tr>
<td>Reaching settlements, including statutory, contractual and non-contractual payments, in line with employment legislation and approved internal procedures</td>
<td>As above</td>
<td>7.5</td>
<td>Director of HR</td>
<td>No further delegation. Senior Staff fall within the remit of the Council Senior Remuneration Committee.</td>
</tr>
<tr>
<td>Issuing of agreements for services with contractors/workers</td>
<td>As above</td>
<td>7.5</td>
<td>Director of HR</td>
<td>No further delegation.</td>
</tr>
<tr>
<td>Requesting criminal activity reports from the Disclosure and Barring Service for relevant post holders in line with approved procedures</td>
<td>As above</td>
<td>7.5</td>
<td>Director of HR</td>
<td>No further delegation.</td>
</tr>
<tr>
<td>Negotiation and agreement of collective terms and conditions of employment</td>
<td>As above</td>
<td>7.5</td>
<td>Director of HR and UEB Human Resources Group</td>
<td>No further delegation.</td>
</tr>
<tr>
<td>Negotiation and agreement of individual contracts of employment</td>
<td></td>
<td></td>
<td>Director of HR</td>
<td>No further delegation.</td>
</tr>
<tr>
<td>Conferment of appointments for staff following successful probationary period</td>
<td>As above</td>
<td>7.5</td>
<td>Director of HR following approved recommendation of Vice-President &amp; Head of Faculty</td>
<td>No further delegation.</td>
</tr>
<tr>
<td>Conferment of visiting, honorary, emeritus and other academic titles on non-employees in line with agreed procedures</td>
<td>As above</td>
<td>7.5</td>
<td>Director of HR following approved recommendation of Vice-President &amp; Head of Faculty</td>
<td>No further delegation.</td>
</tr>
<tr>
<td>Hearing of concerns raised by aggrieved staff in accordance with the University of Sheffield Grievance Policy and Procedure</td>
<td>Consider, adjudicate upon and if thought fit redress any grievances of the Officers, staff or students of the University</td>
<td>4.6</td>
<td>Director of HR</td>
<td>No further delegation. Context: see Grievance Policy and Procedure.</td>
</tr>
<tr>
<td>Consideration of protected disclosures made in the public interest: investigation and hearing of related matters</td>
<td>As above</td>
<td>7.6</td>
<td>Director of HR</td>
<td>No further delegation. Context: see Public Interest Disclosure Policy.</td>
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# SECTION 2: POWERS DELEGATED BY COUNCIL

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| Consider matters of research misconduct: investigation and determining of matters of research misconduct | As above | 7.6 | Director of HR | No further delegation  
Context: see Policy and Procedures for investigating and responding to allegations of research misconduct |
| Grievances submitted by students or graduates of the University: | As above | 7.6 | a) a Vice-President other than that of the student's or graduate's Faculty  
(b) Case Review Panel | No further delegation  
Context: see Student Complaints Procedure |
| (a) decision as to whether or not a substantive case exists | | | | |
| (b) review of grievance, if held to be substantive | | | | |
| Overall responsibility, subject to the Charter and Statutes and to Regulation III 4.7 below, for learning, teaching and academic standards | Review the learning, teaching and academic standards of the University | 4.7 | Senate | Further delegation to Senate Learning and Teaching Committee and its sub-committees |
| Overall responsibility, subject to the Charter and Statutes and to Regulation III 4.7 below, for research | Promote and make provision for research within the University and to require reports from time to time on such research | 4.8 | Senate | Further delegation to Senate Research and Innovation Committee and its sub-committees and to Research Ethics Committee |
| Provision of services to support the welfare of students | Provide for the welfare of the students | 4.9 | The President & Vice-Chancellor | Further delegation to the Executive Director of Academic Services |
| Banking activities such as bank signatories | Govern, manage and regulate all of the University's financial activities | 4.10 | Council Finance Committee | Further delegation of activities and authority levels detailed within the Financial Regulations |
### SECTION 2: POWERS DELEGATED BY COUNCIL

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<tbody>
<tr>
<td>Creation and amendment to the Financial Regulations.</td>
<td>As above</td>
<td>4.10</td>
<td>Council Finance Committee</td>
<td>No further delegation: Council receives retrospective reporting on changes from Council Finance Committee Changes to supplementary information and financial advice notes detailed within the Financial Regulations</td>
</tr>
<tr>
<td>Amendments to the Supplementary Information Appendices or Financial Advice Notes (where specifically indicated)</td>
<td>As above</td>
<td>4.10</td>
<td>Council Finance Committee</td>
<td>Further delegation detailed within the Financial Regulations</td>
</tr>
<tr>
<td>Other amendments to the Supplementary Information Appendices of Financial Advice Notes which support the Financial Regulations</td>
<td>As above</td>
<td>4.10</td>
<td>Council Finance Committee</td>
<td>Further delegation detailed within the Financial Regulations</td>
</tr>
<tr>
<td>Commercialisation of Intellectual Property</td>
<td>As above</td>
<td>4.10</td>
<td>Council Finance Committee</td>
<td>Further delegation detailed within the Financial Regulations</td>
</tr>
<tr>
<td>Agreement of tuition fees where the University has discretion to determine the fee levels</td>
<td>As above</td>
<td>4.10</td>
<td>The President &amp; Vice-Chancellor, advised by UEB</td>
<td>Further delegation detailed within the Financial Regulations (SIA 9) Further delegation to UEB Strategic Advisory Group - Student Numbers and Fees</td>
</tr>
<tr>
<td>Agreement of student accommodation fees</td>
<td>As above</td>
<td>4.10</td>
<td>Council Finance Committee</td>
<td>Further delegation detailed within the Financial Regulations</td>
</tr>
<tr>
<td>Investment of funds and the management of endowments</td>
<td>Invest any monies belonging to the University</td>
<td>4.10.1</td>
<td>Council Finance Committee</td>
<td>Further delegation detailed within the Financial Regulations Council receives retrospective reporting on activities through Council Finance Committee</td>
</tr>
<tr>
<td>Leases of land and property</td>
<td>Sell buy exchange lease and accept leases of real and personal property on behalf of the University;</td>
<td>4.10.2</td>
<td>Council Finance Committee</td>
<td>Details of delegation and any further delegation to be confirmed. However, approval will mirror tiered approach adopted for Capital Project approval (see 4.10.3)</td>
</tr>
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<tr>
<td>Leases of equipment</td>
<td>As above</td>
<td>4.10.2</td>
<td>Follows capital project approvals route (see 4.10.3) where significant equipment lease</td>
<td>Council Finance Committee for other items</td>
</tr>
<tr>
<td>Purchase, refurbishment, development of land, property and equipment</td>
<td>Provide the buildings premises furniture and apparatus and other means needed for carrying on the work of the University</td>
<td>4.10.3</td>
<td>&gt;£5m: no delegation</td>
<td>Council is advised by Council Finance Committee</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>£1m to £5m: Council Finance Committee (Council on request and for information)</td>
<td>No further delegation within these parameters</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>£250k to £1m: Council Finance Committee</td>
<td>Further delegation within these parameters to the President &amp; Vice-Chancellor, advised by UEB and UEB Estates and Capital Sub-Group or UEB IT Sub-Group in the case of IT capital expenditure</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>£50k to £250k: Council Finance Committee</td>
<td>Further delegation to two signatories: Chief Financial Officer or an Authorised Financial Officer and one of the Provost &amp; Deputy Vice-Chancellor or Director of Infrastructure</td>
</tr>
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<tbody>
<tr>
<td>Purchase, refurbishment, development of land and property</td>
<td>As above</td>
<td>4.10.3</td>
<td>Council and Council Finance Committee Chair approval</td>
<td>No further delegation</td>
</tr>
<tr>
<td><strong>Offline approval route in exceptional circumstances</strong></td>
<td></td>
<td></td>
<td></td>
<td>Initial approval through UEB Estates Capital Sub-Group or UEB IT Sub-Group in the case of IT capital expenditure and University Executive Board. Business cases provided to the next meetings for formal notification of approval route taken</td>
</tr>
<tr>
<td>Acquisition of management information systems</td>
<td>As above</td>
<td>4.10.3</td>
<td>Follows capital project approvals route (see 4.10.3)</td>
<td>Follows capital project approvals route (see 4.10.3)</td>
</tr>
<tr>
<td>Sale of land and property</td>
<td>As above</td>
<td>4.10.3</td>
<td>Council Finance Committee</td>
<td>Further delegation detailed within the Financial Regulations Council receives retrospective reporting from Council Finance Committee</td>
</tr>
<tr>
<td>Disposal of Furniture and Equipment (other than for recycling or scrap)</td>
<td>As above</td>
<td>4.10.3</td>
<td>Council Finance Committee</td>
<td>Further delegation detailed within the Financial Regulations</td>
</tr>
<tr>
<td>Ability to borrow money and give security:</td>
<td>Borrow or raise money in any manner and, in particular, by the issue of notes, bonds, loan stock, shares, stock, warrants or any other instrument or security of any kind</td>
<td>4.10.3</td>
<td>Council Finance Committee</td>
<td>(a) No further delegation of the internal borrowing limit (b) Council receives retrospective reporting from Council Finance Committee</td>
</tr>
<tr>
<td>(a) Amendments to the internal borrowing limit</td>
<td></td>
<td></td>
<td>(a) Council</td>
<td>(a) No further delegation of the internal borrowing limit</td>
</tr>
<tr>
<td>(b) Authority to borrow up to the internal borrowing limit</td>
<td></td>
<td></td>
<td>(b) Council Finance Committee</td>
<td>(b) Council receives retrospective reporting from Council Finance Committee</td>
</tr>
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<tbody>
<tr>
<td>As indicated by the Regulation</td>
<td>Refinance, replace or reorganise any capital, finance or credit previously raised or obtained by the University</td>
<td>4.10.5</td>
<td>As per borrowing ability at 4.10.4 above</td>
<td>As per borrowing ability at 4.10.4 above</td>
</tr>
<tr>
<td>As indicated in the Regulation</td>
<td>Guarantee, support or secure (whether or not receiving any consideration or benefit) the payment, repayment or discharge of any monies, debts or liabilities, or the performance or observance of any obligations, by any person or undertaking (whether incorporated or unincorporated) in relation to any transaction whatsoever</td>
<td>4.10.6</td>
<td>Council Finance Committee</td>
<td>No further delegation Council powers articulated to cover a wide range of potential situations Specific process and authority to be defined depending on the nature of the transaction</td>
</tr>
<tr>
<td>As indicated in the Regulation</td>
<td>Create or grant a mortgage, charge, pledge, lien or encumbrance of any kind over, or enter into any type of transaction (including accepting options) in relation to, all or any of the undertaking, property or assets of the University (present and future)</td>
<td>4.10.7</td>
<td>Council Finance Committee</td>
<td>No further delegation Council Powers articulated to cover a wide range of potential situations Specific process and authority to be defined depending on the nature of the transaction</td>
</tr>
<tr>
<td>As indicated in the Regulation</td>
<td>Provide indemnities in respect of every kind of claim, proceeding, tax, liability, loss, expense, failure, default or contingency, with or without securing the indemnity by a mortgage charge, pledge, lien or other encumbrance</td>
<td>4.10.8</td>
<td>Council Finance Committee</td>
<td>No further delegation Council Powers articulated to cover a wide range of potential situations Specific process and authority to be defined depending on the nature of the transaction</td>
</tr>
<tr>
<td>As indicated in the Regulation</td>
<td>Enter into any agreement or arrangement as to the subordination or priority of any debts, liabilities or encumbrance (of any kind) incurred at any time either by, or in favour of, the University;</td>
<td>4.10.9</td>
<td>Council Finance Committee</td>
<td>No further delegation Council Powers articulated to cover a wide range of potential situations Specific process and authority to be defined depending on the nature of the transaction</td>
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<tbody>
<tr>
<td>As indicated in the Regulation</td>
<td>Enter into any contract with any party the purpose or purported purpose of which is to protect the University and its assets: (a) against any fluctuation in rates of exchange, interest or an index of stocks, bonds or commodity prices; (b) in order to secure a profit or avoid a loss in respect of the value or price of property of any description; or (c) any similar or comparable contract; provided that any monies borrowed, raised or guaranteed by the University or value of assets charged shall not at any time exceed in aggregate the sum of £330,000,000</td>
<td>4.10.10</td>
<td>Council Finance Committee</td>
<td>Further delegation detailed within the Financial Regulations Council receives retrospective reporting from Council Finance Committee concerning treasury management</td>
</tr>
</tbody>
</table>

See Section 1 above in relation to the powers of Council conferred on it under Regulation II (7.12) which cannot be delegated

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<tr>
<td>University companies: creation, investment, alteration, disposal of rights held by the University</td>
<td>Carry on any of the University’s activities by or through any body corporate or unincorporated, and whether or not a subsidiary within the meaning of such term in the Companies Act 2006 (as amended from time to time) or in collaboration or by way of joint venture with such body corporate or unincorporated</td>
<td>4.11</td>
<td>Council Finance Committee</td>
<td>Council receives retrospective reporting from Council Finance Committee Council approval should be sought for creation of a major subsidiary Covered within Policy document for the Creation, Disposal and Governance of University Companies – Appendix E to Financial Regulations. Policy approved by Council Finance Committee</td>
</tr>
<tr>
<td>Contracts for the supply of goods and services to third parties</td>
<td>Negotiate, enter into and execute all documents, deeds, instruments, agreements, securities, options, contracts and undertakings of every kind and description whatsoever relating to or incidental to the exercise of any of the Council’s powers and to vary and cancel such contracts on behalf of the University</td>
<td>4.13</td>
<td>Council Finance Committee</td>
<td>Further delegation detailed within the Financial Regulations Where a contract is material in terms of financial value, risk, term or the nature of the activity (informed by a risk/benefit analysis), approval should be sought from Council Finance Committee and/or Council</td>
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<tr>
<td>Contracts for the purchase of goods and services from third parties (non-capital)</td>
<td>As above</td>
<td>4.13</td>
<td>Council Finance Committee</td>
<td>Further delegation detailed within the Financial Regulations Where a contract is material in terms of financial value, risk, term or the nature of the activity (informed by a risk/benefit analysis), approval may be sought from Council Finance Committee and/or Council</td>
</tr>
<tr>
<td>Executing documents by deed</td>
<td>As above</td>
<td>4.13</td>
<td>Any member of Council and one of:</td>
<td>No further delegation Context: see Regulation XIII for the procedure for executing deeds Council receives retrospective reporting on its application</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>• the President &amp; Vice-Chancellor;</td>
<td></td>
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<tr>
<td></td>
<td></td>
<td></td>
<td>• the Provost &amp; Deputy Vice-Chancellor;</td>
<td></td>
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<tr>
<td></td>
<td></td>
<td></td>
<td>• a Vice-President;</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>• an Authorised Financial Officer</td>
<td></td>
</tr>
<tr>
<td>Major funding applications and acceptance of research grants</td>
<td>As above</td>
<td>4.13</td>
<td>The President &amp; Vice-Chancellor</td>
<td>Detailed within the Financial Regulations (Regulation 10.3 and SIA 10)</td>
</tr>
<tr>
<td>Decisions relating to non-financial commitments (e.g. confidentiality agreements, material transfer agreements, not-for-money research contracts)</td>
<td>As above</td>
<td>4.13</td>
<td>The President &amp; Vice-Chancellor</td>
<td>Further delegation to the Vice-President for Research &amp; Innovation, in accordance with principles and process detailed in the Financial Regulations</td>
</tr>
<tr>
<td>Memoranda of Agreement</td>
<td>As above</td>
<td>4.13</td>
<td>The President &amp; Vice-Chancellor</td>
<td>Further delegation to the Director, Sheffield International and (if financial implications) the Authorised Financial Officer</td>
</tr>
<tr>
<td>Memoranda of Understanding</td>
<td>As above</td>
<td>4.13</td>
<td>The President &amp; Vice-Chancellor</td>
<td>Further delegation to the Director, Global Engagement</td>
</tr>
</tbody>
</table>
REGULATION IV:
The Auditor

1. The Auditor shall hold office for up to five years and shall receive such remuneration as may be determined by the Council.
REGULATION V:

The Members of the University

1. The following persons shall be Members of the University:
   
   The University Officers:
   
   The Chancellor;
   The Pro-Chancellors;
   The President & Vice-Chancellor;
   The Provost & Deputy Vice-Chancellor;
   The Vice-Presidents;
   The Treasurer;
   The University Secretary;
   
   The Members of the Council;
   The Members of the Senate;
   The Emeritus Professors;
   The Alumni of the University;
   The Members of the Staff of the University;
   The Students of the University;
   
   Such other persons as shall under Regulation be granted the status of Members.

2. Membership of the University shall continue so long only as one at least of the qualifications above enumerated shall continue to be possessed by the individual Member.
REGULATION VI:

The University Officers

1. The Chancellor

1.1 The Chancellor shall be appointed by the Council and shall be installed at a Congregation of the whole University.

1.2 Pursuant to Article 6 of the Charter, the duties of the Chancellor shall be:

1.2.1 to act as the titular head of the University entitled to confer degrees and other academic awards of the University; and

1.2.2 to undertake such ceremonial and formal duties as the Council may request from time to time.

1.3 The Chancellor shall not hold any paid appointment in the University.

1.4 The Chancellor shall hold office for a period of five years from the date of installation but the Council may extend the Chancellor’s tenure of office for one further period of not more than five years.

1.5 The Chancellor may resign by letter addressed to the University Secretary and may be removed for good cause by the Council after the Chancellor has been given the opportunity to make representations against their removal.

2. The Chair of Council

2.1 The Council shall appoint a Chair of the Council who shall by virtue of that office be a Pro-Chancellor.

2.2 The Chair of the Council shall not hold any paid appointment in the University.

2.3 The duties of the Chair of the Council shall be:

2.3.1 to be responsible for the leadership of the Council and ensuring that the business of the Council is carried out efficiently and effectively for the furtherance of the University's Objects and in accordance with the University's instruments of governance, the principles of public life drawn up by the Committee on Standards in Public Life, and the public interest governance principles drawn up by the Office for Students;

2.3.2 to seek advice from the University Secretary in any case of uncertainty as to the performance of his/her duties or the business of the Council; and

2.3.3 to undertake such other duties as may be specified by the Council.

2.4 Subject to the Statutes, the Chair of the Council shall hold office for four University years and shall be eligible for re-appointment for one further such term.

2.5 In the event of a casual vacancy occurring in the office of Chair of the Council, the Council may appoint an Acting Chair to hold office for the remainder of the University year.

2.6 The Chair of Council may be removed by the Council in accordance with Regulation II.

3. The Pro-Chancellors

3.1 Pro-Chancellors other than the Chair of the Council shall be appointed by the Council.

3.2 The Pro-Chancellors shall not hold any paid appointment in the University.

3.3 The duties of the Pro-Chancellors shall be:

3.3.1 to support the Chair of the Council in meeting his or her responsibilities, including chairing meetings of the Council during the absence of the Chair or during a vacancy in the office of the Chair of Council;

3.3.2 to take lead roles for assurance in relation to particular aspects of the Council's business as may be specified by the Council;

3.3.3 to chair meetings of such Committees and undertake such other duties as may be specified by the Council including the conferment of degrees and other academic awards of the University.

3.4 A Pro-Chancellor appointed under this Regulation shall, subject to the Statutes, hold office for four University years and shall be eligible for re-appointment for one further such term.

3.5 A Pro-Chancellor may be removed by the Council in accordance with Regulation II.
4. **The Treasurer**
   
   4.1 The Treasurer shall be appointed by the Council.
   
   4.2 The Treasurer shall not hold any paid appointment in the University.
   
   4.3 The duties of the Treasurer shall be:
      
      4.3.1 to work with the Chair of the Council to seek to ensure that the Council exercises efficient and effective use of the resources of the University for the furtherance of its Objects and in accordance with the Office for Students’ continuing conditions of registration relating to financial sustainability;
      
      4.3.2 to chair meetings of the Council Finance Committee and the Council Investment Committee; and
      
      4.3.3 to undertake such other duties as may be specified by the Council.
   
   4.4 Subject to the Statutes, the Treasurer shall hold office for four University years and shall be eligible for re-appointment for one further such term.
   
   4.5 In the event of a casual vacancy occurring in the office of Treasurer, the Council may appoint an acting Treasurer to hold office for the remainder of the University year.
   
   4.6 The Treasurer may be removed by the Council in accordance with Regulation II.

5. **The President & Vice-Chancellor**

   5.1 The President & Vice-Chancellor shall be appointed to that position by the Council after recommendation by a Joint Committee of the Council and the Senate.

   5.2 The President & Vice Chancellor shall by virtue of their office be a Member of Council.

   5.3 Pursuant to Article 7 of the Charter, the President & Vice-Chancellor shall be the chief executive of the University, the accountable officer to the Office for Students and the Chair of Senate and shall in the absence of the Chancellor be entitled to confer degrees and other academic awards of the University.

   5.4 Subject to the Statutes, the President & Vice-Chancellor shall hold office for a period of seven years and shall be eligible for re-appointment for further periods.

   5.5 A President & Vice-Chancellor who is also a Professor of the University may continue to hold the latter office on retirement from the office of President & Vice-Chancellor.

   5.6 The President & Vice-Chancellor may be removed by the Council in accordance with Regulation VII.

6. **The Provost & Deputy Vice-Chancellor**

   6.1 The Provost & Deputy Vice-Chancellor shall be appointed by the Council on the recommendation of a Committee chaired by the President & Vice-Chancellor. Membership of the Committee shall include staff appointed by the President & Vice-Chancellor.

   6.2 Subject to Section 6 of the Statutes, the Provost & Deputy Vice-Chancellor shall hold office for an initial period not exceeding five years, and shall be eligible for re-appointment.

   6.3 The duties of the Provost & Deputy Vice-Chancellor shall be:
      
      6.3.1 to act for the President & Vice-Chancellor during the absence of the President & Vice-Chancellor or during a vacancy in the office of President & Vice-Chancellor;
      
      6.3.2 to assist the President & Vice-Chancellor in such matters as may be specified by the President & Vice-Chancellor;
      
      6.3.3 to carry out such other duties as may be specified by the President & Vice-Chancellor and the Council.

   6.4 The Provost & Deputy Vice-Chancellor may be removed by the Council.

7. **The Vice-Presidents**

   7.1 Vice-Presidents shall be appointed by the Council on the recommendation of a Committee chaired by the President & Vice-Chancellor. Membership of the Committee shall include staff appointed by the President & Vice-Chancellor.

   7.2 Subject to Section 6 of the Statutes, a Vice-President shall hold office for an initial period not exceeding five years, and shall be eligible for re-appointment, or shall be appointed on an open-ended basis, as determined by the Committee referred to at 7.1 above and recommended to Council.
The University Officers

7.3 The duties of a Vice-President shall be:

7.3.1 to act for the President & Vice-Chancellor during the absence of the President & Vice-Chancellor and Provost & Deputy Vice-Chancellor or during a vacancy in the office of President & Vice-Chancellor and Provost & Deputy Vice-Chancellor;

7.3.2 to assist the President & Vice-Chancellor in such matters as may be specified by the President & Vice-Chancellor;

7.3.3 to carry out such other duties as may be specified by the President & Vice-Chancellor and the Council.

7.4 A Vice-President may be removed by the Council in accordance with Regulation II.

8. The University Secretary

8.1 The University Secretary shall be appointed by the Council on the recommendation of a Committee chaired by the President & Vice-Chancellor. Membership of that Committee shall include staff appointed by the President & Vice-Chancellor and the Senate.

8.2 The University Secretary shall be the Secretary of the Council and the Senate.

8.3 The University Secretary may be removed by the Council in accordance with Regulation II.
REGULATION VII:
The Dismissal and Removal from Office of the President & Vice-Chancellor

1. This Regulation sets out the applicable procedure for the Council determining that the President & Vice-Chancellor shall be dismissed and removed from office, for any reason.

2. The Chair of the Council may at any time request Council to consider the dismissal and removal from office of the President & Vice-Chancellor where:
   2.1 the Chair him/herself considers that there are grounds for such dismissal and removal from office; or
   2.2 the Chair has received a written request from any member of Council seeking the dismissal and removal from office of the President & Vice-Chancellor and the Chair considers that it raises sufficient grounds for the matter to be referred to Council for decision.

3. Where the Council is to be asked to consider the dismissal and removal from office of the President & Vice-Chancellor, the Chair of the Council shall notify the President & Vice-Chancellor of that fact.

4. The Chair of Council may suspend the President & Vice-Chancellor from his/her duties and may exclude the President & Vice-Chancellor from the precincts of the University or any part thereof without loss of salary:
   4.1 where the Council is to be asked to consider the President & Vice-Chancellor's dismissal and removal from office; or
   4.2 at any other time where the Chair considers that this is appropriate.

5. At any meeting of Council at which the dismissal and removal from office of the President & Vice-Chancellor is to be considered, the Chair may request the President & Vice-Chancellor to absent him/herself from the whole or any part of that meeting.

6. If the Council considers that it is necessary, before taking any decision on the proposed dismissal and removal from office of the President & Vice-Chancellor to investigate any matter or establish any facts, it may at its discretion appoint a member or members of the Council to carry out such investigation and make a written report to the Council. It shall be for the member or members of Council so appointed to determine how any such investigation should be progressed, save that the President & Vice-Chancellor shall be afforded the opportunity to make written or oral representations (as the member or members of Council appointed consider appropriate) before reporting back to the Council. Nothing in this paragraph shall oblige the Council to appoint a member or members of the Council to carry out any investigation before the Council determines whether the President & Vice-Chancellor shall be dismissed or removed from office.

7. The Council may decide by a simple majority of those present to dismiss the President & Vice-Chancellor and remove him/her from office and whether such dismissal shall be a summary dismissal (i.e. without notice or payment in lieu of notice) or a dismissal on notice or (where provided for in the President & Vice-Chancellor's contract of employment) with a payment in lieu of notice. If the vote shall be equally divided for and against, the Chair shall have a second and casting vote. The Council's decision shall be final.
REGULATION VIII:
Definitions

1. As provided for in the Charter and Statutes:
   1.1 “Academic Staff” means:
       1.1.1 any employee employed by the University to engage directly in, or carry out teaching, learning and research in an academic context under the terms of their contract of employment;
       1.1.2 such other senior Members of Staff as may be determined by Council from time to time.
   1.2 “Charter” means the Charter of the University.
   1.3 “Council” means the Council of the University.
   1.4 “Objects” means the objects of the University set out in the Charter, being to advance education through teaching and research.
   1.5 “Regulations” means the Regulations of the University.
   1.6 “Research Staff” means any employee employed by the University to engage directly in, or carry out research.
   1.7 “Senate” means the Senate of the University.
   1.8 “Staff” means all persons employed by the University.
   1.9 “Statutes” means the Statutes of the University which shall be interpreted in such a way as not to conflicted with the Charter.
   1.10 “Student” means any registered student of the University.
   1.11 “Students’ Union” means the body known as “The University of Sheffield Union of Students”.
   1.12 “Teaching Staff” means any employee employed by the University to engage directly in, or carry out teaching and learning.
   1.13 “University” means the University of Sheffield.
   1.14 “University Year” means the period of 12 calendar months ending on the last day of July in each year or on such other day in each year as the Council shall determine.

2. In addition:
   2.1 “Authorised Financial Officer” means the Chief Financial Officer, Deputy Director of Finance, Assistant Directors of Finance, Commercial Director or interim authorised delegate.
   2.2 “Committee” means a committee or sub-committee of Council constituted in accordance with these Regulations.
   2.3 “Equality Duty” means the public sector equality duty on public authorities set out in section 149 of the Equality Act 2011.
   2.4 “Faculty” means an academic faculty of the University, the powers and details of which are set out in Regulation X.
   2.5 “Member” means a member of the University pursuant to Regulation V.
   2.6 “Member of Council” or “Council Member” means a member of the Council appointed in accordance with Regulation II.
   2.7 “Chancellor” means an Officer of the University with the title of Chancellor appointed in accordance with Regulation VI.
   2.8 “Office for Students” means the Office for Students, a non-departmental public body of the Department for Education, acting as the regulator and competition authority for the higher education sector in England, and includes any successor body.
   2.9 “Pro-Chancellor” means an Officer of the University with the title of Pro-Chancellor appointed in accordance with Regulation VI.
   2.10 “Professional Staff” means any member of Staff other than Academic, Teaching or Research Staff employed by the University to provide professional and support services under the terms of their contract of employment.
Definitions

2.11 “Professor” means a member of Academic Staff who has been appointed as professor by the University.

2.12 “Provost & Deputy Vice-Chancellor” means an Officer of the University with the title of Provost & Deputy Vice-Chancellor appointed in accordance with Regulation VI.

2.13 “Scheme of Delegation” means the summary of the powers of the Council set out in Regulation III and which includes a non-exhaustive list of powers that have been delegated by Council to a University Officer, member of Staff or Committee in accordance with the Statutes and Regulation II.

2.14 “Seal” means the seal of the University applied to documents signed or executed as a deed, in accordance with Regulation XIII and Financial Regulations.

2.15 “Senior Academic Officer” means the Provost & Deputy Vice-Chancellor and the Vice-Presidents.

2.16 “Treasurer” means an Officer of the University with the title of Treasurer appointed in accordance with Regulation VI.

2.17 “University Officer” shall mean those persons holding the offices set out in Regulation VI and includes any deputy appointed in accordance with these Regulations.

2.18 “Vice-President” means an Officer of the University with the title of Vice-President appointed in accordance with Regulation VI, for the purposes of Regulations I to XIII, and otherwise has the meaning conferred in Regulation XIV.
REGULATION IX:
The Senate

1. Powers of the Senate

1.1 The Senate shall have the following powers:

1.1.1 To award Degrees, Fellowships, Scholarships, Prizes and other Distinctions and to confirm examination results.

1.1.2 To review, confirm, amend or disallow any decision of a Faculty concerning the progress and fitness to practise of a student.

1.1.3 To regulate subject to the Charter and Statutes the admission of persons to courses of the University.

1.1.4 To make after report from the Faculties concerned all Regulations for giving effect to the Statutes relating to courses of study.

1.1.5 To make Regulations for the discipline of the students of the University and to suspend or expel any student when thought necessary.

1.1.6 To make Regulations as to the review by the Faculties of the progress and fitness to practise of students.

1.1.7 To review, confirm, amend or disallow a recommendation to withdraw a Degree, Fellowship, Scholarship, Prize or other distinction.

1.1.8 To report to the Council on Statutes or proposed changes to the Charter and Statutes.

1.1.9 To formulate and modify or revise schemes for the organisation of Faculties of the University and for determining the province of each Faculty and also to report to the Council as to the expediency of the establishment at any time of other Faculties or as to the expediency of the abolition combination or sub-division of any Faculties.

1.1.10 To fix (subject to any conditions made by the Founders which are accepted by the Council) the times and mode and conditions of competitions for Fellowships, Scholarships, Prizes and other Distinctions.

1.1.11 To report on any other matter referred to or delegated to it by the Council.

1.1.12 To discuss and declare an opinion on any matter whatsoever relating to the University.

2. Composition of the Senate

2.1 The Senate shall consist of:

2.1.1 The President & Vice-Chancellor (1).

2.1.2 The Provost & Deputy Vice-Chancellor (1).

2.1.3 The Vice-Presidents and Heads of Faculty (5).

2.1.4 The Vice-Presidents for Education, Innovation and Research (3).

2.1.5 The following Faculty Officers:

(a) the Directors of Learning and Teaching (5);

(b) the Directors of Research and Innovation (5).

2.1.6 Heads or acting Heads nominated from the following Departments to the Senate by and from each of the Faculty, with the number from each Faculty (including extra-Faculty nominees) being equal to those set out in accordance with 2.1.7 below (26):

Advanced Manufacturing Research Centre (AMRC), Advanced Manufacturing Research Centre – Training Centre (AMRC-TC), Animal and Plant Sciences, Archaeology, Architecture, Automatic Control and Systems Engineering, Biomedical Science, Chemistry, Chemical and Biological Engineering, Civil and Structural Engineering, Clinical Dentistry, Computer Science, East Asian Studies, Economics, Education, Electronic and Electrical Engineering, English, Geography, Health and Related Research, Health Sciences, History, Infection, Immunity and Cardiovascular Disease, Information School, Journalism Studies, Landscape Architecture, Languages and Cultures, Law, Lifelong Learning, Management, Materials Science and Engineering, Mathematics and Statistics, Mechanical Engineering, Medical School, Molecular Biology and Biotechnology, Music,
Neuroscience, Nuclear Advanced Manufacturing Research Centre (NAMRC), Oncology and Metabolism, Philosophy, Physics and Astronomy, Politics, Psychology, Sheffield Methods Institute, Sociological Studies and Urban Studies and Planning.

2.1.7 Academic, Teaching, and Research Staff of the University in Grades 7 and above with twenty-six (26) elected by and from that population in each of the Faculties (including extra-Faculty staff). Such representatives shall normally hold office for three years, with approximately a third being open to election each year. These shall be elected by Faculty constituencies, the total to be divided in number in proportion to the size of the electorate in each Faculty (including an extra-Faculty constituency), to be determined annually.

2.1.8 Student members comprising six (6) in total from:
(a) the President;
(b) the Education Officer;
(c) four other representatives nominated by the Students’ Union.

2.1.9 Professional Services staff members comprising six (6) in total from:
(a) the Executive Director of Academic Services;
(b) the University Librarian;
(c) four Professional Services staff in Grades 7 and above elected by and from the Professional Services staff of the University.

2.2 Members appointed under paragraph 2.1.8 and 2.1.9 shall be members only in respect of the powers of the Senate under paragraphs 1.1.4 to 1.1.12.

2.3 Deputies are not permitted except in the following categories and subject to the following conditions, who may appoint a deputy for a specific meeting:

2.3.1 a Head or acting Head of Department may appoint a deputy from the Heads of Department of the Faculty concerned;

2.3.2 the Director of Library Services and Executive Director of Academic Services may only appoint a deputy from their own direct reports;

2.3.3 an Officer of the Students’ Union who is a member under paragraph 2.1.8 may appoint a deputy from the Sabbatical Officers or the Students’ Union Council member nominated for this purpose by the President of the Students’ Union;

2.3.4 the University Secretary must be notified in advance by the member of Senate that they have nominated a deputy for the specific meeting.

2.4 Twenty members of the Senate, including not fewer than eleven members of the senior academic staff (Professors and Heads of Department), shall form a quorum.

2.5 In these Regulations “Head of Department” means the Head or Chair of the relevant Department, and “Department” includes, where appropriate, Centre, Division, School or Unit where Senate intends them to have the related delegated powers and as such they are listed in 2.1.6 above.

2.6 The Senate shall, in addition to the members in 2.1 above, co-opt such members as may be necessary to ensure that:

2.6.1 the senior members of the academic staff (Heads of Department and Professors) shall constitute the majority of the Senate;

2.6.2 Chairs of Senate committees not otherwise in the membership of the Senate are ex-officio members.

2.7 The University Secretary attends as Secretary to the Senate.

3. Validity of Decisions of the Senate

3.1 Decisions taken at a meeting of the Senate shall not be invalidated because of:

3.1.1 a procedural defect of which the Senate is unaware at the time, provided that the defect, once identified, is at the earliest reasonable opportunity brought to the attention of the Senate and the Senate is asked to consider whether the decision should stand;
3.1.2 a technical defect in the appointment of a Senate Member of which the Senate is unaware at the time;
3.1.3 a technical defect in the giving of notice of which the Senate is unaware at the time;
3.1.4 a vacancy in the membership of the Senate.

4. **Delegation of Powers of the Senate**

4.1 Subject to Regulation II: (7), powers of the Senate shall be delegated as follows:

<table>
<thead>
<tr>
<th>Power delegated</th>
<th>Body whose power is delegated</th>
<th>Officer or committee to whom the power shall be delegated</th>
</tr>
</thead>
<tbody>
<tr>
<td>4.1.1 The power to co-opt such members as may be necessary to ensure that the senior members of the academic staff, as defined by Regulation, shall constitute the majority of the Senate.</td>
<td>Senate</td>
<td>The President &amp; Vice-Chancellor</td>
</tr>
<tr>
<td>4.1.2 The power to approve recommendations of the Faculties concerning the reports of examiners and the award of Degrees, diplomas and certificates and of the Faculties, Committees or assessors concerning the award of Fellowships, Prizes, medals and other awards.</td>
<td>Senate</td>
<td>The President &amp; Vice-Chancellor or the Provost &amp; Deputy Vice-Chancellor or a Vice-President other than one responsible for a Faculty</td>
</tr>
<tr>
<td>4.1.3 The power to impose fines and other penalties as set out in the Library Regulations.</td>
<td>Council and Senate</td>
<td>The Librarian</td>
</tr>
<tr>
<td>4.1.4 The power to review, amend or disallow any decision of a Faculty Student Review Committee concerning the progress of a student.</td>
<td>Senate</td>
<td>Senate Appeals Panel</td>
</tr>
<tr>
<td>4.1.5 The power in accordance with the Discipline Regulations to suspend or expel any student when thought necessary or take such other action as the Discipline Regulations shall provide.</td>
<td>Senate</td>
<td>Senate Discipline Panel or Senate Appeals Panel</td>
</tr>
<tr>
<td>4.1.6 The power to make all Regulations for giving effect to the Statutes of the University relating to programmes of study, Fellowships, Scholarships, Prizes and other Distinctions.</td>
<td>Senate</td>
<td>The President &amp; Vice-Chancellor or a Vice-President other than one responsible for a Faculty</td>
</tr>
<tr>
<td>4.1.7 The power to withdraw any Degree (other than an Honorary or Ex-Officio Degree) Diploma Certificate Associateship or Distinction which has been awarded or conferred upon any person.</td>
<td>Senate</td>
<td>The President &amp; Vice-Chancellor or the Provost &amp; Deputy Vice-Chancellor or a Vice-President other than one responsible for a Faculty</td>
</tr>
</tbody>
</table>
REGULATION X:
The Faculties

1. Faculties of the University
   1.1 The Faculties of the University shall be those of Arts and Humanities; Science; Medicine, Dentistry and Health; Engineering; Social Sciences; and City College, Thessaloniki.
   1.2 City College, Thessaloniki, is an International Faculty of the University.
   1.3 The University may designate another institution as an International Faculty.

2. Powers of the Faculties
   2.1 Subject to review by the Senate and to the Statutes and Regulations of the University each Faculty shall:
      2.1.1 make recommendations to the Senate regarding the award of Degrees, Fellowships, Prizes and other distinctions and examination results;
      2.1.2 in accordance with Regulations made by the Senate review the progress or fitness to practise of students registered in the Faculty and shall have the power in accordance with those Regulations to suspend or exclude any such student from further attendance at lectures, classes and examinations in the Faculty or take such other action as may be prescribed by those Regulations;
      2.1.3 in accordance with Regulations made by the Senate consider academic appeals submitted by students registered in the Faculty;
      2.1.4 be responsible for programmes of study or research falling within the province of the Faculty and for the academic quality and standards of the teaching, supervision and assessment of students registered in the Faculty; and
      2.1.5 report to the Senate on Regulations as to programmes of study or research, examinations or other requirements for Degrees, Diplomas, Certificates and other distinctions and as to the award and tenure of Fellowships, Scholarships and Prizes.
   2.2 Such other matters as shall be committed to any Faculty by the Senate shall be transacted by that Faculty.

3. Delegation of the Powers of the Faculties
   3.1 Subject to Regulation II: (7), powers of the Faculties shall be delegated as follows:

<table>
<thead>
<tr>
<th>Power delegated</th>
<th>Body whose power is delegated</th>
<th>Officer or committee to whom the power shall be delegated</th>
</tr>
</thead>
<tbody>
<tr>
<td>3.1.1 The power to recommend to the Senate upon the award of first Degrees, Diplomas and Certificates, undergraduate Prizes and other distinctions and examination results within the Faculty, without the need to report on action taken or seek the confirmation of the Faculty.</td>
<td>The Faculty</td>
<td>The Vice-President &amp; Head of Faculty, Faculty Director of Learning and Teaching or designate authorised by the Faculty</td>
</tr>
<tr>
<td>3.1.2 The power to recommend to the Senate upon the award of higher Degrees, Diplomas, Certificates and Prizes within the Faculty, without the need to report on action taken or seek the confirmation of the Faculty.</td>
<td>The Faculty</td>
<td>The Vice-President &amp; Head of Faculty, Faculty Director of Learning and Teaching, Faculty Director of Research and Innovation or designate authorised by the Faculty</td>
</tr>
<tr>
<td>3.1.3</td>
<td>The power to recommend to the Senate upon Regulations relating to programmes of study or research, examinations or other requirements for Degrees, Diplomas, Certificates and other distinctions and upon Regulations relating to Fellowships, Scholarships and Prizes within the Faculty, without the need to report on action taken or seek the confirmation of the Faculty.</td>
<td>The Faculty</td>
</tr>
<tr>
<td>3.1.4</td>
<td>The power, in accordance with Regulations made by the Senate, to review the progress of students registered in the Faculty and to suspend or exclude any such student from further attendance at lectures, classes and examinations in the Faculty or to take such other action as may be prescribed by those Regulations, without the need to report on action taken or seek the confirmation of the Faculty.</td>
<td>The Faculty</td>
</tr>
<tr>
<td>3.1.5</td>
<td>The power to recommend to the Senate upon the award of first Degrees, Diplomas and Certificates, undergraduate Prizes and other distinctions and examination results within the Faculty, without the need to report on action taken or seek the confirmation of the Faculty.</td>
<td>The International Faculty</td>
</tr>
<tr>
<td>3.1.6</td>
<td>The power to recommend to the Senate upon the award of higher Degrees, Diplomas, Certificates and Prizes within the Faculty, without the need to report on action taken or seek the confirmation of the Faculty.</td>
<td>The International Faculty</td>
</tr>
<tr>
<td>3.1.7</td>
<td>The power to recommend to the Senate upon Regulations relating to programmes of study, examinations or other requirements for Degrees, Diplomas, Certificates and other distinctions and upon Regulations relating to Fellowships, Scholarships and Prizes within the Faculty, without the need to report on action taken or seek the confirmation of the Faculty.</td>
<td>The International Faculty</td>
</tr>
<tr>
<td>3.1.8</td>
<td>The power, in accordance with Regulations made by the Senate, to review the progress of students registered in the Faculty and to suspend or exclude any such student from further attendance at lectures, classes and examinations in the Faculty or to take such other action as may be prescribed by those Regulations, without the need to report on action taken or seek the confirmation of the Faculty.</td>
<td>The International Faculty</td>
</tr>
</tbody>
</table>
REGULATION XI:

Extra-Faculty Learning and Teaching Committee

1. There shall be an Extra-Faculty Learning and Teaching Committee, which shall for the purposes of Regulation X: 2 be deemed to be a Faculty.

2. The Extra-Faculty Learning and Teaching Committee shall, subject to review by the Senate and to the Statutes and Regulations of the University, exercise, in relation to Degrees, Diplomas, Certificates and Prizes falling within its province and to students pursuing programmes of study or research leading to the award of such Degrees, Diplomas and Certificates, such powers as may be conferred by Regulation.

3. Such matters as shall be committed to the Extra-Faculty Learning and Teaching Committee by the Senate shall be transacted by that Committee.

4. Subject to Regulation II: (7), powers of the Extra-Faculty Learning and Teaching Committee shall be delegated as follows:

<table>
<thead>
<tr>
<th>Power delegated</th>
<th>Officer to whom the power shall be delegated</th>
</tr>
</thead>
<tbody>
<tr>
<td>4.1 The power to recommend to the Senate upon the award of first Degrees, Diplomas and Certificates, undergraduate Prizes and other distinctions and examination results within the province of the Committee, without the need to report on action taken or seek the confirmation of the Committee.</td>
<td>The Chair of the Committee or designate authorised by the Committee</td>
</tr>
<tr>
<td>4.2 The power to recommend to the Senate upon the award of higher Degrees, Diplomas, Certificates and Prizes within the province of the Committee, without the need to report on action taken or seek the confirmation of the Committee.</td>
<td>The Chair of the Committee or designate authorised by the Committee</td>
</tr>
<tr>
<td>4.3 The power to recommend to the Senate upon Regulations relating to programmes of study or research, examinations or other requirements for Degrees, Diplomas, Certificates and other distinctions and upon Regulations relating to Fellowships, Scholarships and Prizes within the province of the Committee, without the need to report on action taken or seek the confirmation of the Committee.</td>
<td>The Chair of the Committee or designate authorised by the Committee</td>
</tr>
<tr>
<td>4.4 The power, in accordance with Regulations made by the Senate, to review the progress of students registered for programmes within the remit of the Committee and to suspend or exclude any such student from further attendance at lectures, classes and examinations within the province of the Committee or to take such other action as may be prescribed by those Regulations, without the need to report on action taken or seek the confirmation of the Committee.</td>
<td>The Chair of the Committee or designate authorised by the Committee</td>
</tr>
</tbody>
</table>

1 Formerly ‘The Board of Extra-Faculty Provision’.
REGULATION XII:
The Conferment and Withdrawal of Degrees

1. Congregations and Conferment of Degrees
   1.1 Congregations of the whole University for the conferring of Degrees or other purposes shall be presided over by the Chancellor or in his absence by the President & Vice-Chancellor or in the absence of both by a Pro-Chancellor or the Provost & Deputy Vice-Chancellor or a Vice-President.
   1.2 Unless otherwise determined by Regulation, all Degrees shall be conferred at a congregation of members of the University to be held for that purpose, at such time and place as the Council may determine, but at least once a year; and Degrees, other than Honorary Degrees, may be conferred upon persons in absentia on conditions approved by the Senate.
   1.3 Honorary Degrees shall be conferred after approval by a Joint Committee of the Senate and the Council.

2. Withdrawal of Degrees and Distinctions
   2.1 The Senate may on good cause shown withdraw any Degree (other than an Honorary Degree), Diploma, Certificate, Associateship, or Distinction which has been awarded to or conferred upon any person.
   2.2 The Council may on good cause shown and after report from the Senate withdraw an Honorary Degree conferred upon any person.
REGULATION XIII:
The Execution of Contracts and Deeds

Preamble
1. Contracts and Deeds
   1.1 The University may execute two types of legal agreement; deeds and contracts. There are fundamental distinctions between these in law; essentially a deed will be used in some transactions because there is a specific statutory requirement for it (e.g. certain land transactions) or because it is regarded as affording more enforceability as to the terms of the agreement. A contract will be used as the basis for all other agreements.

2. Execution as a Deed
   2.1 In general, the circumstances that the University may come across where a deed is required include:
      2.1.1 conveyances of land, or of any interest in land and certain mortgages;
      2.1.2 leases of land for terms over 3 years;
      2.1.3 assents, legal charges of land and transferring title to property; and
      2.1.4 the grant of powers of attorney.
   2.2 A deed may be preferred where:
      2.2.1 there is doubt about whether there is adequate consideration under the agreement (i.e. the lack or low value of any payment by one party in exchange for a benefit from the other for example where a guarantee is given by a third party);
      2.2.2 the parties to a contract wish to take advantage of an extended period to bring an action for breach of contract (12 years under a deed as opposed to 6 years under a contract); and
      2.2.3 a contract is subsequently varied or otherwise amended and it is not clear whether all the parties are providing fresh consideration.
   2.3 It is conventional to use a deed where:
      2.3.1 a vendor covenants with a purchaser to indemnify it against certain tax liabilities;
      2.3.2 there is an assignment of intellectual property;
      2.3.3 there is a release of a security; and
      2.3.4 a guarantee is given to a bank for amounts previously advanced.
   2.4 Where there is any doubt as to whether an agreement should be executed as a deed, legal advice should be sought. If there is doubt then the preferred position would be to execute the agreement as a deed to ensure that the terms are fully enforceable.

3. Procedure for the Execution of Deeds by the University
   3.1 In the event that it is determined that an agreement is required or preferred to be executed by the University as a deed, an application shall be made to the Finance Department in the format prescribed from time to time by the Finance Department (and which shall be made available on request) such format to always include the requirement to provide evidence of the approval (whether by the Council or under its delegated authority as may be provided in the Scheme of Delegation or separate delegation) of:
      3.1.1 the overall project of which the agreement to be executed as a deed forms a part; and
      3.1.2 the terms of the agreement itself with such evidence to include details of the date of the approval and by whom.
   3.2 The University shall enter into an agreement by deed by affixing the Seal in the presence of:
      3.2.1 any member of Council; and
      3.2.2 any one of the following:
         (a) the President & Vice-Chancellor;
         (b) the Provost & Deputy Vice-Chancellor;
         (c) a Vice-President;
         (d) an Authorised Financial Officer.
“Authorised Financial Officer” is defined as the Chief Financial Officer, Deputy Director of Finance, Assistant Directors of Finance, Commercial Director or interim authorised designate.

3.3 The agreement must declare on the face of it that it is a deed and include the following execution clause to execute it as a deed:

EXECUTED AS A DEED BY AFFIXING
THE COMMON SEAL of
THE UNIVERSITY OF SHEFFIELD
in the presence of:

Member of Council

[Title of officer]

4. Execution under Hand/Execution of Agreements
4.1 The Seal does not need to be used every time the University wishes to enter into a legal agreement. The authority of staff to enter into agreements for and on behalf of the University is prescribed in the University's Financial Regulations.

4.2 There is, however, nothing to preclude the application of the Seal to such agreements and the mere inclusion of the Seal will not render the agreement a deed.
REGULATION XIV:
General University Regulations

APPLICATION AND INTERPRETATION

1. Unless stated otherwise, these and the following Regulations apply to students in all Faculties, including the International Faculty:
   General Regulations for First Degrees;
   General Regulations for Higher Degrees, Postgraduate Diplomas and Postgraduate Certificates;
   General Regulations relating to Examinations;
   General Regulations relating to the Progress of Students;
   General Regulations relating to Academic Appeals;
   General Regulations relating to Student Fitness to Practise;
   Regulations relating to the Discipline of Students;
   Regulations relating to Intellectual Property;
   Regulations on the Use of Computing Facilities;
   Regulations relating to the Library.

2. In the General Regulations and all other Regulations relating to programmes of study and degrees, unless the context otherwise requires:
   “Approved” in relation to a unit means one approved as one of those available to a candidate in a particular phase of that programme;
   “Candidate” means a candidate for the degree or other qualification or one of the degrees or qualifications governed by the Regulation in question;
   For the purposes of providing clarity for students, ‘student’ has been used instead of ‘candidate’ at various points throughout the Regulations;
   “Candidate without attendance” means a candidate who is a graduate of this University of not less than two years’ standing admitted as a candidate with no requirement of attendance during the programme of study or research;
   “Composition fees” are tuition and academic progress related fees, charged for the compulsory elements of a student's programme of study; these include any fees incurred additionally by students (individually) in respect of registration and re-examination to permit progression.
   “Credit” means a value assigned to a programme of study or a part of a programme of study or a unit comprised therein; “date of notification of the first result” means the date of the letter by which the President & Vice-Chancellor informed the candidate of the result of the first examination;
   “Working day” means any day Monday to Friday (inclusive) which is not Christmas Day, Good Friday, a statutory holiday or an official University closed day.
   “Department” includes, where appropriate, Centre, Division, School or other academic unit;
   “EPSRC Doctoral Training Centre” means a centre providing doctoral training in specific research areas which are funded by the Engineering and Physical Sciences Research Council;
   “Examination” means a process of assessment (whether by written examination papers, viva voce examinations, written or practical assignments (including placements), continuous assessment of coursework, or other means) which enables the Examiners to return a mark or grade;
   “Examined” will be construed accordingly; “Faculty” means the Faculty (including the Extra-Faculty Learning and Teaching Committee and any International Faculty) in which the relevant degree or other qualification is located;
   “FHEQ” means the Framework for Higher Education Qualifications in England, Wales and Northern Ireland published by the Quality Assurance Agency;
   “Full-time student candidate” means a candidate who is a registered student of the University and who is admitted as a candidate with a requirement of full-time attendance at a programme of study or research;
“Head of Department” means the Head or Chair of the relevant Department (and, where two or more Departments are concerned, the Heads of those Departments) or a person nominated by the Head of Department to act on his or her behalf;

“Higher Degree” includes a Postgraduate Diploma and Postgraduate Certificate;

“Hospital Staff candidate” means a candidate who is a member of staff or a research worker in a hospital or centre which is a functional part of one of the Schools of the Faculty of Medicine, Dentistry and Health;


“Jointly awarded Degree” means a programme of study or research that is jointly developed, delivered and quality assured by the University of Sheffield in conjunction with one or more partner institutions and leads to a Degree awarded by the University of Sheffield in conjunction with the partner institutions to a student who has met, in the case of a programme of study, the University of Sheffield minimum credit threshold, or, in the case of a programme of research, the University of Sheffield’s requirements for research away from the University;

“Level” is to be interpreted in accordance with Regulation 3 below;

“Part-time student candidate” means a candidate who is a registered student of the University and who is admitted as a candidate with a requirement of attendance at a programme of study or research which is wholly or mainly part-time;

“Prerequisite” means a requirement which a student must meet before taking a unit or other part of a programme of study;

“Vice-President” means the Vice-President of the Faculty, the Chairman of the Extra-Faculty Learning and Teaching Committee or the Principal of City College or a designate nominated by that person;

“Recognised graduate” means holder of a degree awarded by a University, institute or body recognised for the purpose by the Senate;

“Research Fellowship or Independent Research Worker candidate” means a candidate who is either the holder of a Research Fellowship specified for this purpose in the regulations for the relevant Degree or Diploma or an Independent Research Worker;

“Session” means a period of two consecutive semesters beginning with the Autumn Semester;

“Special candidate” means a candidate who ceases to fall within the category applicable at the time of admission but desires to complete the programme of study or research;

“Unit” means a component of a programme of study in respect of which the Examiners return a grade and which in the case of modular programmes is assigned a value in terms of credits;

“University Staff candidate” means a candidate for a programme of research who is a member of the Academic, Administrative, Clerical, Computer, senior Library, Other Related, Research or Technical Staff of the University, and any International Faculty, and who:

(a) holds an appointment which is either full-time or part-time; and

(b) holds an appointment for the duration of the minimum period of registration (usually two years for the Degree of PhD, two years during the research element of the degrees of DMedSci and EdD, one year for the Degrees of MPhil and LLM, and nine months for the Degree of MMus); and

(c) in the case of a person holding an appointment arising from external finance, is not prevented from becoming a candidate by the terms of the contract between the sponsoring body and the University and any International Faculty or the member of staff concerned;

“Unrestricted unit” means a unit offered in any programme of study;

“Weighted mean grade” means:

(a) the mean of the grades awarded to a candidate (expressed to one decimal place, 0.05 and higher values being rounded up and values below 0.05 being rounded down), the grades being weighted in proportion to the number of credits assigned to the work to which it relates; and

(b) in respect of a programme of study:
General University Regulations

(i) (in the case of a student commencing Level 2 of a programme of study prior to September 2006) where the Faculty has so decided, a grade awarded at Level 3 or Level 4 has twice or, as the case may be, three times the weight of a grade at Level 2; or

(ii) (in the case of a student commencing Level 2 of a programme of study in or after September 2006) a grade awarded at Level 3 or Level 4 has twice the weight of a grade awarded at Level 2;

“Year” means a period of twelve months.

3. In these Regulations and all other Regulations relating to programmes of study and degrees, “Level” means a phase of a programme of study corresponding to a session of full-time study, provided that:
   
   (a) sessions spent in study at Level 0 are to be ignored in counting sessions for this purpose; and
   
   (b) a phase of a programme of study intercalated in the programme of study for a Bachelor’s Degree (such as the session spent abroad by certain students in modern languages) is deemed for this purpose to be a Level corresponding to the session of full-time undergraduate study which immediately follows.

Subject to the above provisions:

“Level 0” means a phase of a programme of study comprising foundation studies to be undertaken before Level 1;

“Level 1” means that phase of a programme of study corresponding to the first session of full-time undergraduate study;

“Level 2” means that phase of a programme of study corresponding to the second session of full-time undergraduate study;

“Level 3” means that phase of a programme of study corresponding to the third session of full-time undergraduate study;

“Level 4” means, in the case of Integrated Master’s Degrees, that phase of a programme of study corresponding to the fourth session of full-time undergraduate study.

DEGREES AND OTHER AWARDS

4. The Degrees (except Honorary Degrees), Diplomas, Certificates, Scholarships and Prizes of the University will be awarded in pursuance of a resolution of the Senate.

5. The Degrees of the University will be:
   
   Bachelor of Arts (BA)
   Bachelor of Arts in Law (BA(Law))
   Bachelor of Dental Surgery (BDS)
   Bachelor of Engineering (BEng)
   Bachelor of Laws (LLB)
   Bachelor of Medical Science (BMedSci)
   Bachelor of Medical Science (Orthoptics) (BMedSci(Orthoptics))
   Bachelor of Medical Science (Speech) (BMedSci(Speech))
   Bachelor of Medicine and Bachelor of Surgery (MBChB)
   Bachelor of Music (BMus)
   Bachelor of Science (BSc)
   Bachelor of Technical Science (BSciTech)
   Master of Architectural Studies (MArchStudies)
   Master of Architecture (MArch)
   Master of Arts (MA)
   Master of Biological Science (MBiolSci)
   Master of Biomedical Science (MBiomedSci)
   Master of Business Administration (MBA)
   Master of Chemistry (MChem)
   Master of Clinical Dentistry (MClinDent)
   Master of Computing (MComp)
Master of Dental Public Health (MDPH)
Master of Education (MEd)
Master of Engineering (MEng)
Master of Environmental Science (MEnvSci)
Master of Geography (MGeog)
Master of Geographical Science (MGeogSci)
Master of Landscape Architecture (MLA)
Master of Laws (LLM)
Master of Mathematics (MMath)
Master of Medical Science (MMedSci)
Master of Metallurgy (MMet)
Master of Midwifery (MMid)
Master of Music (MMus)
Master of Philosophy (MPhil)
Master of Physics (MPhys)
Master of Planning (MPlan)
Master of Public Administration (MPA)
Master of Public Health (MPH)
Master of Research (MRes)
Master of Science (MSc)
Master of Science (Research) (MSc(Res))
Master of Science in Engineering (MSc(Eng))
Master of Science and Business Administration (Double Masters) (MScMBA)
Master of Teaching and Learning (MTL)
Master of Technical Science (MScTech)
Doctor of Business Administration (DBA)
Doctor of Clinical Dentistry (DClinDent)
Doctor of Clinical Psychology (DClinPsy)
Doctor of Dental Surgery (DDSc)
Doctor of Education (EdD)
Doctor of Educational and Child Psychology (DEdCPsy)
Doctor of Educational Psychology (DEdPsy)
Doctor of Engineering (EngD)
Doctor of Laws (LLD)
Doctor of Letters (LittD)
Doctor of Medical Science (DMedSci)
Doctor of Medicine (MD)
Doctor of Metallurgy (DMet)
Doctor of Music (DMus)
Doctor of Philosophy (PhD)
Doctor of Science (DSc)
Doctor of Specialist Medicine (Dermatology) (DSpecMed (Dermatology)
Doctor of Technical Science (DScTech)
Foundation Degree in Arts (FdA)
Foundation Degree in Engineering (FdEng).

6. The Diplomas, Certificates and Prizes will be those for which provision is made in Regulations.
7. A Degree of Bachelor may be awarded to a person who has satisfied the requirements prescribed by the Senate for entry upon a degree course; attended thereafter in the University, or where the relevant Regulations so allow elsewhere, approved programmes of full-time study for at least three sessions or of part-time study for a period equivalent to three sessions of full-time study; passed the examinations and satisfied the other requirements prescribed for the degree; and paid the prescribed fees.

8. A Degree of Master or Doctor, or a Diploma or Certificate, may be awarded to a person who has satisfied the requirements of the Regulations governing the Degree, Diploma or Certificate and has paid the prescribed fees.

9. A Degree of Master may be awarded to a person who has been admitted as a candidate for the Degree of PhD, DBA or EdD, has completed the programme of research and presented the thesis prescribed in the Regulations for that Degree, and has paid the prescribed fees in the circumstances prescribed in the Regulations governing that Degree.

10. For the purposes of the preceding Regulations, the Senate may:
   (a) recognise attendance at some other University or place of learning as equivalent to attendance at this University; and
   (b) recognise courses of study and examinations as equivalent to courses of study and examinations prescribed for degrees or other qualifications of this University.

REGULATIONS

11. The Senate may make Regulations as to the attendance, conduct and progress of students and as to the exclusion of students from further attendance at lectures, classes and/or examinations.

12. The Senate may make, after report from the Faculty concerned, or the Extra-Faculty Learning and Teaching Committee, Regulations prescribing the courses of study, examinations and other requirements for Degrees and other qualifications of the University.

JOINT AWARDS

13. A Degree, Diploma or Certificate may be awarded jointly by the University and one or more other institutions ("the partner institution") under the terms of a scheme agreed between the University and the partner institution and approved by the Senate.

14. Any such scheme will:
   (a) prescribe (or establish a mechanism for prescribing):
      (i) the nature and location of the programme of study or research to be pursued by a student;
      (ii) the nature and location of the examination, ensuring that for each subject or group of subjects there will be at least one Examiner of the University and one External Examiner who will be independent of the University and the partner institution;
      (iii) the procedure by which changes in the programme of study or research or in the examination are to be considered;
      (iv) the fees to be paid and the procedure for their payment;
      (v) the circumstances in which a candidate is required to register as a student of this University;
      (vi) in the case of a programme of research: the arrangements for supervision, ensuring that there will be at least one supervisor from the University and at least one from the partner institution; the minimum period of study of the programme of research; the language of the thesis; the requirements for the Research Training Programme; and the arrangements for academic appeals;
   (b) provide so far as this University is concerned:
      (i) that the programme of study or research and the examination will be contained in Regulations of the Senate;
      (ii) that no award may be made except in pursuance of a resolution of the Senate.

15. A candidate for a joint award will, for such periods as he or she is a registered student of this University (and whether or not also registered as a student of the partner institution), be subject to the General Regulations as to Progress of Students, the General Regulations relating to Student Fitness to Practise and the Regulations as to the Discipline of Students. The General Regulations as to Academic Appeals will not apply to a candidate for a joint award.

16. It will be a condition of registration as a candidate for a joint award that the candidate:
(a) agrees that the legal relationship between this University and the candidate will be governed by the law of England; and

(b) undertakes to use only such title or description as makes it plain that a joint award is a single award and does not comprise distinct awards made by the University and the partner institution.

17. A Degree awarded under a scheme for joint awards may, unless the scheme makes other provision, be conferred either at a congregation of members of this University held for that purpose or in accordance with the procedures of the partner institution. So far as this University is concerned, the Regulations as to Academic Costume may make provision as to the Academic Costume appropriate to the Degree or other award.

SEMESTERS
18. There will be two semesters in each academic year, to be known as the Autumn and Spring semesters. A semester will comprise a period of fifteen weeks.

19. The dates of semesters will be fixed by the Council on the recommendation of the Senate.

MINIMUM AGE FOR ADMISSION
20. There is no minimum age for admission. Applicants who will be under the age of eighteen at the point of admission will, however, be required to demonstrate that they have the required level of maturity to study in an adult environment and that they have guardianship in the UK.

ACADEMIC REQUIREMENTS FOR ADMISSION
21. Before admission an applicant must satisfy the requirements for entry prescribed by the Senate and any particular requirements prescribed by relevant Faculty. An applicant whose mother tongue is not English may be required to pass a test in English.

22. The admission of a person as a student for a higher Degree will be determined by the Senate on the recommendation of the Faculty. Application for admission will be made through the Head of Department to the Faculty. A person seeking to follow a programme of research for the Degree of PhD or MPhil in which their own creative work would form a significant part of the intellectual enquiry will set out the form of their intended submission and proposed method of assessment in their application.

OTHER REQUIREMENTS FOR ADMISSION
23. A student who has previously:
   (a) been expelled from membership of the University will not be admitted onto a programme of study or a component of a programme of study except with the permission of the Vice-President for the relevant Faculty or any person authorised to act on their behalf;
   (b) been withdrawn from membership of the University for non-payment of academic-related fees will not be admitted onto a programme of study or component of a programme of study until the debt has been cleared, and without the permission of the Vice-President for the relevant Faculty or any person authorised to act on their behalf.

ETHICS APPROVAL
24. A person seeking to undertake research which would involve human participants, personal data or human tissue must comply with the University’s Ethics Policy Governing Research Involving Human Participants, Personal Data and Human Tissue, and prior to the commencement of the research, must ensure that appropriate ethics approval has been obtained. Any breach of this Regulation may be dealt with under the Regulations as to the Discipline of Students.

DISCLOSURE OF CRIMINAL CONVICTIONS
25. Where admission to or continued registration on a programme is dependent on a disclosure of convictions, any registration will be regarded as provisional until a disclosure acceptable to the Head of Department has been obtained. Any registration may be revoked in the case of an unacceptable disclosure.

HEALTH REQUIREMENTS
Reference in these Regulations to the Vice-President means the Vice-President for the relevant Faculty and includes any person authorised to act on their behalf.

26. Where the Vice-President is satisfied, having regard to a certificate to that effect from an appropriately registered professional, that an applicant’s or student’s state of health is such that it is not in their interest or that of the University, or in the case of a student registered on a professionally accredited programme of members of the public with whom there will be contact, that the applicant should begin or the student should continue a programme of study, the Vice-President may:

(a) at the request of the applicant, grant a deferral of entry for a stated period, which period may be renewed from time to time;
(b) at the request of the student, grant the student leave of absence for a stated period, which leave of absence may be renewed from time to time;
(c) require the applicant to defer admission until the Vice-President is satisfied that the applicant may begin the programme of study;
(d) require the student to discontinue the programme of study either permanently or until the Vice-President is satisfied that the student may resume.

Before exercising the power under (c) or (d) above, the Vice-President will invite the applicant or student to make any observations in writing and to tender any further medical evidence. Where the circumstances of the case are such that a student required to discontinue a period of study may properly be permitted to transfer to some other programme of study, the Vice-President may permit such transfer on such conditions as deemed appropriate.

27. A student who has been granted leave of absence on medical grounds may only be permitted to return to the University on conditions specified by the Medical Director in the University Health Service or (in the case of students in the Faculty of Medicine, Dentistry and Health) conditions specified by the relevant Occupational Health Service.

28. A student in the Faculty of Medicine, Dentistry and Health who is to have contact with patients in a clinical setting as part of a programme of study or research is required to have, and to produce on request evidence of, appropriate immunity as agreed between the University and the NHS Trust or other relevant authority.

REGISTRATION

29. No student will be permitted to attend lectures, classes or examinations, or to receive materials issued by the University under distance-learning arrangements until registered in accordance with the following Regulations. A registered student will be issued with a U-Card.

30. On registration, a student must sign a declaration undertaking to observe the Charter, Statutes, Ordinances and Regulations of the University from time to time in force, and to observe the Code of Practice relating to Health and Safety. By signing the Registration Declaration, a student will be consenting to the processing and sharing of their personal data in accordance with the University’s Data Protection Policies.

31. The Regulations may require or permit a student to complete clinical, practical or other placements, or periods of study or research or work experience in other institutions or abroad. During such periods, students may be subject to supervision, disciplinary and health and safety requirements of an institution, agency or body other than the University and, when abroad, to the requirements of a foreign system of law. A student must comply with all such requirements in addition to those of the Statutes, Ordinances and Regulations of the University.

32. Students are required to register at the start of their programme of study, and annually thereafter at the start of each session forming part of their programme of study. Failure to register at a time determined by the President & Vice-Chancellor may result in a student’s withdrawal from their programme of study.

33. A student following a programme of study for which teaching or supervision is provided under the Regulations for this University in one or more institutions, will comply with such registration procedures as are specified in the Regulations applying to the relevant programme of study.

34. A student will keep the President & Vice-Chancellor informed of his or her current correspondence address, and he or she will ensure that any changes thereto are reflected on his or her student record without delay.

35. A student in the UK subject to immigration regulations must comply with statutory immigration requirements and will keep the President & Vice-Chancellor informed of information relating to their

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2 See also the ‘Notes for Students on Registration’ at the end of this section.
immigration conditions, in accordance with those requirements. The University may be required to withdraw immigration sponsorship from any student who does not comply with these requirements.

**DATA PROTECTION**

36. It will be a condition of registration of a student that the student agrees to comply with the terms of any relevant Data Protection legislation, and with the University Statement, Policy, and Guidelines on Data Protection.

**FEES**

Reference in these Regulations to the President & Vice-Chancellor includes any person authorised to act on behalf of the President & Vice-Chancellor.

37. Fees are determined from time to time by the Council, which reserves the right to alter fees at any time.

38. In common with other UK universities, the University of Sheffield charges different fees depending on whether students are classed as Home or Overseas for tuition fee purposes. The decision to class a student as a Home or an Overseas student is determined by government legislation as set out in the Education (Fees and Awards) Regulations 2007.

39. The composition fee falls due at the beginning of each session (or at other appropriate times for students entering later in the session) and payment will be sought from the student or from the institution or body (if any) sponsoring the student. In respect of particular programmes of study, Regulations may provide that the composition fee is payable at the start of the programme of study and in respect of the whole period of the programme of study.

40. Students are responsible for the payment of their tuition fees. In the case of a sponsor’s failure to pay a student’s tuition fee, or agreed part thereof, the student will be liable for any unpaid fees. In the case of a loan withdrawal on the grounds of ineligibility, the student will be liable for any unpaid fees.

41. Before admission a student must provide satisfactory evidence of ability to pay all academic fees, cover maintenance expenditure and pay other dues. A student who does not provide such evidence may be granted temporary registration by the President & Vice-Chancellor. A temporary registration will lapse if the evidence is not provided within the given deadline.

42. Except where permission has been obtained under the terms of the Personal Payments Scheme, neither provisional (for fee paying purposes) nor full registration will be granted for any session to a student who has not paid all composition fees due to the University from any previous session.

43. Subject to the preceding Regulation, a student who has completed registration procedures and has paid the composition fee which then falls due or has made arrangements acceptable to the President & Vice-Chancellor for the planned payment of that fee will be fully registered.

44. A student who is not able to pay the composition fee or make arrangements for the planned payment of that fee may be granted provisional registration (for fee paying purposes) by the President & Vice-Chancellor under the terms of the Personal Payments Scheme. The student will be given a statement of the payments required as a condition of the provisional registration (for fee paying purposes).

45. A student who is provisionally registered (for fee paying purposes) will become fully registered on making the last of the payments required as a condition of the provisional registration (for fee paying purposes). A student who is fully registered may be made provisionally registered (for fee paying purposes) if agreed payments are not made.

46. A provisional registration (for fee paying purposes) will lapse if the payments required are not made, unless it is extended or renewed by the President & Vice-Chancellor, under the terms of the Personal Payments Scheme on conditions agreed with the student.

47. Should a student be refused registration, or an extension or renewal thereto, for non-payment of the composition fee, the student may appeal to the President & Vice-Chancellor by applying to the Personal Payments Scheme. The President & Vice-Chancellor will consider the case and may vary, reverse or uphold the earlier decision.

48. A student who is temporarily or provisionally registered will not be eligible to receive the emoluments of any fellowship, studentship, scholarship or prize awarded by the University.

49. A student permitted to repeat an examination without attendance at lectures or classes is not required to register under these Regulations but will not be permitted to attend the examination without completion.

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of an entry form and payment of the prescribed fees by a date determined by the President & Vice-
Chancellor.

50. In the case of a continuing postgraduate student the payment of the appropriate fee is required even if
the student has completed the minimum period of study or research specified in the relevant
Regulations.

51. In the case of a student withdrawing or taking a period of leave from the University, the University will
determine whether or not to grant any remission of the liability to pay fees in accordance with the
University’s Tuition Fee Refund Policy.

52. Students considering withdrawing from their programmes of study or research should consult the Head
of Department and seek appropriate advice before leaving the University.

53. Except with the permission of the President & Vice-Chancellor, the award of any qualification will
be withheld until after the student has paid all composition fees due to the University.

ATTENDANCE

54. Any reference to a programme of study or research implies the attendance of the student to pursue that
programme in the University or at some place approved in the case of a particular candidature under
these Regulations.

55. Except where other provision is made in the relevant Regulations, a full-time student is required to
pursue a programme of study in the University throughout the whole of each semester. A student unable
to comply with this requirement may seek leave of absence from the Vice-President. In case of enforced
absence without such leave, a written explanation must be sent to the Vice-President as soon as
possible. However, an Affiliated Institutions Staff candidate may pursue a programme of research in the
Affiliated Institution, a Hospital Staff candidate in the relevant hospital or centre, and a designated
college candidate in the designated college.

56. Every student is required (a) to attend punctually and regularly lectures and classes; (b) to complete all
written assignments, practical or other coursework; (c) to keep appointments to meet with the student’s
supervisor; and (d) to attend all examinations, as appropriate in each case to the relevant programme of
study or research. A student who fails to comply with this Regulation may be failed in the examination
for, and (in the case of modular programmes) be denied the credits assigned to, the relevant units or
other parts of the programme of study or dealt with under the General Regulations as to the Progress of
Students.

STUDY FOR OTHER DEGREES

57. Registered study for any other Degree or qualification of any university will not be undertaken during a
programme of study or research without the special permission of the Senate except that a full-time or
part-time student candidate may register for the programme of study leading to the Certificate in Higher
Education.

UNIVERSITY EXAMINATIONS, DEGREES AND DISTINCTIONS

58. The Examiners of the University will be appointed, subject to any directions of the Senate, by the Vice-
President of the Faculty concerned, the Chair of the Extra-Faculty Learning and Teaching Committee
or the Vice-President for Education from amongst:

(a) The members of the academic staff of the University, and other members of the Teaching Staff
of the School of Nursing and Midwifery, and

(b) In the case of a programme of study for which teaching or supervision is provided under
Regulations of the University in some other institution, the members of the academic staff of
that institution.

59. External Examiners will be appointed, subject to any directions of the Senate, by the Vice-President of
the Faculty concerned, the Chairman of the Extra-Faculty Learning and Teaching Committee or the
Vice-President for Education from amongst persons who are not eligible to act as Examiners under the
preceding paragraph.

60. Examiners of the University and External Examiners may be removed from office for negligence or
misconduct by the President & Vice-Chancellor after report from the Faculty concerned or the Extra-
Faculty Learning and Teaching Committee.

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4 Regulations 54-56 apply to students in the Sheffield-based Faculties. Additional Regulations apply to students in the
61. All matters respecting the Degrees and distinctions to be conferred by the University will be provided for by Regulations.

**TRANSCRIPTS**

62. Where a person has:
   (a) completed a programme of study for a Degree, Diploma or Certificate; or
   (b) requires evidence of credits obtained in the University;
the President & Vice-Chancellor will, at the request of such a person, provide a Transcript in a form approved by the Senate which will specify for each unit for which that person registered the Level at which it was offered and its value in terms of credits, and will certify the grades awarded and the credits (if any) obtained.

**NOTES FOR STUDENTS ON REGISTRATION**

1. **The Registration Process**

   1.1 It is a requirement of the registration process that students read and accept the Registration Declaration. The Declaration requires students to:
      1.1.1 observe the Charter, Statutes and Regulations of the University;
      1.1.2 consent to the processing of sensitive personal data as defined by the Data Protection Act; and
      1.1.3 confirm the start and end dates of their programme of study.
   
   Each of the statements contained within the Declaration must be accepted by either checking the relevant boxes in the Online Registration System or by signing a paper copy of the Registration Declaration.

   1.2 Students must register at the start of each relevant academic session. Students not able or willing to register may be required to request a Change of Status, such as a Leave of Absence; or they may wish to withdraw from their programme of study.

   1.3 **The Student Registration Record**

      1.3.1 A student’s Registration Record should be up to date and accurate at all times; this includes a student’s correspondence address and contact information.
      1.3.2 Students are required to verify and confirm their personal and contact data at the time of registering, to ensure that the details held by the University are correct.
      1.3.3 At registration, a student’s identification document will be checked and his or her name will be entered into the corresponding university student record as it appears on their identity documentation. It is the responsibility of the student to ensure that any changes or inaccuracies in their name, as it appears in their student record, are reported at the earliest possible opportunity.
      1.3.4 Once registered, students are responsible for maintaining their personal and contact details in their Registration Record.
      1.3.5 Any changes must be communicated promptly either online via My Record in MUSE or in writing to Student Administration Service or the Student Services Information Desk.

   1.4 **Registering after a Leave of Absence**

      1.4.1 A student wishing to resume their studies following a Leave of Absence will be required to register in order to resume their programme of study.
      1.4.2 Students who have been on a Medical Leave of Absence must have their fitness to resume their studies confirmed by the University Health Service (UHS), in order to be eligible to re-register:

         (a) Students who are registered with the UHS should book an appointment to have their fitness to resume their studies assessed.
         (b) Students who are not registered with the UHS should book an appointment with their own healthcare professional to be assessed. Students should then send evidence that they are fit to resume their studies to the UHS by email, for approval.
         (c) Students in the Faculty of Medicine, Dentistry and Health should seek departmental advice about the process for confirming medical fitness to resume their studies.
1.4.3 Students returning from Maternity Leave or Leave of absence on non-medical grounds do not need to make an appointment with the University Health Service.

1.4.4 All students returning from Leave of Absence will be contacted by the University shortly before it is due to end and will be asked to confirm whether they will be resuming their studies. It is important that students respond to this request so that appropriate advice and instruction can be given. Failure to respond within the allocated time period may result in a student’s registration being terminated.

1.5 Additional Information for Students

1.5.1 Medical Students on Intercalated Pathways:

Students on the MBChB programme who wish to progress to an Intercalated MSc programme at the University of Sheffield may be required to register twice in the year in which they begin their MSc, once for the MBChB and again for the MSc Programme, as follows:

(a) He/she may be required to register as a continuing student on the MBChB programme as in previous years after which a Leave of Absence will be applied to this Registration Record in order to allow progression onto the MSc.

(b) Students will also be required to register as a new student on the MSc programme. Students on this pathway should read and follow the registration instructions carefully to ensure they understand what is required of them.

Students on the MBChB programme who intend to progress to an Intercalated MSc at another university will be required to register as follows:

(c) Students may be required to register at the University of Sheffield as a continuing student on to the next year of the MBChB programme, after which a Leave of Absence will be applied to their record.

(d) Students will then register for their intercalated year at the institution where they will be studying for their MSc, in accordance with the registration guidance and process of that institution.

1.5.2 International students:

(a) Students who are sponsored by the University under Tier 4 of the UK Points-Based Immigration System must comply with statutory immigration requirements and with the University’s corresponding policies and procedures.

(b) Where a student is unable to comply fully at the point of registration, but is able to provide evidence to show that they will be able to do so, they will be granted a Provisional Registration. Such students are permitted to attend lectures and classes but are required to comply with immigration requirements by a given deadline in order to progress to a full registration.

(c) The University may withdraw immigration sponsorship from any student who does not comply with these requirements, or is unable to complete their registration within the required time period, and this may result in the termination of a registration.

(d) International students should note that any change to their registration status within the University may impact on their right to stay in the UK.

1.5.3 Erasmus and Study Abroad students:

(a) Students attending the University under the Erasmus or Study Abroad exchange programmes must choose up to 60 Sheffield credits (30 ECTS) per semester and are not permitted to register for more credits than this.

(b) The minimum number of credits a student can register for is 40 Sheffield credits (20 ECTS) for one semester or 100 Sheffield credits (50 ECTS) for a full year of study.
Students wishing to study fewer than 60 Sheffield credits (30 ECTS) per semester must provide a supporting letter from their home university.

1.5.4 Students spending time abroad:

(a) Where a student travels abroad to undertake an activity organised, sponsored or facilitated by the University for one month or more, it is essential that they update the contact details in their student record with their overseas correspondence address.

(b) This information will be used to contact the student in case of emergency, for example where an incident occurs in the location they are based in during their time abroad.

(c) It is the student’s responsibility to ensure that this information is kept up to date and updates can be made online via My Record in MUSE, or by notifying SSiD in writing. It is not sufficient for the student to inform their department of their address, as this may not be recorded in the University’s central records.

2. Registration Statuses

2.1 A student will be considered ‘registered’ once they have a Registration Status of Fully Registered, Temporarily Registered or Provisionally Registered.

2.2 Students with any of these Registration Statuses can follow their programme of study or research as normal.

2.3 In order to become Fully Registered a student must have done the following:

2.3.1 provided satisfactory evidence of their identity;

2.3.2 paid their tuition fees or agreed a payment plan with the University;

2.3.3 have the required number of modules for their programme on their Registration Record;

2.3.4 completed all mandatory sections of the Registration Process;

2.3.5 provided evidence of their pre-entry qualifications (if required);

2.3.6 met immigration requirements and provided evidence of their right to study in the UK (where required);

2.3.7 read and signed the Registration Declaration.

2.4 In the event that a student is unable to pay their tuition fees or agree a payment plan with the University at the point of registration, Temporary Registration may be granted. He/she will become Fully Registered once he/she has either make all required payments or agreed a payment plan. Failure to comply with financial requirements within a given timeframe, as determined by the University, may lead to the termination of his/her registration.

2.5 Where a student is required to provide evidence of their right to remain in the UK, but is unable to do so at the point of registration, they may be granted a Registration Status of Provisionally Registered. This will be changed to Fully Registered once the required evidence has been provided. Failure to comply with immigration requirements within a given timeframe, as determined by the University, may lead to the termination of their registration.

2.6 Students required, but unable, to provide evidence of their pre-entry qualifications at the time of registration may be granted a Registration Status of Provisionally Registered. This will be changed to Fully Registered once the required evidence has been provided. Failure to comply with this requirement within a given timeframe, as determined by the University, may lead to the termination of their registration.

2.7 Where a student is unable to meet more than one of the requirements referred to in points 2.4, 2.5 and 2.6 above, non-presentation of immigration and/or qualifications evidence will take precedence and a Registration Status of Provisionally Registered will be applied. On presentation of the necessary evidence, should tuition fees or an agreed payment plan remain outstanding the student’s Registration Status will be changed to Temporarily Registered and point 2.4 above will apply.
3. **Registration for Programmes and Modules**

3.1 Registration can only be completed once a student has the required number of modules added to their Registration Record. Information about modules can be found in the Programme Regulations which are associated with each programme of study. These regulations contain information about the modules a student must register for (enrol on) and their individual credit value.

3.2 Some Programme Regulations allow students to choose modules from a range of options. This information is also provided within the Programme Regulations.

3.3 Students wishing to register for more than 120 credits in any session will need to seek the approval of their Faculty.

3.4 Students may not enrol for the same module more than once during the course of any programme of study.

3.5 At the start of each semester, there is a three-week period during which students may make changes to the modules for which they have registered. This is called the Add/Drop period. Any changes requested after Add/Drop (after the end of the third week of the semester) will require the permission of the Faculty.

3.6 Academic departments may provide additional Add/Drop guidance and instructions and students should consult their departments and check the relevant Programme Regulations for more information before making their choices.

3.7 It is expected that Erasmus and Study Abroad students will register for modules or units at the level they are studying at in their home institutions (i.e. Bachelor level students should not be applying for Masters level modules).

4. **UCards and Access to IT Services**

4.1 Once a student has been given a Registration of Fully, Provisionally or Temporarily Registered, he/she will be issued with a Student UCard. The UCard officially identifies the holder as a University of Sheffield student.

4.2 The UCard gives a student access to student IT Services and many other services and facilities. It is the property of the University of Sheffield and students are expected to carry their UCard with them at all times whilst on University property.

4.3 The UCard is issued with an expiry date which reflects the expected end of study date at the time of printing. The expiry date will only be altered if an official change to the end of study date is agreed by the University, and a student’s record has been updated accordingly.

4.4 Access to IT Services depends upon a student having a valid username and password and an eligible Registration Status. Where a student’s registration is suspended or terminated (see section 5 below), this will remove their access to IT Services and invalidate their UCard.

5. **Termination or Suspension of a Registration**

5.1 If a student fails to complete the registration process within the required timescale, he/she may be Deemed Withdrawn from the programme of study; this means that the University will terminate their student registration and they will no longer be considered a registered student of the University.

5.2 If a student fails to communicate with the University at the end of a period of Leave of Absence, it will be assumed that they do not wish to continue their studies and the process of withdrawing them from their programme will be instigated.

5.3 If a student fails to respond to repeated reminders relating to outstanding tuition fee payments, or to requests for evidence related to immigration of qualifications, the University may suspend their registration temporarily pending completion of the required action by the student. In these circumstances, international students should particularly refer to section 1.5.2 of these notes.

5.4 Students will be given advance notice by the University of any termination or suspension of their registration.

6. **Withdrawal of awards**

6.1 Senate have the right under procedures it from time to time agrees to revoke an award if, after graduation, it is found a graduand committed fraudulent or dishonest actions at any point during
General University Regulations

6.2 The Senate may also revoke any degree, diploma or other award and all privileges connected therewith, if it shall be discovered at any time and proved to the satisfaction of the Senate that:

6.2.1 there was an administrative error in the award made under the procedures required by the Statutes and Regulations;

6.2.2 subsequent to an award, a Board of Examiners, having taken into account information which was unavailable at the time its decision was made, determines that a candidate’s classification should be altered; or

6.2.3 it is established that the holder of an award has misrepresented that award in such a way as is designed to gain unlawful or unfair personal advantage.

NOTES FOR STUDENTS ON TUITION FEES

1. Tuition Fee Status

1.1 The tuition fee a student is required to pay will be determined by their Fee Status. A student may be eligible for a fee status of Home or a fee status of Overseas. The decision to class a student as a Home or an Overseas student is determined by government legislation as set out in the Education (Fees and Awards) Regulations 2007. The regulations governing the fee status of students can be found on the government legislation website.

1.2 In common with other UK universities, the University of Sheffield charges different fees depending on whether students are classed as Home or Overseas for tuition fee purposes. Information about the rules a student needs to meet to qualify for Home fee status is given on the UKCISA website. The University’s information on Fee Status can be found on the University’s fee status webpages.

1.3 Who can be classed a Home student for fee purposes?
The Education (Fees and Awards) Regulations 2007 define who is eligible for Home fee status, setting out the categories of students who are eligible to pay the Home rate of tuition fees. Students should consult the Regulations for current definitions of eligibility.

1.4 What students should know about fee status:

1.4.1 students should understand the definition of Ordinary Residence and the concept of being ‘settled’ in the UK. These definitions are provided on the UKCISA website (www.ukcisa.org.uk);

1.4.2 each university will determine the fee status of its students. A decision by one university will not have a bearing on the decision of another university and their fee status will need confirming by each university they apply to;

1.4.3 it is essential to provide full and accurate information at the time of applying and before registering;

1.4.4 the fee status is determined at the start of studies. Once registered, a student’s fee status is unlikely to change; by signing the Registration Declaration when they register, students are confirming that the information provided at the time of registering is full and accurate. Changes to this information thereafter are unlikely to be considered by the University in a request for a change to fee status.

1.5 It is a student’s responsibility to clarify their fee status during the application process. If a student thinks their fee status could be in question, they should:

1.5.1 read the guidance provided by the UK Council for International Students (UKCISA);

1.5.2 request a formal Fee Status Assessment from the University’s Admissions Service by contacting the University’s Admissions Service.

1.6 Channel Islands and Isle of Man: Undergraduates from the Channel Islands and the Isle of Man meeting the criteria for classification as Home students will be charged the same fee as mainland UK students. Postgraduate students from the Channel Islands and the Isle of Man will be subject to a Fee Status Assessment to determine the level of fee.

1.7 Fee Status Assessments

1.7.1 The University’s Admissions Service will normally undertake a Fee Status Assessment after an offer of a place has been made;

1.7.2 The University’s ruling on fee status is independent of decisions take by other universities or organisations (for example: student finance bodies, research councils,
the NHS). Other organisations may arrive at a different outcome following their
assessment of your fee status;

1.7.3 The University will take the information provided in the application on good faith; in
effect, this means that if the fee status appears clear from the information provided in
the application, the University will assume the information is full and accurate.
However, the University may contact students at any time, if a query arises on their
fee status;

1.7.4 If a student’s fee status is unclear from the information provided in the application,
the Admissions Service may undertake a fees status assessment;

1.7.5 If students wish to ensure that their fee status is accurate before the start of their
studies, they should request a fee status assessment by the Admissions Service. A fee
status assessment will not be undertaken before an application is submitted;

1.7.6 Once a student’s fee status has been ruled upon, the application record will be
updated accordingly. It is the student’s responsibility to bring to the University’s
attention any omission or error which may have a bearing on their fee status before
registering.

1.8 Students should understand that their rate of fee is subject to confirmation by the University
and that they may be required to provide evidence of their nationality/immigration status,
residential, education and employment history at any time. They should also understand that
the provision of false information may result in a fee status and tuition fee change.

1.9 Overseas students who expect to qualify for a Home rate of tuition fee at a point after the start
date of their programme should defer their entry to the University. Detailed advice can be
obtained from the University’s Admissions Service.

2. Tuition Fees

2.1 The University’s Home and Overseas tuition fees are listed online via the Student Services
Information Desk webpages.

2.2 Tuition fees may increase in line with inflation.

2.3 Tuition fees for Overseas Students are fixed at the start of the programme, and remain
unchanged in subsequent years, with the exception of fees for Medical and Dental programmes
which incur a clinical fee in the final years of the course and for Integrated Programmes (where
a student might progress on from a Master’s to a Research degree). The fixed fee guarantee is
only available to students who remain on the course to which they were originally admitted; if
a student changes their course, they may be subject to a higher or lower rate of fee in
subsequent years of study.

2.4 Students who opt to change programmes should be aware that this may lead to a change in the
level of their tuition fee.

2.5 Different fee levels apply to students who:

2.5.1 are on ‘Placement’, such as on a Year Abroad or a Year In Industry/Employment;
2.5.2 are on a repeat period of study (with tuition);
2.5.3 are on an Intercalated degree course: students who intercalate pay the relevant annual
tuition fee for the new undergraduate or postgraduate course. Students who wish to
intercalate at another university are not required to pay tuition fees to the University;
MBChB/BDS students who return to their MBChB/BDS course should note that if
they intercalate on a postgraduate course, their future funding from Student Finance
England may be affected. Students should contact the Medical School for advice;
2.5.4 spend between one and three years ‘In Practice’ (e.g. in the School of Architecture);
2.5.5 are classed as ‘Remote Location’;
2.5.6 attend as an ‘External Candidate’ to resit examination(s) only, i.e. do not intend to
attend classes: resit fees are payable subject to the number of credits being re-
examined;
2.5.7 choose to do a ‘Languages for All’ module. Fees are determined by:

   (a) the number of credits/modules taken;
   (b) the student’s current registration status;
   (c) the availability of unrestricted choice on their main programme of study;
2.5.8 are studying at the English Language Teaching Centre where the fees are charged on a weekly basis.

3. The Financial Declaration
3.1 It is a requirement of the registration process that students complete the online Financial Declaration, in advance or at registration.
3.2 The Financial Declaration requires students to:
   3.2.1 acknowledge and agree to the rate of fee they are being charged;
   3.2.2 confirm they have the funds to cover their tuition fees and living costs for the duration of their course;
   3.2.3 disclose how their tuition fees will be paid (by means of personal funds, a loan or sponsor funding);
   3.2.4 agree to pay their tuition fees by means of a university-approved payment plan;
   students should note that fees are payable in each academic session.
3.3 UK/EU students should confirm whether they have applied for, and are expecting to, receive a loan from Student Finance.
3.4 Sponsored students will be required to provide evidence of their funding and to acknowledge their personal tuition fee liability in the case of their sponsor failing to settle their fees.
3.5 Postgraduate Research students expecting to be in receipt of a University Scholarship or funding from a Research Council should advise the University via the Financial Declaration.
3.6 Postgraduate Taught students will be asked to confirm if they have previously studied at the University.

4. Payment of Tuition Fees
4.1 It is a requirement of the University’s registration process that, in order to gain Full Registration, students have a fee payment arrangement in place, or provide evidence of sponsorship, in advance, or at the time, of registering. Up to date information on payment arrangements can be found on the University’s tuition fee pages (www.sheffield.ac.uk/registration/tuitionfees).
4.2 The University provides students with a range of payment options. However, the University reserves the right to request that payment is made in full at the start of each year of study.
4.3 Self-funded students must make arrangements to pay their tuition fees either in full or by instalments by an approved payment method. Such students should note that The University does not issue invoices to self-funded students.
4.4 Where students are expecting an external organisation (e.g. an employer/embassy) to pay some or all of their tuition fee, they are required to provide evidence of the funding arrangements; more information for sponsored students can be found at: www.sheffield.ac.uk/registration/tuitionfees/sponsored-students. Fees from a sponsor must be paid in full upon receipt of an invoice. Students should note that The University does not issue receipts for payments made in advance; the cardholder will receive an email confirming payment.
4.5 Students may be entitled to a University Scholarship, as follows:
   4.5.1 Home Postgraduate students who have previously graduated from The University of Sheffield are eligible for a 10% Alumni Reward; the application/eligibility process is part of the registration process;
   4.5.2 self-funded overseas students may be eligible to apply for a Faculty/Country Scholarship;
   4.5.3 students with a sibling or spouse (including civil partner) who is studying at the University may be eligible to apply for the Sibling & Spouse Scholarship. Students should note that in the event of a scholarship being withdrawn on grounds of ineligibility, they will become liable for any resulting unpaid tuition fees.
4.6 Students should make every effort to honour the agreement to pay tuition fees by ensuring that they have sufficient funds in their bank account when the payment is due. If a student becomes aware that they may face difficulties in meeting a payment, they must contact the Student Fees Team in the Student Administration Service in advance of the payment date, in order to discuss a possible alternative payment arrangement. If the payment does not reach the
University as agreed with prior notice, students may have their registration and computer facilities cancelled. They may be required to settle all outstanding fees before they are permitted to re-register and the University may withdraw the option to pay in instalments.

4.7 Students are not permitted to continue into a further period of study with an academic-related debt unless they have arranged payment of the debt via the Personal Payments Scheme and/or have the express agreement of the Student Fees Team.

4.8 Students are not permitted to graduate until all outstanding academic-related debts have been paid.

4.9 The University operates a Tuition Fee Refund Policy. A student may be eligible for a refund if he/she:

4.9.1 takes a leave of absence or withdraws from the University, with the following exceptions:
(a) where the period of leave of absence ends in the same academic year;
(b) if the student is a Postgraduate Research student and the leave of absence is for a period of less than six months within the same academic session (for PGR students, the registration start date marks the start of a twelve month academic session);

4.9.2 transfers or downgrades from a Masters’ qualification to a Diploma or Certificate qualification (unless the student has received teaching/supervision for the higher award or the transfer or downgrade is the result of a failure to progress on the higher qualification);

4.9.3 submits their thesis early (having paid all fees due to cover the minimum fee paying period);

4.9.4 starts or leaves their English Language Teaching Centre programme earlier than expected.

5. Temporary Registration
If a student cannot pay or provide a sponsor letter by the time they are required to register, they may be given Temporary Registration. Students on a Temporary Registration should keep the Student Fees Team informed of their financial circumstances at all times. Failure to do so may result in: loss of access to University services and facilities; exclusion from classes; withdrawal.

6. Provisional Registration (for fee paying purposes)
A Provisional Registration Status may be granted to students who encounter unexpected financial difficulty whilst on their course. If a student cannot pay their tuition fee either in full or in instalments under one of the University’s standard instalment payment plans, a student should contact the Student Fees Team. Students who are provisionally registered (i.e. given a Provisional Registration Status for fee purposes) are entitled to the same services and support as fully registered students.
REGULATION XV:
General Regulations for First Degrees

SCOPE OF THESE REGULATIONS

1. These Regulations apply, subject to any different provision in the Regulations for a particular programme of study, to all Certificates, Diplomas and Bachelor’s Degrees and Integrated Master’s Degrees and to Foundation Years and Foundations Degrees, but where any programme of study is designated as a non-modular programme, Regulation 14 and subsequent Regulations will not apply to that programme.

2. The Senate will on the recommendation of the Faculty make Regulations providing for one or more programmes of study leading to the award of the Degree.

CONTENT OF PROGRAMMES OF STUDY

3. The Regulations for each programme of study will specify the units required of or available to students at each Level, and may specify other requirements (which may include requirements assigned no value in terms of credits) to be met by a student before successful completion of the programme of study. The availability of units specified in the Regulations for a programme of study is subject to the conditions as to pre-requisites and unacceptable combinations of units published on the authority of the Senate. Where a student is required to register for a unit, that registration may only be amended with the permission of the Faculty, on the recommendation of the Head of Department.

4. A reference in a Regulation to a particular programme of study or unit does not mean that the programme or unit will necessarily be available in any particular session. The availability of any unit may be subject to conditions as to the minimum or maximum size of classes, the availability of accommodation, timetabling restraints, and, where the Regulations for a programme of study contain requirements satisfaction of which depends upon the provision of facilities by another institution or body, the agreement of that institution or body.

5. Where a Regulation enables or requires a student to make a choice, the student’s choice requires approval. The approval of the Head of the Department responsible for the programme of study is required for the subject of a special topic, special study, dissertation, extended essay or project and, where the Regulations require a student to make a choice between units, for the student’s choice of units. Where the programme of study is the responsibility of more than one Department, the Heads of those Departments will agree on the procedure for giving such approval and publish it to students. Except where these Regulations or the Regulations for a particular programme of study provide otherwise, the choice of a unit as an unrestricted unit within a programme of study requires the approval only of the Head of the Department offering the unit.

ATTENDANCE OTHER THAN FOR UNIVERSITY QUALIFICATIONS

6. A person, whether or not registered for a programme of study leading to the award of a Degree or other qualification of the University, may be permitted by a Head of Department on payment of the appropriate fee to attend a programme of study in respect of one or more units and take the examination in respect of those units on the basis that any grades and credits awarded may not be taken into account for the purposes of the award of any qualification of the University.

7. A student attending the University under the Erasmus exchange programme will register for 60 credits in each semester attended. Such a student will normally register for units at the appropriate Level of a programme of study offered by the relevant department.

STUDY ABROAD

8. A student may be permitted by the Faculty, on the recommendation of the Head of Department, to spend a period of not more than two consecutive semesters at an overseas University under a scheme approved by the Faculty; such a student will pursue courses and take examinations under arrangements approved by the Head of Department.

DEGREES WITH EMPLOYMENT EXPERIENCE

9. A student may be permitted to spend one session undertaking an approved placement in employment, the arrangements being subject to the approval of the Head of Department, and for which no grades will be awarded. Subject to successful completion of the requirements for the programme of study for which

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the student is registered, the Examiners may recommend that a student who successfully completes such a placement be awarded the named Degree ‘with Employment Experience’.

TRANSFER BETWEEN PROGRAMMES OF STUDY AND FACULTIES
10. A student may, with the permission of the Faculty, transfer to another programme of study. The Faculty will determine in each case the extent to which parts of the original programme already completed by a student will be treated as forming part of the new programme. Where parts of the original programme are so treated the credits and grades (including any fail grades) already obtained by the student will be counted in respect of the new programme. Where parts of the original programme are not so treated, the fact that the student has previously taken the relevant units and the grades and credits (if any) awarded will be disregarded for the purposes of these Regulations except those dealing with time-limits and transcripts. A transfer from one Faculty to another requires the permission of both Faculties concerned. Permission to transfer will not imply the waiver of any requirements as to prerequisites.

TIME-LIMITS
11. Except with the permission of the Faculty:
   (a) a full-time student must complete the Final Examination for a Degree within a period two years greater than the minimum required to complete the programme of study;
   (b) a part-time student must complete the Final Examination for a Degree within a period of nine years from the date of initial registration.

   Any period during which the Faculty has granted a student leave of absence will be ignored in calculating the time-limit.

RECOGNITION OF CREDITS AND EXAMINATIONS
12. The Faculty may recognise credits obtained or examinations passed in other institutions as equivalent to credits awarded at Level 1, Level 2 or Level 3 under the Regulations of this University, provided that:
   (a) the work attracting the credits has not been and will not be submitted for any other Degree; and that
   (b) a Bachelor’s Degree or the First Degree of MBiolSci, MBiomedSci, MChem, MComp, MEng, MEnvSci, MGeog, MGeogSci, MMath, MPlan or MPhys may not, without the special permission of the Senate, be awarded to any student who has not been awarded at least 180 credits at Level 1, Level 2 or Level 3 under the Regulations of this University, at least 100 of which were at Level 3. “Credits awarded under the Regulations of this University” includes credits obtained in respect of a part of a programme of study which a candidate registered in this University is permitted or required by the Regulations to complete away from the University.

13. Permitted exceptions to 12 are as follows:
   (a) exceptions to 12 (a) and (b) are permitted in the case of apprenticeship-related and pre-registration nursing programmes which are subject to external requirements.
   (b) exceptions to 12 (a) are permitted in the case of collaborative dual/double award programmes with the permission of Senate.

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2 The following apprenticeship-related and pre-registration nursing programmes are specified for the purposes of regulations 13, 46, 48, 49 and 50.

FACULTY OF ENGINEERING:
AMRU06 and AMRU07 MAINTENANCE ENGINEERING (FdEng)
AMRU05 and AMRU21 MANUFACTURING TECHNOLOGY (FdEng)
AMRU03 and AMRU20 MECHANICAL MANUFACTURE (FdEng)
AMRU20 MECHANICAL MANUFACTURE (FdEng)
AMRU08 and AMRU09 MECHANICAL MANUFACTURE (BEng)
AMRU10 and AMRU11 MANUFACTURING TECHNOLOGY (BEng)
AMRU12 and AMRU13 MAINTENANCE ENGINEERING (BEng)
AMRU14 and AMRU15 MECHANICAL MANUFACTURE (BEng)
AMRU16 and AMRU17 MANUFACTURING TECHNOLOGY (BEng)
AMRU18 and AMRU19 MAINTENANCE ENGINEERING (BEng)

FACULTY OF MEDICINE, DENTISTRY AND HEALTH:
NURU152 NURSING (Fd Nursing Associate)
NURU149 NURSING (ADULT) (BMedSci)

FACULTY OF SOCIAL SCIENCES:
SCSU13 SOCIAL WORK (BA (Hons))
(c) exceptions to 12 (b) are permitted in the case of non-modular programmes.

AEGROTAT CASES

14. Where the Examiners are satisfied by appropriate medical evidence that a student was prevented by illness from completing an examination but are satisfied that the work done by the student shows beyond reasonable doubt that the student would have passed the examination, they may recommend that the student be deemed to have passed the examination and that the student be awarded the credits assigned to relevant units but without the award of a grade. The credits awarded in respect of such units will not be taken into account in determining the class of Degree to be awarded.

15. Where the Examiners are satisfied by appropriate medical evidence that a student was prevented by illness from completing a substantial part of the Final Examination for a Degree, and are satisfied that the work done by the student shows beyond reasonable doubt that the student would have passed the examination but that it is impossible to determine the appropriate class in which the student should be placed, they may recommend that the student be awarded an Aegrotat Degree.

REGISTRATION FOR PROGRAMMES AND UNITS

16. A student will register for a particular programme of study and for the units the student proposes to complete. The programme of study of a full-time student, other than one repeating part of the programme of study at a particular Level, must be to the value of not fewer than 120 credits in a session and of not fewer than 40 credits in any semester. A full-time student may register for more than 120 credits in any one session only with the permission of the Faculty. Where a unit is available at more than one point in the Regulations for a programme of study, a student may only register for the unit at one such point.

17. A student will register for units to the value of not fewer than 120 credits at each of Level 2 and Level 3, of which not fewer than 90 credits will be at FHEQ Level 6 or above. A student at Level 4 will register for units to the value of not fewer than 120 credits. Not fewer than 120 credits of those taken at Levels 3 and 4 will be at FHEQ Level 7.

18. Except where the Regulations for a programme of study expressly so provide or special permission is given under arrangements approved by the Vice-President of the Faculty, a unit which is available at Level 1 of any programme of study may not be taken by any student at a subsequent Level.

19. A student may amend the registration in respect of any semester with the consent of the Head of Department but not after the end of the third week of the relevant semester. Amendment to registration after the end of the third week of the relevant semester will be made only with the permission of the Faculty.

EXAMINATIONS

20. Where a unit is taken in the Autumn or the Spring Semester, an examination will be held for that unit in the relevant semester. Where a unit is taught over both semesters, an examination will be held for that unit in the Spring Semester.

21. At Level 1, a second examination in respect of each unit will be held in August or September following the date of the first examination. Except with the permission of the Faculty, entry for the second examination is open only to students who have failed in the first examination.

22. At Level 2 in certain programmes of study determined by the Faculty, a second examination in respect of each unit will be held in August or September following the date of the first examination. Except with the permission of the Faculty, entry for the second examination is open only to students who have failed in the first examination.

23. Where some part of the programme of study carrying a value in terms of credits is not in the form of a unit, the Regulations will specify the time or times at which the examination is to be held.

AWARD OF CREDITS

24. Subject to the requirements of these Regulations as to attendance, a student who satisfies the Examiners in the examination for a unit will be awarded the credits assigned to that unit.

25. A student who has been awarded credits in respect of any unit may not repeat the Examination for that unit.

FOUNDATION YEAR

26. A student will complete units at Level 0 to a total value of not less than 120 credits. For the purposes of this Regulation ‘completed units’ are those for which a student has obtained:

(a) a pass grade or pass outcome;
(b) a fail grade; or
(c) where formal exemption as a result of previous study has been approved.

27. A student who is awarded 120 credits will thereby pass the Foundation Year.

28. A student who fails to satisfy the Examiners in respect of any unit at Level 0 may enter for a subsequent examination in respect of the unit on no more than two occasions. In the event that the grade achieved following a subsequent examination is lower than that achieved on a previous occasion, the higher grade will be awarded.

**FIRST UNIVERSITY EXAMINATION**

29. Within each programme of study there will be an Examination, referred to in these Regulations as the First University Examination.

**Level 1**

30. A student will complete units at Level 1 to a total value of not less than 120 credits. For the purposes of this Regulation ‘completed units’ are those for which a student has obtained:

(a) a pass grade or pass outcome;
(b) a fail grade; or
(c) where formal exemption as a result of previous study has been approved.

31. A student who is awarded 120 credits will thereby pass the First University Examination. The Examiners may in their discretion recommend that a student who is awarded not fewer than 100 credits and who obtains a grade or grades of not less than 30 in the unit(s) in respect of which credits are not awarded be deemed to have passed the First University Examination. Such a recommendation will take into account the performance of the student in the units in respect of which credits are not awarded and the extent to which the student’s performance in other units provides compensation for failure in those units, and will not imply the waiver of any requirements as to prerequisites involving the award of credits in respect of specified units.

32. **For students commencing Level 1 of a modular programme of study in or after September 2012**

(a) In the case of a student commencing Level 1 of a modular programme of study in or after September 2012, the Examiners will adopt the following Regulation.

A student who fails to satisfy the Examiners in respect of any unit at Level 1 may (subject to the General Regulations relating to the Progress of Students) enter for a subsequent examination in respect of the unit on no more than two occasions. A student may re-sit under this Regulation despite having been deemed to have passed the First University Examination at the end of the Spring semester. A student satisfying the Examiners in a subsequent examination will be awarded a bare pass grade in respect of that unit. In the event that the grade achieved following a subsequent examination is lower than that achieved on a previous occasion, the higher grade will be awarded.

**For students commencing Level 1 of a programme of study before September 2012 and students on a non-modular programme of study**

(b) In the case of a student commencing Level 1 of a programme of study before September 2012 or a candidate on a non-modular programme of study, the Examiners will adopt the following Regulation.

A student who fails to satisfy the Examiners in respect of any unit at Level 1 may enter for a subsequent examination in respect of the unit on one or (subject to the General Regulations relating to the Progress of Students) more occasions. A student may re-sit under this Regulation despite having been deemed to have passed the First University Examination at the end of the Spring semester. In the event that the grade achieved following a subsequent examination is lower than that achieved on a previous occasion, the higher grade will be awarded.

33. The Faculty may permit a student who has, at the end of any session, failed to pass the First University Examination to re-commence the programme of study at the following (or a later) session. In such a case, the fact that the student has previously taken any unit and the grades and credits (if any) awarded will be disregarded for the purposes of these Regulations except those dealing with time-limits and transcripts.

**FINAL EXAMINATION**

34. Within each programme of study there will be an Examination, referred to in these Regulations as the Final Examination.
General Regulations for First Degrees

35. For Foundation Degrees, a student will complete units to a total value of not less than 120 credits at each of Levels 1 and 2. For other undergraduate degrees, a student will complete units to a total value of not less than 120 credits at each of Levels 2, 3 and (where applicable) 4. For the purpose of this Regulation ‘completed units’ are those for which a student has obtained:

(a) a pass grade or pass outcome;
(b) a fail grade; or
(c) where formal exemption as a result of previous study has been approved.

Where the Regulations for a particular programme of study provide for a phase of the programme to be intercalated (for example a session spent abroad in a programme of study in a modern language) a student will in addition complete the requirements of that phase bearing a value in terms of credits prescribed in the relevant programme Regulations.

A student at Level 2, 3 or 4 (other than a candidate on a programme of study offered by the Department for Lifelong Learning) may not take as an unrestricted unit:

(a) an ACE unit, without the permission of the Head of Department;
(b) a unit available at Level 1 in any programme of study, unless the programme Regulations expressly so provide or with special permission given under arrangements approved by the Vice-President.

Level 2

36. A student must have passed the First University Examination before proceeding to Level 2.

37. For students who commenced Level 1 of a programme of study in or after September 2012

(a) A student who fails to satisfy the Examiners in respect of any unit at Level 2, or Level 1 in the case of Foundation Degrees, may (subject to the General Regulations as to Progress of Students) enter for a subsequent examination in respect of the unit on one occasion. A student satisfying the Examiners in a subsequent examination will be awarded a bare pass grade in respect of that unit. In the event that the grade achieved following a subsequent examination is lower than that achieved on a previous occasion, the higher grade will be awarded.

For students who commenced Level 1 of a programme of study before September 2012 and students on a non-modular programme of study

(b) A student who fails to satisfy the Examiners in respect of any unit at Level 2 may (subject to the General Regulations as to Progress of Students) enter for a subsequent examination in respect of the unit on one or more occasions. A student satisfying the Examiners in a subsequent examination will be awarded a bare pass grade in respect of that unit. In the event that the grade achieved following a subsequent examination is lower than that achieved on a previous occasion, the higher grade will be awarded.

Level 3

38. A student for a Degree other than an Integrated Master’s Degree who has been awarded fewer than 120 credits at Level 2 may proceed to Level 3 only with the permission of the Faculty. The Examiners may in their discretion recommend that a student who is awarded not fewer than 100 credits at Level 2 be permitted to proceed to Level 3. Such a recommendation will not imply the waiver of any requirements as to prerequisites involving the award of credits in respect of specified units.

39. A student for a Foundation Degree who fails to satisfy the Examiners in respect of any unit(s) at Level 2 and who has not been recommended for the award of a Foundation Degree may (subject to the General Regulations as to Progress of Students) enter for a subsequent examination on one occasion in respect of any failed unit(s) taken at Level 1 where a subsequent examination has not already been attempted, or in respect of any failed unit(s) taken at Level 2. A student satisfying the Examiners in a subsequent examination will be awarded a bare pass grade in respect of that unit and will not be recommended for the mark of distinction or merit. In the event that the grade achieved following a subsequent examination is lower than that achieved on a previous occasion, the higher grade will be awarded.

40. A student for an Integrated Master’s Degree who has been awarded fewer than 120 credits at Level 2 or who has obtained a weighted mean grade less than 54.5 may proceed to Level 3 only with the permission of the Faculty. The Examiners may in their discretion recommend that a student who is awarded not fewer than 100 credits at Level 2 and who obtains a weighted mean grade of not lower than 49.5 be permitted to proceed to Level 3. Such a recommendation will not imply the waiver of any requirements as to prerequisites involving the award of credits in respect of specified units.
41. A student for a Degree other than an Integrated Master’s Degree who fails to satisfy the Examiners in respect of any unit(s) at Level 3 and who has not been recommended for the award of a Degree may (subject to the General Regulations as to Progress of Students) enter for a subsequent examination on one occasion in respect of any failed unit(s) taken at Level 2 where a subsequent examination has not already been attempted, or in respect of any failed unit(s) taken at Level 3. A student satisfying the Examiners in a subsequent examination will be awarded a bare pass grade in respect of that unit and will not be recommended for the award of Honours. In the event that the grade achieved following a subsequent examination is lower than that achieved on a previous occasion, the higher grade will be awarded.

42. A student for an Integrated Master’s Degree who fails to satisfy the Examiners in respect of any unit(s) at Level 3 and who has not been recommended for the award of a Degree may (subject to the General Regulations as to Progress of Students) enter for a subsequent examination in respect of the same unit(s) on one occasion. A student satisfying the Examiners in a subsequent examination will be awarded a bare pass grade in respect of that unit. In the event that the grade achieved following the subsequent examination is lower than that achieved on the first occasion, the higher grade will be awarded.

Level 4

43. The Examiners may in their discretion recommend that a student who is awarded not fewer than 100 credits at Level 3 and who obtains a weighted mean grade at Level 3 of not lower that 49.5 be permitted to proceed to Level 4. Such a recommendation will not imply the waiver of any requirements as to prerequisites involving the award of credits in respect of specified units.

44. A student who fails to satisfy the Examiners in respect of any unit at Level 4 and who has not been recommended for the award of a Degree may (subject to the General Regulations as to Progress of Students) enter for a subsequent examination in respect of the same unit on one occasion. A student satisfying the Examiners in a subsequent examination will be awarded a bare pass grade in respect of that unit. In the event that the grade achieved following the subsequent examination is lower than that achieved on the first occasion, the higher grade will be awarded.

GRADES FOR UNITS

45. In respect of units at Level 0 or FHEQ Levels 4, 5 and 6 and in respect units at FHEQ Level 7 taken by students commencing Level 3 or Level 4 in September 2013

(a) The Examiners will award in respect of each unit a grade expressed as a whole number of the following numerical scale:

70-100 work to a standard appropriate to Class I
60-69 work to a standard appropriate to Class II - Division 1
50-59 work to a standard appropriate to Class II - Division 2
45-49 work to a standard appropriate to Class III
40-44 work of a Pass standard
0-39 work in respect of which the student fails

Work not submitted, or work submitted but deemed to be of no academic merit, or work submitted in respect of which credit is refused or denied may not normally be awarded a grade.

In respect of units at FHEQ Level 7 taken by students commencing Level 3 or Level 4 in or after September 2014

(b) The Examiners will award in respect of each unit a grade expressed as a whole number of the following numerical scale:

70-100 work to a standard appropriate to Class I
60-69 work to a standard appropriate to Class II - Division 1
50-59 work to a standard appropriate to Class II - Division 2
0-49 work in respect of which the student fails

Work not submitted, or work submitted but deemed to be of no academic merit, or work submitted in respect of which credit is refused or denied may not normally be awarded a grade.
In respect of students who commenced prior to September 2000, reference should be made to Regulation 36 of the Regulations for First Degrees in the Calendar for 2005-06.

CLASSIFICATION OF STUDENTS

For all students

46. The class of Degree to be awarded to each student will be recommended to the Faculty by the Examiners. The recommendations will take into account the performance of the student at Level 2, Level 3 and, where applicable, Level 4 except in the case of apprenticeship-related programmes, where the recommendations will normally take into account the performance of the student at Level 3 only.

47. In every case, the Examiners will recommend the classification which, having regard to all the evidence before them, best reflects the overall performance of the student.

48. A student who is awarded the required number of credits at Levels 2 and 3 or Level 3 for apprenticeship-related programmes, but to whom the Examiners do not recommend the award of Honours will be recommended for the award of a Pass degree.

49. The Examiners may recommend:

(a) that a student for a Degree other than an Integrated Master’s Degree who is awarded not fewer than 200 credits at Levels 2 and 3 (or not fewer than 230 credits at Levels 2 and 3 in the case of language programmes in the School of Languages and Cultures; or 100 credits at Level 3 for apprenticeship-related programmes), of which not fewer than 90 credits are at FHEQ Level 6 or above, and to whom the Regulation as to Aegrotat cases is inapplicable, be deemed to have passed the Final University Examination provided that the student has obtained a weighted mean grade of not less than 39.5 in the Examination as a whole. The Examiners may, having regard to the following Regulations, recommend the award of Honours to such a student. A student who is awarded not fewer than 200 credits at Levels 2 and 3 (or not fewer than 230 credits in the case of language programmes in the School of Languages and Cultures; or 100 credits at Level 3 for apprenticeship-related programmes), but who fails to satisfy the Examiners in respect of the other criteria above, may be recommended for the award of a Pass Degree.

(b) that a student for an Integrated Master’s Degree who is awarded not fewer than 320 credits at Levels 2 and 3 and 4, of which not fewer than 90 credits at Levels 3 and 4 are at FHEQ Level 7, and to whom the Regulation as to Aegrotat cases is inapplicable, be deemed to have passed the Final University Examination provided that the student has obtained a weighted mean grade of not less than 49.5 in the Examination as a whole. A student who is awarded not fewer than 320 credits at Levels 2, 3 and 4 but who fails to satisfy the Examiners in respect of the other criteria above may be recommended for the award of a Bachelor’s Degree, with or without Honours.

50. The Examiners may in their discretion, but only with the specific concurrence of the External Examiner, recommend that a student who is awarded not fewer than 180 credits at Levels 2 and 3 (or 280 credits at Levels 2, 3 and 4; or 210 credits at Levels 2 and 3 in the case of language programmes in the School of Languages and Cultures; or 100 credits at Level 3 for apprenticeship-related programmes), and to whom the Regulation as to Aegrotat cases is inapplicable, be deemed to have passed the Final University Examination, but will not recommend the award of Honours to such a student.

For students commencing Level 2 of a programme of study in or after September 2006

51. In the case of a student commencing Level 2 of a programme of study in or after September 2006, a grade awarded at Level 3 or Level 4 has twice the weight of a grade awarded at Level 2.

52. In the case of a student commencing Level 2 of a programme of study in or after September 2006, the Examiners for each programme of study will adopt the method set out in the following Regulations.

Calculations

53. The Examiners will have regard to the outcome of the following Calculations, which will in each case be either a class or a borderline to a higher class.

54. Calculation 1 (the weighted mean grade) is made in accordance with the following principles:

(a) where a student’s weighted mean grade is of a value indicated in the first column, the outcome of Calculation 1 will be the corresponding class indicated in the second column:

| 69.5 or higher | Class I |
59.5 or higher Class II - Division 1
49.5 or higher Class II - Division 2
44.5 or higher Class III
39.5 or higher Pass

(b) (For students commencing Level 3 or Level 4 of a programme of study in September 2010) where a student’s weighted mean grade falls within the band indicated in the first column, the outcome of Calculation 1 will be the borderline to the corresponding class indicated in the second column:

67.0-69.4 Class I
57.0-59.4 Class II - Division 1
47.0-49.4 Class II - Division 2
43.5-44.4 Class III
37.0-39.4 Pass

(c) (For students commencing Level 1 or Level 2 of a programme of study in or after September 2010) where a student’s weighted mean grade falls within the band indicated in the first column, the outcome of Calculation 1 will be the borderline to the corresponding class indicated in the second column:

68.0-69.4 Class I
58.0-59.4 Class II - Division 1
48.0-49.4 Class II - Division 2
43.5-44.4 Class III
38.0-39.4 Pass

55. Calculation 2 (the distribution of grades) is made in accordance with the following principles:

(a) where the best half of a student’s weighted grades are of a value indicated in the first column, the outcome of Calculation 2 will be the corresponding class indicated in the second column:

69.5 or higher Class I
59.5 or higher Class II - Division 1
49.5 or higher Class II - Division 2
44.5 or higher Class III
39.5 or higher Pass

(b) where the best five twelfths of a student’s weighted grades are of a value indicated in the first column, the outcome of Calculation 2 will be the borderline to the corresponding class indicated in the second column above.

Classifications

56. In recommending the class of Degree to be awarded to each student, the Examiners will take into account the outcomes of Calculations 1 and 2 in accordance with the following principles:

(a) where one Calculation places the student in one class and the other Calculation places the student in either the same class or the borderline to the same class, the student will normally be recommended for the award of a Degree of that class:

(b) where one Calculation places the student in one class, and the other Calculation places the student in the borderline to the class immediately above, the student will normally be recommended for the award of a Degree of the lower class;

(c) where one Calculation places the student in one class, and the other Calculation places the student in the class immediately below, the student will be considered as being in the borderline to the higher class, and the class of the Degree to be recommended by the Examiners will normally correspond to the class indicated by the weighted mean of the grades at the final Level of study;
where both Calculations place the student in the same borderline, the class of the Degree to be recommended by the Examiners will normally correspond to the class indicated by the weighted mean of the grades at the final Level of study;

where one Calculation places the student in one class, or borderline to a class, and the other Calculation places the student in another class, or borderline to a class, neither immediately above nor below, the Examiners will recommend the classification which, having regard to all the evidence before them, best reflects the overall performance of the student.

57. In the case of a student for the Degree of MBiolSci, MBiomedSci, MChem, MEng, MEnvSci, MGeog, MGeogSci, MMath, MPlan or MPhys who is being considered for the award of a Bachelor’s Degree, the recommendation of the Examiners as to the class of Degree to be awarded will take into account the performance of the student at Level 2 and Level 3.

58. Students commencing Level 2 of a programme of study in or after September 2000 but before September 2006 should refer to Regulations 53, 43 and 55 of the General Regulations for First Degrees in the Calendar for 2015-16.

MERITS AND DISTINCTIONS FOR FOUNDATION DEGREES

59. The Examiners may in their discretion recommend the award of a mark of distinction or merit to a student registered for a Foundation Degree. Details of the requirements for achieving a merit or distinction will be specified in the relevant Programme Regulations.

SUBSTITUTION OF UNITS

60. A student entitled under the above Regulations to enter for a subsequent examination at any Level may, with the permission of the Faculty, substitute another unit for that in respect of which the student has failed to satisfy the Examiners. Permission to make a substitution under this Regulation will not increase the number of occasions on which a student may enter an examination.

61. Except for Level 1 students commencing Level 1 before September 2012, a student satisfying the Examiners in the examination for the substituted unit or other part will be awarded a bare pass grade in that examination.
REGULATION XVI:
General Regulations for Higher Degrees, Postgraduate Diplomas and Postgraduate Certificates

SCOPE OF THESE REGULATIONS

1. These Regulations apply to:
   - Master’s Degrees in all Faculties (except Integrated Master’s Degrees)
   - Postgraduate Diplomas in all Faculties
   - Postgraduate Certificates in all Faculties
   And to the coursework element of:
     - the Degree of EdD
     - the Degree of DBA
     - the Degree of DClinDent
     - the Degree of DClinPsy
     - the Degree of DDSc
     - the Degree of DEdCPsy
     - the Degree of DEdPsy
     - the Degree of DMedSci
     - the Degree of EngD
     - PhD with Integrated Studies

2. These Regulations are subject to provisions relating to a specific Higher Degree, and to the General Regulations for Higher Degrees by Research, where a programme of study includes a programme of research and a thesis, provided that where any programme of study is designated as a non-modular programme, Regulations 13 to 19 will not apply to that programme.

MINIMUM QUALIFICATIONS FOR ADMISSION AS A STUDENT

3. A person may be admitted as a student who:
   (a) is a recognised graduate and who has attained a standard at least equivalent to that of a good Honours Degree in a subject related to that of the proposed programme of study; or
   (b) has passed a qualifying examination in such a subject at a standard equivalent to Level 3 and at a time and subject to such conditions (which may include attendance for an appropriate programme of study) as may be prescribed by the Faculty; or
   (c) has been deemed qualified for admission as a student by the Faculty; or
   (d) being a student for a Postgraduate Diploma, is permitted by the Faculty to transfer to candidature for a Master’s Degree in the same subject.

PROGRAMMES OF STUDY

4. For each Higher Degree by coursework (or by coursework and dissertation), the Senate will on the recommendation of the Faculty make Regulations providing for one or more programmes of study leading to the award of the Degree.

5. The Regulations for each programme of study will specify the units required of or available to students, and may specify other requirements (which may include requirements assigned no value in terms of credits) to be met by a student before successful completion of the programme of study. Where the Regulations for a modular programme of study require a dissertation, the dissertation will be assigned a value of not more than 90 credits. The availability of units specified in the Regulations for a programme of study is subject to the conditions as to pre-requisites and unacceptable combinations of units published on the authority of the Senate. Where a student is required to register for a unit, that registration may only be amended with the permission of the Faculty, on the recommendation of the Head of Department.

6. A reference in a Regulation to a particular programme of study or unit does not mean that the programme or unit will necessarily be available in any particular session. The availability of any unit may be subject to conditions as to the minimum or maximum size of classes, the availability of accommodation, timetabling restraints, and, where the Regulations for a programme of study contain
requirements satisfaction of which depends upon the provision of facilities by another institution or body.

7. Where a Regulation enables or requires a student to make a choice, the student’s choice requires approval. The approval of the Head of the Department responsible for the programme of study is required for the subject of a special topic, special study, dissertation, extended essay or project and, where the Regulations require a student to make a choice between units, for the student’s choice of units. Where the programme of study is the responsibility of more than one Department, the Heads of those Departments will agree on the procedure for giving such approval and publish it to students. Except where these Regulations or the Regulations for a particular programme of study provide otherwise, the choice of a unit as an unrestricted unit within a programme of study requires the approval only of the Head of the Department offering the unit.

8. A student may amend the registration in respect of any semester with the consent of the Head of Department but not after the end of the third week of the relevant semester. Amendment to registration after the end of the third week of the relevant semester will be made only with the permission of the Faculty.

9. A student may, with the permission of the Faculty, transfer to another programme of study. The Faculty will determine in each case the extent to which parts of the original programme already completed by a student will be treated as forming part of the new programme. Where parts of the original programme are so treated the credits and grades (including any fail grades) already obtained by the student will be counted in respect of the new programme. Where parts of the original programme are not so treated, the fact that the student has previously taken the relevant units and the grades and credits (if any) awarded will be disregarded for the purposes of these Regulations except those dealing with time-limits and transcripts. A transfer from one Faculty to another requires the permission of both Faculties concerned. Permission to transfer will not imply the waiver of any requirements as to prerequisites.

RECOGNITION OF CREDITS AND EXAMINATIONS

10. The Faculty may recognise credits obtained or examinations passed in other institutions as equivalent to credits awarded under the Regulations of this University, provided that the work attracting the credits has not been and will not be submitted for any other degree, except as permitted by the Senate in the case of collaborative dual/double award programmes, and that a higher Degree may not, without the special permission of the Senate, be awarded to any student who has not been awarded:

(a) in the case of Degrees and Postgraduate Diplomas and Postgraduate Certificates wholly awarded by the University, at least one-half of the required number of credits under those Regulations;

(b) in the case of Degrees wholly awarded by the University in respect of a programme of study that is jointly developed and delivered in conjunction with one or more partner institutions, at least one-third of the required number of credits under those Regulations;

(c) in the case of Postgraduate Diplomas and Postgraduate Certificates wholly awarded by the University in respect of a programme of study that is jointly developed and delivered in conjunction with one or more partner institutions, at least one-half of the required number of credits under those Regulations;

(d) in the case of jointly awarded Degrees, at least one-third of the required number of credits under those Regulations;

(e) in the case of jointly awarded Postgraduate Diplomas and jointly awarded Postgraduate Certificates, at least one-half of the required number of credits under those Regulations.

“Credits awarded under the Regulations of this University” includes credits obtained in respect of a part of a programme of study which a student registered in this University is permitted or required by the Regulations to complete away from the University.

AEGROTAT CASES

11. Where the Examiners are satisfied by appropriate medical evidence that a student was prevented by illness from completing an examination but are satisfied that the work done by the student shows beyond reasonable doubt that the student would have passed the examination, they may recommend that the student be deemed to have passed the examination and that the student be awarded the credits assigned to relevant units but without the award of a grade. The credits awarded in respect of such units will not be taken into account in determining the student’s eligibility for the award of merit or distinction.
12. Where the Examiners are satisfied by appropriate medical evidence that a student was prevented by illness from completing a substantial part of the Examination for a Degree, and are satisfied that the work done by the student shows beyond reasonable doubt that the student would have passed the Examination, they may recommend that the student be awarded an Aegrotat Degree.

MINIMUM PERIOD OF STUDY
13. The programme of study and research for a Master’s Degree by coursework (or coursework and dissertation) will be not less than one year for a full-time student and not less than two years for a part-time student. Minimum periods of study for the Degrees of EdD, DBA, DClinDent, DClinPsy, DDSc, DEdCPsy, DEdPsy, DMedSci, EngD and PhD with Integrated Studies are specified in the Code of Practice for Research Degrees.

GRADES
14. The Examiners will award in respect of each unit or other part of the programme of study a grade expressed as a whole number on the numerical scale set out in Regulation 44(b) of the General Regulations for First Degrees.

AWARD OF CREDITS
15. A student who satisfies the Examiners in the examination for a unit or other part of a programme of study will be awarded the credits assigned to that unit or other part.
16. A student who has been awarded credits in respect of any unit or other part of the programme of study may not repeat the examination for that unit or other part.

CREDITS REQUIRED FOR A MASTER’S DEGREE OR POSTGRADUATE DIPLOMA OR CERTIFICATE
17. A student who is awarded 180 credits will thereby pass the Examination for a Master’s Degree. Where the Regulations for a Master’s Degree make provision for a related Postgraduate Diploma or Postgraduate Certificate, a student who is awarded 120 credits will be eligible for the award of the Postgraduate Diploma, and a student who is awarded 60 credits will be eligible for the award of the Postgraduate Certificate.
18. The Examiners may in their discretion recommend that a student who is awarded not fewer than 165 credits be deemed to have passed the Examination for a Master’s Degree, providing that the student has obtained an average grade of not less than 50 and a grade or grades of not less than 40 in the elements of the programme of study in respect of which credits are not awarded, this calculation to include all units.
19. The Examiners may in their discretion recommend that a student who is awarded not fewer than 105 credits be deemed to have passed the Examination for a Postgraduate Diploma, providing that the student has obtained an average grade of not less than 50 and a grade or grades of not less than 40 in the elements of the programme of study in respect of which credits are not awarded. In the case of a student for a Master’s degree who is being considered for the award of a Postgraduate Diploma or Postgraduate Certificate, calculation of the average grade will exclude the dissertation grade.

DISTINCTIONS AND MERITS
20. The Examiners may in their discretion recommend the award of a mark of distinction or merit to a student registered for a Master’s degree, such that:
(a) a student who obtains a weighted mean grade of not less than 69.5 in the Examination as a whole and a grade of not less than 70 in units to the value of not less than 90 credits may be recommended for the award of the Degree with distinction; and
(b) a student who obtains a weighted mean grade of not less than 59.5 in the Examination as a whole and a grade of not less than 60 in units to the value of not less than 90 credits may be recommended for the award of the Degree with merit.
21. The Examiners may in their discretion recommend the award of a mark of distinction or merit to a student registered for a Postgraduate Diploma, such that:
(a) a student who obtains a weighted mean grade of not less than 69.5 in the Examination as a whole and a grade of not less than 70 in units to the value of not less than 60 credits may be recommended for the award of the Postgraduate Diploma with distinction; and
(b) a student who obtains a weighted mean grade of not less than 59.5 in the Examination as a whole and a grade of not less than 60 in units to the value of not less than 60 credits may be recommended for the award of the Postgraduate Diploma with merit.
22. The Examiners may in their discretion recommend the award which, having regard to all the evidence before them, best reflects the overall performance of the student notwithstanding Regulations 20 and 21 above.

DISSERTATIONS

23. Where a programme of study includes a dissertation, the dissertation will be of a length and be presented in typed form not later than the time prescribed by the Head of Department.

24. A student will state in the dissertation the sources from which the information it contains is derived and the extent to which the student has made use of the work of others.

25. A student may not submit a dissertation a substantial part of which consists of material which has been submitted for any other Degree or qualification.

FAILURE

26. A student who fails to satisfy the Examiners in respect of any unit or other part of the programme of study (including the dissertation if any) and who has not been recommended for the award of a Master’s Degree or a Postgraduate Diploma may (subject to the Regulations for the particular programme of study and to the General Regulations as to the Progress of Students) enter for a subsequent examination in respect of the unit or submit a revised dissertation on one occasion only. A student satisfying the Examiners in a subsequent examination or in respect of a revised dissertation will be awarded a bare pass grade in respect of that unit. In the event that the grade achieved following the subsequent examination is lower than that achieved on the first occasion, the higher grade will be awarded.

27. The Faculty may prescribe conditions to be satisfied by the student, and in the absence of any other provision, the re-examination or re-submission will be within one year of the notification of the first result.

28. Regulations for the Degrees of DClinPsy, DBA, DDSc, and EngD where a student fails to satisfy the Examiners in respect of the thesis are included in the Regulations relating to specific Higher Degrees by research.

TIME-LIMITS AND EXTENSIONS

29. Except with the permission of the Faculty, or where the Regulations for a particular programme of study provide otherwise:

(a) a full-time student must complete the programme of study for a Master’s Degree within a period one year greater than the minimum required to complete the programme of study; and

(b) a part-time student must complete the programme of study for a Master’s Degree within a period of two years greater than the minimum required to complete the programme of study.

Any period during which the Faculty has granted a student leave of absence will be ignored in calculating the time-limit.

Applicable time-limits for full-time and part-time students may, for good reason, be extended by not more than one year by the Faculty and, in exceptional cases, by a further period by the Senate on the recommendation of the Faculty. In any case in which the Faculty refuses to extend or recommend the extension of a time-limit, the Senate will consider the case (including the views of the Faculty) and may grant the extension.

30. Time limits for the Degrees of DClinPsy, DBA, DDSc, and EngD are included in the Regulations relating to specific Higher Degrees by research.
General Regulations for Higher Degrees by Research

SCOPE OF THESE REGULATIONS
1. These regulations apply to:
   the Degree of PhD in all Faculties
   the Degree of PhD with Integrated Studies (in respect of the programme of research and thesis)
   the Degree of PhD with Integrated Masters in Data Analytics and Society (CDT)
   the Degree of PhD undertaken in an EPSRC Doctoral Training Centre
   the Degree of EdD (in respect of the programme of research and thesis)
   the Degree of DBA
   the Degree of DClinPsy
   the Degree of DDSc
   the Degree of DEdCPsy (in respect of the programme of research and thesis)
   the Degree of DEdPsy (in respect of the programme of research and thesis)
   the Degree of DMedSci (in respect of the programme of research and thesis)
   the Degree of DSpecMed (Dermatology)
   the Degree of EngD
   the Degree of MD
   the Degree of MPhil in all Faculties
   the Degree of MSc(Res) (in respect of the research project)
   the Degree of LLM by research
   the Degree of MMus by research

2. These Regulations are subject to Programme Regulations relating to a specific Higher Degree, and/or to the General Regulations for Higher Degrees by Coursework where a programme contains coursework elements.

MINIMUM QUALIFICATIONS FOR ADMISSION AS A STUDENT
3. Applicants must hold an honours degree related to the proposed area of research, hold an equivalent qualification, or be deemed by the Faculty, and must satisfy the University that they are fit by reason of their ability, experience and training to undertake the Degree.

STUDENT CLASSIFICATION
4. A person may be admitted as a student in one of the following categories, unless stated otherwise in the relevant Programme Regulations:
   (a) full-time student candidate; or
   (b) part-time student candidate; or
   (c) University staff candidate.

5. A full-time or part-time student candidate may apply for admission as a University staff candidate at any time within one year after the commencement of the programme of research, on the proviso that any checks that may be required on their employment, application or immigration status can be completed and cleared within this period.

SPECIAL CANDIDATES
6. Where a student ceases to fall within the category that was applicable at the time of admission but desires to complete the programme of study or research, the student may be permitted to do so as a special candidate under conditions approved in each case by the Senate, other than those admitted under a remote location scheme approved by the Senate.

SUPERVISORS
7. One or more Supervisors will be appointed by the Senate on the recommendation of the Faculty for each student. At least one Supervisor will be a member of the Academic Staff of the University.

8. In the case of a Joint Award arrangement, at least one additional Supervisor will be appointed by the partner institution.
9. The supervision of any candidate (other than one admitted under a remote location scheme approved by the Senate) will be personal and not by correspondence only. The candidate will meet the Supervisor at such frequent and regular intervals as the Faculty may direct. A report on the progress of the research will be submitted annually by the Supervisor through the Faculty to the Senate.

RESEARCH AWAY FROM THE UNIVERSITY

10. A full-time or part-time student may pursue the whole or part of the programme of research at a specified place away from the University, in accordance with a remote location scheme approved by the Senate, or a Memorandum of Agreement for a remote location scheme with a specific institution.

To apply for a remote location scheme, students must:
(a) be an International or European Union (EU) student not resident in the UK; and
(b) meet the normal entry requirements for the programme of research for which they are applying; and
(c) accept adequate supervisory arrangements for the research scheme acceptable to the relevant faculty of the University; and
(d) prove access to the necessary library, computing and other research facilities required to successfully undertake the programme of research within the required period.

Approval to study as a remote location student can normally be sought during the application process and will not normally be given to students who are already registered as full-time or part-time students of the University. Remote location students must not spend more than eight consecutive weeks at the University at any time during the course of their degree (schemes agreed with specific institutions may set out further specifications relating to the amount of time spent at the University).

RECOGNITION OF ATTENDANCE AT OTHER INSTITUTIONS

11. The Senate may recognise, as part of the period of research, some or all of the time already spent in another institution by a student under approved supervision on research relevant to the subject of the thesis, provided that:
(a) the work done during that time has been approved by the Faculty as of sufficiently high standard; and
(b) the work has not been and will not be submitted for any other Degree; and
(c) the remaining period of research is normally at least the minimum period of study.

PERIOD OF STUDY, REGISTRATION, TIME-LIMITS AND TUITION FEE PAYMENT

12. Periods of study and time-limits for each programme of research are set out in the Code of Practice for Research Degree Programmes.

13. Time-limits for the presentation of theses will be calculated from the date of the student’s initial registration at the commencement of the programme of research unless an extended time-limit is approved by Faculty on the basis of significant additional programme content (such as compulsory advanced training, placements, internships, enterprise activities or similar and/or on the recommendation of the funder).

14. The normal period of registration and tuition fee payment, as specified in the Code of Practice for Research Degree Programmes, applies unless an extended time-limit is approved by Faculty on the basis of significant additional programme content (such as compulsory advanced training, placements, internships, enterprise activities or similar and/or on the recommendation of the funder).

EXTENSIONS

15. Time-limits may, for good reason, be extended for one year by the Faculty and by a second year (and in exceptional cases, by a further period) by the Senate on the recommendation of the Faculty. In any case in which the Faculty refuses to extend or recommend the extension of a time-limit, the Senate will consider the case (including the views of the Faculty) and may grant the extension.

DOCTORAL DEVELOPMENT PROGRAMME

16. A student will undertake a Training Needs Analysis at the start of each year (or other appropriate period of study) in consultation with the supervisory team, in order to identify the additional skills and experience required to meet the levels of competence outlined in the appropriate Faculty’s Needs Analysis Framework.
17. A student will undertake an individual programme of training and skills development ‘Doctoral Development Programme’ during each year (or other appropriate period of study) based on the outcomes of the Training Needs Analysis, to be agreed in consultation with the supervisory team subject to the approval of the Head of Department and the Faculty.

18. A student will not be permitted to pass the Confirmation Review (if required for the programme of research), unless the student has presented a portfolio demonstrating that they have undertaken the agreed programme of training and skills development and have achieved an appropriate level of competency as agreed by the supervisory team.

19. A student will not be awarded the degree unless the Faculty has confirmed the student’s satisfactory completion of the Doctoral Development Programme.

20. Where a student fails to successfully complete a part or parts of the programme of training and skills development, the Faculty may permit the student to repeat that part or parts, or to undertake other training or skills development, in each case on such conditions as the Faculty may determine.

THESES

21. A student for a Higher Degree by Research will, on the completion of the programme of research:
   (a) present a thesis containing the results of the student’s research and showing the sources from which the information it contains is derived and the extent to which the student has made use of the work of others; and
   (b) pass an oral examination in matters relevant to the subject of the thesis.

   At the oral examination, no person other than the student and the Examiners may be present except with the agreement of those persons.

22. The language of the thesis will be English. Exceptionally, and with the permission of the Faculty, a student may present their thesis in a language other than English where this is of demonstrable significance to the impact and dissemination of the research.

23. Where the programme of research leads to the production of material additional to the thesis, such as creative work or supporting material submitted on electronic media, the thesis will clearly present the additional material in its relevant context and will be accompanied by a permanent record of the additional material, where practicable, bound with the thesis.

24. A thesis may not be presented before the completion of the minimum period of the programme of research for the relevant Degree, as specified in the Code of Practice for Research Degree Programmes.

25. A student may not submit a thesis a substantial part of which consists of material which has been submitted for any other Degree or qualification unless exceptions are specified in the Programme Regulations.

26. A student will include in the thesis:
   (a) a declaration concerning access to and reproduction of the thesis;
   (b) a summary, not exceeding 300 words in length, which may be drawn up in consultation with the Supervisor.

27. If, on the recommendation of the Examiners, a student is required to submit a revised thesis, such a submission will be within one year of the date of notification of the first result.

28. For first submission and re-submission, a student is required to submit the thesis to Turnitin, according to the procedure operating in the relevant department (Turnitin is a text matching tool that is licensed for use in the University of Sheffield as an aid in the prevention of unfair means). The version of the thesis submitted to Turnitin must be an exact copy of the version submitted for examination.

29. For first submissions and resubmissions, two hard copies of the thesis must be submitted to the University. An identical third copy must also be provided in electronic format, preferably on CD, and will be retained by the University as a Master copy, pending the outcome of the examination. The hard copies will be properly bound using the University Print Service’s binding service or equivalent.

30. When a thesis has been approved following examination, an electronic version only of the thesis is required to be submitted to the institutional repository (White Rose eTheses Online). Where the eThesis is incomplete or has been edited to remove sensitive material or material that has not been copyright cleared, a hard copy will also be required to be submitted to the University Library.

31. The award of any qualification will be withheld until an electronic copy and/or a hard copy (as directed by the University) of the final version of the thesis following examination is submitted to the University.
EXAMINERS

32. At least two Examiners will be appointed to report to the Faculty on the thesis and oral examination. At least one Examiner will be an External Examiner. Prior to appointment an Examiner will declare any conflict of interest with respect to the student or their research project.

The following special provisions apply to particular categories of student:

(a) in the case of a University Staff candidate at least two External Examiners will be appointed;

(b) in the case of a University Staff candidate holding a non-established appointment arising from external financing, one Examiner may be a member of the Academic Staff of the University other than the grant-holder, at the discretion of the Vice-President, the grant-holder may be appointed as an additional Examiner.

Regulations for the Degree of PhD in all Faculties

1. A person may be admitted as a student in one of the following categories:

(a) full-time student candidate;

(b) part-time student candidate;

(c) University Staff candidate;

(d) Hospital Staff candidate;

(e) Research Fellowship or Independent Research Worker candidate.

2. (a) For students who commenced the programme of study and research before 1 August 2012:

the Senate may permit a student for a Master’s Degree by research in any Faculty to become instead a student for the Degree of PhD and may recognise as part of the period of research for the Degree of PhD some or all of the time spent as a student for the Master’s Degree.

(b) For students commencing the programme of study and research on or after 1 August 2019:

a student’s registration for the Degree of PhD will be considered probationary until such time as the candidate successfully passes the departmental Confirmation Review. A student may be permitted a maximum of two attempts to pass the Confirmation Review. The first attempt must be completed no later than 12 months from the student’s initial registration for full-time students. If the decision to pass a student is deferred pending a second attempt, a final decision must be taken no later than 18 months from the students’ initial registration for full-time students (pro-rata for part-time students). A student who fails the Confirmation Review will be required to transfer to candidacy for the Degree of MPhil.

Where exceptions to the above timescales are required, these must be set out in the programme Regulations.

3. (a) For students who commenced the programme of study and research before September 2010:

a full-time student candidate may not be awarded the Degree of PhD unless the candidate has been awarded a total of forty-five credits or forty-five less exemptions in respect of units prescribed in the Regulations for the Research Training Programme. A person may not be admitted as a full-time student candidate (or be permitted to transfer to that status from candidacy for a Master’s Degree) unless:

(i) the candidate has taken units from those prescribed in the Regulations for the Research Training Programme and has been awarded at least twenty credits in respect of such units or twenty less exemptions; or

(ii) in exceptional circumstances, the candidate who has not yet satisfied that requirement is admitted (or permitted to transfer) subject to such condition as to satisfaction of that requirement by a specified date as the Senate may impose. The candidate may be exempted by the Senate from the whole or part of the requirements of this Regulation on account of credits awarded in another institution or of the candidate’s previous study and/or experience, or in other exceptional circumstances.

(b) For students commencing the programme of study and research in or after September 2010:

students will be required to undertake the Doctoral Development Programme as prescribed in the Regulations for the Doctoral Development Programme.
RECOGNITION OF ATTENDANCE AT OTHER INSTITUTIONS

4. The Senate may recognise as part of the period of research for the Degree of PhD other time already spent in another institution by a student under approved supervision on research relevant to the subject of the thesis, provided that:
   (a) the work done during that time has been approved by the Faculty as of sufficiently high standard; and
   (b) the work has not been and will not be submitted for any other Degree; and
   (c) the remaining period of research is at least two years.

PERIOD OF STUDY, REGISTRATION AND TUITION FEE PAYMENT

5. The programme of research will be pursued for not less than the following periods unless an extended minimum period of study is approved by the Faculty on the basis of significant additional programme content (such as compulsory advanced training, placements, internships, enterprise activities or similar) and/or on the recommendation of the funder:
   (a) full-time student candidates, two years in the case of the holder of a Bachelor’s Degree with Honours or an equivalent qualification, or a Master’s Degree, or (with the permission of the Senate) the Degrees of MB, ChB, and three years in all other cases, which must be spent in full-time work on the research, the permission of the Head of Department being required before the candidate undertakes any other work during the period;
   (b) part-time student candidates, four years (provided that the Faculty may require a particular candidate to spend some part of this period in full-time work in the University on the research topic);
   (c) University Staff candidates, a period not less than two years determined in each case by the Senate having regard to the candidate’s qualifications and the proportion of time to be spent on the research, and so the total time devoted to the research will not be less than that required of a full-time student candidate (except in the case of a person admitted as a University Staff candidate as a condition of external funding and who would otherwise have been admitted as a full-time student candidate, in which case Regulation 5(a) applies);
   (d) Hospital staff candidates, a period of not less than two years determined in each case by the Senate having regard to the candidate’s qualifications and the proportion of time to be spent on the research and so the total time devoted to the research will not be less than that required for a full time candidate;
   (e) Research Fellowship or Independent Research Worker candidates, two years in the case of the holder of a Bachelor’s Degree with Honours or an equivalent Degree, or a Master’s Degree, or (with the permission of the Senate) the Degrees of MB, ChB, and three years in all other cases, which must be spent in full-time work on the research, the permission of the Head of Department being required before the candidate undertakes any other work during the period.

6. The normal period of registration and tuition fee payment will be as follows unless an extended period of registration and tuition fee payment is approved by the Faculty on the basis of significant additional programme content (such as compulsory advanced training, placements, internships, enterprise activities or similar) and/or on the recommendation of the funder:
   (a) full-time student candidates, three years;
   (b) part-time student candidates, six years;
   (c) University Staff candidates, two years (except in the case of a person admitted as a University Staff candidate as a condition of external funding and who would otherwise have been admitted as a full-time student candidate, in which case Regulation 6(a) applies);
   (d) Hospital Staff candidates, six years;
   (e) Research Fellowship or Independent Research Worker candidates, three years.

7. A candidate shall be required to undertake the Doctoral Development Programme as prescribed in the Regulations for the Doctoral Development Programme.

TIME LIMITS

8. The time-limit for the presentation of the thesis will be as follows unless an extended time-limit is approved by the Faculty on the basis of significant additional programme content (such as compulsory advanced training, placements, internships, enterprise activities or similar) and/or on the recommendation of the funder:
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(a) full-time student candidates, four years;
(b) part-time student candidates, eight years;
(c) University Staff candidates, eight years; (except in the case of a person admitted as a University Staff candidate as a condition of external funding and who would otherwise have been admitted as a full-time student candidate, in which case Regulation 8(a) applies);
(d) Hospital Staff candidates, eight years;
(e) Research Fellowship or Independent Research Worker candidates, four years.

SUPERVISION

9. In the case of a part-time student candidate (other than one admitted under a remote location scheme approved by the Senate), the supervision of the candidate will be personal and not by correspondence only. The candidate will meet the Supervisor at such frequent and regular intervals as the Faculty may direct. A report on the progress of the research will be submitted annually by the Supervisor through the Faculty to the Senate.

THESES

10. A student will satisfy the Faculty that the thesis forms an addition to knowledge, shows evidence of systematic study and of ability to relate the results of such study to the general body of knowledge in the subject, and is worthy of publication either in full or in an abridged form.

FAILURE

11. A student who fails to satisfy the Examiners may, on the recommendation of the Examiners:
   (a) be permitted on one occasion only to present a revised thesis, or take a further oral examination, or both; or
   (b) be awarded instead a Master’s Degree; or
   (c) be permitted to become instead a student for a Master’s Degree and to submit on one occasion only a revised thesis, and, if required by the Examiners, take a further oral examination for the Master’s Degree.

Regulations for the Degree of PhD in the Faculty of Arts and Humanities

THESES

1. A student in Music for the Degree of PhD or MPhil in the Faculty of Arts may:
   (a) supplement a thesis in the area of music performance practice with a public instrumental or vocal recital illustrating aspects of the thesis; or
   (b) in place of a thesis, offer a portfolio of original compositions with accompanying commentary not exceeding 10,000 words in length, and satisfy the Faculty that the compositions show coherence, invention and originality in a variety of extended structures; or
   (c) in the case of a student for the Degree of PhD, submit a portfolio of creative music technology research and accompanying thesis of 30,000 – 40,000 words contextualising the research within a critical artistic and/or technological perspective.

In the case of a student electing to proceed under this Regulation, any reference to a thesis includes a thesis as supplemented by a recital or a portfolio of original compositions.

2. A student in Practical Drama for the Degree of PhD or MPhil in the Faculty of Arts may:
   (a) in the case of a student for the Degree of MPhil, submit a practical component as part of the thesis, accompanied by a written commentary of 8,000 words, which contextualises the project and includes a retrospective critical analysis of the process and outcomes;
   (b) in the case of a student for the Degree of PhD, submit a practical component as part of the thesis, accompanied by a written commentary of 30 – 40,000 words. The practical component must demonstrate a high level of performance skill and involve a research inquiry. The written component will contextualise the project, offering a retrospective analysis of the process and outcomes, and reflecting on the chosen research methodologies and production processes and the relation between them. The thesis will be supplemented by a permanent record of the research process, which may include electronic media, drawings and photographs.

In the case of a student electing to proceed under this Regulation, any reference to the thesis includes a thesis supplemented by a practical component.
Regulations for the Degree of PhD with Integrated Studies

1. A person may be admitted in the following category:
   (a) full-time student candidate.

2. The programme of study and research will be pursued for not less than four years.

3. A candidate will be admitted as a student for a Master’s Degree approved for this purpose and pursue the programme of study for not less than one year.

4. A student who, after completing the minimum period of study, and who has progressed satisfactorily as determined by the department¹ or as specified by the individual programme Regulation, has obtained not less than one hundred and twenty credits may be permitted to become additionally a student for the Degree of PhD with Integrated Studies (in the case of a student commencing the programme of study and research before September 2010 or on or after 1 August 2012) or a student for the Degree of MPhil with Integrated Studies (in the case of a student commencing the programme of study and research in or after September 2010 but before 1 August 2012). Such a student will:
   (a) pursue a programme of research for not less than three years, and present a thesis for examination in accordance with the Regulations for Higher Degrees by Research;
   (b) (For students commencing the programme of study and research on or after 1 August 2019)
       A student’s registration for the Degree of PhD will be considered probationary until such time as the candidate successfully passes the departmental Confirmation Review. A student may be permitted a maximum of two attempts to pass the Confirmation Review. The first attempt must be completed no later than 12 months from the student’s initial registration for full-time students. If the decision to pass a student is deferred pending a second attempt, a final decision must be taken no later than 18 months from the student’s initial registration for full-time students (both deadline are pro-rata for part-time students). A student who fails the Confirmation Review will be required to transfer to candidacy for the Degree of MPhil.
       Where exceptions to the above timescales are required, these must be set in the programme Regulations.
   (c) successfully complete the departmental upgrading process before progressing to the third year of study (in the case of a student commencing the programme of study and research before September 2010) and additionally (in the case of a student commencing the programme of study and research in or after September 2010) before becoming a student for the Degree of PhD;
   (d) obtain a total of not less than forty-five credits (or forty-five less exemptions) in respect of Research Training units prescribed by the Head of Department (in the case of a student who commenced the programme of study and research before September 2010), or undertake the Doctoral Development Programme as prescribed in the Regulations for the Doctoral Development Programme (in the case of a student commencing the programme of study and research in or after September 2010); and
   (e) complete satisfactorily such of the additional non-credit-bearing requirements of the Regulations for the relevant programme as are specified to be met in the relevant year.

5. The time-limit for the Master’s Degree will be two years. The time-limit for the presentation of the thesis for the Degree of PhD with Integrated Studies will be five years from initial registration as a student for the Master’s Degree.

6. A student will satisfy the Faculty that the thesis forms an addition to knowledge, shows evidence of systematic study and of ability to relate the results of such study to the general body of knowledge in the subject, and is worthy of publication either in full or in an abridged form.

7. A student who completes the requirements of the programme of study and research for the Master’s Degree may be awarded that Degree and a student who completes the requirements of Regulation 4 may also be awarded the Degree of PhD with Integrated Studies.

8. A student who fails to satisfy the Examiners may, on the recommendation of the Examiners:
   (a) be permitted on one occasion only to present a revised thesis, or take a further oral examination, or both; or;

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¹ It is normally expected that a candidate should be working at the level of a Merit in line with Regulation 20 of the General Regulations for Higher Degrees, Postgraduate Diplomas and Postgraduate Certificates.
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(b) be awarded instead a Master’s Degree; or
(c) be permitted to become instead a student for a Master’s Degree and to submit on one occasion only a revised thesis, and, if required by the Examiners, take a further oral examination for the Master’s Degree.

Regulations for the Degree of PhD undertaken in an EPSRC Centre for Doctoral Training and the Degree of EngD undertaken in an EPSRC Centre for Doctoral Training

1. A person may be admitted as a full-time student candidate.
2. The programme of study and research will be pursued for not less than two years except in the case of PhDs and EngDs undertaken in:
   - the EPSRC Centre for Doctoral Training in Advanced Biomedical Materials (PhD);
   - the EPSRC Centre for Doctoral Training in Advanced Metallic Systems (EngD and PhD, post 2019 only: EngD or PhD with integrated PGDip);
   - the EPSRC Centre for Doctoral Training in Offshore Wind Energy and the Environment AURA (PhD);
   - the EPSRC Centre for Doctoral Training in Compound Semiconductor Manufacturing (PhD);
   - the EPSRC Centre for Doctoral Training in Carbon Systems (Carbon Capture & Resilient Decarbonised Fuel Energy Systems) (EngD and PhD);
   - the EPSRC Centre for Doctoral Training in Energy Storage and its Applications (PhD);
   - the EPSRC Centre for Doctoral Training in Engineering for the Water Sector (STREAM IDC & WIRe) (EngD and PhD, post 2019: PhD only);
   - the EPSRC Centre for Doctoral Training in Integrated Tribology (PhD);
   - the EPSRC Centre for Doctoral Training in Nuclear Fission (Next Generation Nuclear & GREEN) (PhD, post 2019 only: PhD with integrated PGDip);
   - the EPSRC Centre for Doctoral Training in Polymers, Soft Matter and Colloids (PhD);
   - the EPSRC Centre for Doctoral Training in Speech and Language Technologies (PhD with integrated PGDip);
when it will be not less than three years, subject to additional requirements stated within the Programme Regulations.
3. A candidate will be admitted initially as a student for the Degree of MPhil leading to PhD/EngD (in the case of a student commencing the programme of study and research before 1 August 2012), or as a student for the Degree of PhD/EngD (in the case of a student commencing the programme of study and research on or after 1 August 2012) or as a student for a Postgraduate Diploma, the latter being applicable only to students within the Centre for Doctoral Training in Advanced Metallic Systems and having a start date prior to 2019.
4. A student admitted initially for a Postgraduate Diploma approved for this purpose and who has obtained not less than one hundred and twenty credits may be permitted to become instead:
   (a) a student for the Degree of MPhil leading to PhD/EngD (in the case of a student commencing the programme of study and research before 1 August 2012), or as a student for the Degree of PhD/EngD (in the case of a student commencing the programme of study and research on or after 1 August 2012) and where applicable also
   (b) a student for an appropriate Postgraduate Diploma in professional skills, as specified in the Regulations for the relevant EPSRC Centre for Doctoral Training.
5. A student for the Degree of MPhil leading to PhD/EngD or the Degree of PhD/EngD and, in the case of students within the Centre for Doctoral Training in Advanced Metallic Systems having a start date prior to 2019, also for the appropriate Postgraduate Diploma in professional skills, will:
   (a) pursue the programme of research for not less than three years on a full-time basis; and
   (b) pursue the appropriate Postgraduate Diploma in professional skills on a part-time basis; and
   (c) (i) (For students commencing the programme of study and research before 1 August 2012) successfully complete the relevant departmental upgrading procedure before progressing to the third year of study and becoming a candidate for the Degree of PhD/EngD; or
   (ii) (For candidates commencing the programme of study and research on or after 1 August 2012) successfully pass the departmental Confirmation Review before
progressing to the third year of study (prior to this the student’s registration for the Degree of PhD/EngD will be considered probationary. A student may be permitted a maximum of two attempts to pass the Confirmation Review. A student who fails the Confirmation Review will be required to transfer to candidacy for the Degree of MPhil); and

(d) present a thesis for examination in accordance with the General Regulations for Higher Degrees by Research.

6. A student shall, on completion of the programme of research, present a summary of the portfolio of training and skills development they have compiled as part of the Doctoral Development Programme to demonstrate their engagement with the Doctoral Development Programme throughout the programme of research. The summary should not exceed 500 words in length. A student who fails to demonstrate sufficient engagement with the Doctoral Development Programme will not be eligible for the award of the higher degree for which they are registered.

7. A student shall satisfy the Faculty that the thesis forms an addition to knowledge, shows evidence of systematic study and of ability to relate the results of such study to the general body of knowledge in the subject, and is worthy of publication either in full or in an abridged form.

8. A student who successfully completes the requirements of the programme of study and research for the Degree of PhD/EngD may be awarded that Degree, and a student who successfully completes the requirements of the programme of study for the appropriate Postgraduate Diploma in professional skills may be awarded that Diploma.

9. A student who fails to satisfy the Examiners may, on the recommendation of the Examiners:
   (a) be permitted on one occasion only to present a revised thesis, or take a further oral examination, or both; or
   (b) be awarded instead a Master’s Degree; or
   (c) be permitted to become instead a student for a Master’s Degree and to submit on one occasion only a revised thesis, and, if required by the Examiners, take a further oral examination for the Master’s Degree.

10. (a) For students who commenced the programme of study and research before September 2010:
    a student for the Degree of PhD/EngD undertaken in an EPSRC Centre for Doctoral Training will complete either an appropriate Postgraduate Diploma in professional skills or forty-five credits of the Research Training Programme, as appropriate to the individual EPSRC Centre for Doctoral Training.

(b) For students commencing the programme of study and research on or after September 2010:
    a student for the Degree of PhD/EngD undertaken in an EPSRC Centre for Doctoral Training will undertake the Doctoral Development Programme as prescribed in the Regulations for the Doctoral Development Programme.

11. The time-limit for the presentation of the thesis for the Degree of PhD/EngD will be five years from the date of initial registration as a student, this period may include some or all of the time spent as a student for the Degree of MPhil.

12. In the following programmes, a training placement may be required as an integral part of the programme:
    Energy Storage and its Applications: An industrial placement of up to one month, and a one-week placement at the University of Southampton, Malaysia Campus.
    The opportunity (not compulsory) to undertake placements in the sponsoring company or in an overseas research institution for 2-3 months:
    Advanced Metallic Systems (EngD): All students will be expected to spend up to 75% of their time in their sponsoring company.
    Nuclear Fission – Next Generation Nuclear: Optional secondments, typically between 3-6 months in length.
    Polymers, Soft Matter and Colloids: All students will be expected to spend 6 months at the sponsor’s site in year 3 or 4.
Regulations for the Degree of PhD by Publication in all Faculties

1. A person may be admitted as a candidate in the following category:
   (a) University Staff candidate.

2. A person may be admitted as a candidate who:
   (a) is a member of staff of at least two years’ standing, or is the holder of at least two years’ standing of an Honorary Academic Title conferred by the University; and
   (b) is not a member of staff solely as a condition of external funding and who would otherwise have been admitted as a full-time student candidate; and
   (c) has been deemed qualified for admission by the Faculty.

3. A candidate may, with the permission of the Senate, transfer registration from the Degree of PhD to registration for the Degree of PhD by Publication; the power to grant this permission may be devolved to Faculties where the Senate deems this appropriate.

4. The normal period of registration will be no longer than 12 months.

5. The time-limit for the presentation of the published work will be 12 months.

6. An academic advisor will be appointed to provide guidance to the candidate on the presentation of the published work. The academic advisor will be a senior member of the academic staff of the University who is familiar both with the standard required and with the candidate’s field of work.

7. A candidate shall be required to undertake the Doctoral Development Programme as prescribed in the Regulations for the Doctoral Development Programme.

8. A candidate who submits published work for examination for a doctoral degree will:
   (a) submit the published work (which will normally include only work published in scholarly books and journals within the last eight years);
   (b) submit a substantial commentary not exceeding 15,000 words, linking the published work and outlining its coherence and significance;
   (c) submit a signed statement advising how far the work submitted is based on the candidate’s own independent study, making it clear, for each publication, how far the work was conducted in collaboration with or with the assistance of others and the conditions and circumstances in which the work was carried out;
   (d) submit a CV, focusing on the candidate’s research career and on the circumstances under which the research work leading to the publications submitted was carried out;
   (e) pass an oral examination in matters relevant to the subject of the published works.

9. A candidate will satisfy the Faculty that the published work shows convincing evidence of the capacity of the candidate to pursue research and scholarship and make an original contribution and substantial addition to knowledge. The amount and quality of the work should be at least equivalent to that expected for the Degree of PhD.

10. Published work submitted for the degree must be substantially different from any work that may have previously been submitted for any degree at this or any other institution.

11. At least two External Examiners will be appointed to report to the Faculty on the thesis and oral examination. In addition, a member of academic staff will be appointed by the Faculty to act as an internal co-ordinator. Prior to appointment, an Examiner will declare any conflict of interest with respect to the candidate or their research project.

12. No person other than the candidate and the Examiners may be present at the oral examination except with the agreement of those persons.

13. A candidate who fails to satisfy the Examiners may, on the recommendation of the Examiners, be permitted on one occasion only to present a revised commentary linking the published work to address minor or major corrections, or be permitted to take a further oral examination, or both.

14. If the Senate decides on the recommendation of the Faculty that the Degree be not awarded, a candidate may not make another submission for the degree within two years of the first candidature.

15. A candidate will submit a final copy of the thesis in line with the General Regulations for Higher Degrees by Research.

Regulations for the Degree of MD by Publication in all Faculties

1. A person may be admitted as a candidate in the following category:
   (a) University Staff candidate.
2. A person may be admitted as a candidate who:
   (a) is a member of staff of at least two years’ standing, or is the holder of at least two years’ standing of an Honorary Academic Title conferred by the University; and
   (b) has been deemed qualified for admission by the Faculty.
3. A candidate may, with the permission of the Senate, transfer registration from the Degree of MD to registration for the Degree of MD by Publication; the power to grant this permission may be devolved to Faculties where the Senate deem this to be appropriate.
4. The normal period of registration shall be no longer than 12 months.
5. The time-limit for the presentation of the published work shall be 12 months.
6. An academic advisor shall be appointed to provide guidance to the candidate on the presentation of the published work. The academic advisor shall be a senior member of the academic staff of the University who is familiar both with the standard required and with the candidate’s field of work.
7. A candidate who submits published work for examination for a doctoral degree shall:
   (a) submit the published work (which will normally include only work published in scholarly books and journals within the last eight years);
   (b) submit a substantial commentary not exceeding 10,000 words, linking the published work and outlining its coherence and significance;
   (c) submit a signed statement advising how far the work submitted is based on the candidate’s own independent study, making it clear, for each publication, how far the work was conducted in collaboration with or with the assistance of others and the conditions and circumstances in which the work was carried out;
   (d) submit a CV, focusing on the candidate’s research career and on the circumstances under which the research work leading to the publications submitted was carried out;
   (e) pass an oral examination in matters relevant to the subject of the published works.
8. A candidate shall satisfy the Faculty that the published work shows convincing evidence of the capacity of the candidate to pursue research and scholarship and make an original contribution and substantial addition to knowledge. The amount and quality of the work should be at least equivalent to that expected for the Degree of MD.
9. Published work submitted for the degree must be substantially different from any work that may have previously been submitted for any degree at this or any other institution.
10. At least two External Examiners shall be appointed to report to the Faculty on the thesis and oral examination. In addition, a member of academic staff shall be appointed by the Faculty to act as an internal co-ordinator. Prior to appointment, an Examiner shall declare any conflict of interest with respect to the candidate or their research project.
11. No person other than the candidate and the Examiners may be present at the oral examination except with the agreement of those persons.
12. A candidate who fails to satisfy the Examiners may, on the recommendation of the Examiners, be permitted on one occasion only to present a revised commentary linking the published work to address minor or major corrections, or be permitted to take a further oral examination, or both.
13. If the Senate decides on the recommendation of the Faculty that the Degree be not awarded, a candidate may not make another submission for the degree within two years of the first candidature.
14. A candidate will submit a final copy of the thesis in line with the General Regulations for Higher Degrees by Research.

Regulations for the Degree of MPhil in all Faculties
1. A person may be admitted as a candidate in one of the following categories:
   (a) full-time student candidate;
   (b) part-time student candidate;
   (c) candidate without attendance;
   (d) University Staff candidate;
   (e) Hospital Staff candidate;
   (f) Research Fellowship or Independent Research Worker candidate.
2. A candidate for the degree of MPhil commencing the programme of study and research in or after September 2010 will be required to undertake the Doctoral Development Programme as prescribed in the Regulations for the Doctoral Development Programme.

MINIMUM PERIOD OF STUDY

3. The programme of research will be for not less than the following periods:
   (a) full-time student candidates (except in the Faculty of Medicine), one year;
   (b) full-time student candidates in the Faculty of Medicine, one year in the case of the holder of the Degrees of MB, ChB or BDS, or BMedSci with Honours or BSc with Honours of the University or an equivalent award of another institution or body recognised by the Senate, and two years in all other cases;
   (c) part-time student candidates, two years (provided that the Faculty may require a particular candidate to spend some part of this period in full-time work in the University on a research topic);
   (d) candidates without attendance, one year;
   (e) University Staff candidates, a period not less than one year determined in each case by the Senate having regard to the candidate’s qualifications and the proportion of time to be spent on the research, and so that the total time devoted to the research will not be less than that required of a full-time student candidate;
   (f) Hospital Staff candidates, a period not less than one year determined in each case by the Senate having regard to the candidate’s qualifications and the proportion of time to be spent on the research, and so that the total time devoted to the research will not be less than that required of a full-time student candidate;
   (g) Research Fellowship or Independent Research Worker candidates, one year, which must be spent in full-time work on the research, the permission of the Head of Department being required before the candidate undertakes any other work during the period.

4. In the case of part-time student candidates, the supervision of the candidate will be personal and not by correspondence only. The candidate will meet the Supervisor at such frequent and regular intervals as the Faculty may direct. A report on the progress of the research will be submitted annually by the Supervisor through the Faculty to the Senate.

TIME-LIMITS

5. The time-limit for the presentation of the thesis will be as follows:
   (a) full-time student candidates, three years;
   (b) part-time student candidates, six years;
   (c) candidates without attendance, four years;
   (d) University Staff candidates, six years;
   (e) Hospital Staff candidates, six years;
   (f) Research Fellowship or Independent Research Worker candidates, four years.

Note: The attention of candidates seeking to transfer to candidacy for the Degree of PhD is drawn to Regulation 3 for that Degree.

Regulations for the Degree of MPhil in the Faculty of Arts and Humanities

THESES

1. A student in Music for the Degree of PhD or MPhil in the Faculty of Arts may:
   (a) supplement a thesis in the area of music performance practice with a public instrumental or vocal recital illustrating aspects of the thesis; or
   (b) in place of a thesis, offer a portfolio of original compositions with accompanying commentary not exceeding 10,000 words in length, and satisfy the Faculty that the compositions show coherence, invention and originality in a variety of extended structures; or
   (c) in the case of a student for the Degree of PhD, submit a portfolio of creative music technology research and accompanying thesis of 30,000 – 40,000 words contextualising the research within a critical artistic and/or technological perspective.

In the case of a student electing to proceed under this Regulation, any reference to a thesis includes a thesis as supplemented by a recital or a portfolio of original compositions.
2. A student in Practical Drama for the Degree of PhD or MPhil in the Faculty of Arts may:
(a) in the case of a student for the Degree of MPhil, submit a practical component as part of the thesis, accompanied by a written commentary of 8,000 words, which contextualises the project and includes a retrospective critical analysis of the process and outcomes;
(b) in the case of a student for the Degree of PhD, submit a practical component as part of the thesis, accompanied by a written commentary of 30 – 40,000 words. The practical component must demonstrate a high level of performance skill and involve a research inquiry. The written component will contextualise the project, offering a retrospective analysis of the process and outcomes, and reflecting on the chosen research methodologies and production processes and the relation between them. The thesis will be supplemented by a permanent record of the research process, which may include electronic media, drawings and photographs.

In the case of a student electing to proceed under this Regulation, any reference to the thesis includes a thesis supplemented by a practical component.

Regulations for the Degree of EdD
1. A student shall satisfy the Faculty that the thesis forms an addition to knowledge, shows evidence of systematic study and of ability to relate the results of such study to the general body of knowledge in the subject, and is worthy of publication either in full or in an abridged form.
2. A student who fails to satisfy the Examiners may, on the recommendation of the Examiners:
(a) be permitted on one occasion only to present a revised thesis, or take a further oral examination, or both; or
(b) be awarded instead a Master’s Degree; or
(c) be permitted to become instead a student for a Master’s Degree and to submit on one occasion only a revised thesis, and, if required by the Examiners, take a further oral examination for the Master’s Degree.

Regulations for the Degree of DBA
1. A student shall satisfy the Faculty that the thesis forms an addition to knowledge, shows evidence of systematic study and of ability to relate the results of such study to the general body of knowledge in the subject, and is worthy of publication either in full or in an abridged form.
2. A student who fails to satisfy the Examiners may, on the recommendation of the Examiners:
(a) be permitted on one occasion only to present a revised thesis, or take a further oral examination, or both; or
(b) be awarded instead a Master’s Degree; or
(c) be permitted to become instead a student for a Master’s Degree and to submit on one occasion only a revised thesis, and, if required by the Examiners, take a further oral examination for the Master’s Degree.

Regulations for the Degree of DClinPsy
1. A student shall satisfy the Faculty that the thesis forms an addition to knowledge, shows evidence of systematic study and of ability to relate the results of such study to the general body of knowledge in the subject, and is worthy of publication either in full or in an abridged form.
2. A student who fails to satisfy the Examiners may be permitted, on the recommendation of the Examiners, to present a revised thesis, or take a further oral examination, or both.

Regulations for the Degree of DDSc
1. A student shall satisfy the Faculty that the thesis forms an addition to knowledge, shows evidence of systematic study and of ability to relate the results of such study to the general body of knowledge in the subject, and is worthy of publication either in full or in an abridged form.
2. A student who fails to satisfy the Examiners may, on the recommendation of the Examiners:
(a) be permitted on one occasion only to present a revised thesis, or take a further oral examination, or both; or
(b) be awarded instead a Master’s Degree; or
(c) be permitted to become instead a student for a Master’s Degree and to submit on one occasion only a revised thesis, and, if required by the Examiners, take a further oral examination for the Master’s Degree.
Regulations for the Degree of DEdPsy
1. A student shall satisfy the Faculty that the thesis forms an addition to knowledge, shows evidence of systematic study and of ability to relate the results of such study to the general body of knowledge in the subject, and is worthy of publication either in full or in an abridged form.

Regulations for the Degree of DEdCPsy
1. A student shall satisfy the Faculty that the thesis forms an addition to knowledge, shows evidence of systematic study and of ability to relate the results of such study to the general body of knowledge in the subject, and is worthy of publication either in full or in an abridged form.

Regulations for the Degree of DEng
1. A student shall satisfy the Faculty that the thesis forms an addition to knowledge, shows evidence of systematic study and of ability to relate the results of such study to the general body of knowledge in the subject, and is worthy of publication either in full or in an abridged form.
2. A student who fails to satisfy the Examiners may, on the recommendation of the Examiners:
   (a) be permitted on one occasion only to present a revised thesis, or take a further oral examination, or both; or
   (b) be awarded instead a Master’s Degree; or
   (c) be permitted to become instead a student for a Master’s Degree and to submit on one occasion only a revised thesis, and, if required by the Examiners, take a further oral examination for the Master’s Degree.

Regulations for the Degree of MD
1. A student shall satisfy the Faculty that the thesis forms an addition to knowledge, shows evidence of systematic study and of ability to relate the results of such study to the general body of knowledge in the subject, and is worthy of publication either in full or in an abridged form.
2. A student who fails to satisfy the Examiners may, on the recommendation of the Examiners:
   (a) be permitted on one occasion only to present a revised thesis, or take a further oral examination, or both; or
   (b) be awarded instead a Master’s Degree; or
   (c) be permitted to become instead a student for a Master’s Degree and to submit on one occasion only a revised thesis, and, if required by the Examiners, take a further oral examination for the Master’s Degree.

Regulations for the Degree of DSpecMed (Dermatology)
1. A person may be admitted as a student who:
   (a) has a degree in Medicine from a reputable institution, followed by at least two years’ experience in hospital or general medical practice, or a year of dermatology experience; and
   (b) has an MSc in Dermatology from King’s College, University of London, Cardiff University or University of Hertfordshire, or another reputable institution as judged by the Faculty; and
   (c) has two satisfactory references from previous teaching or learning institutions and one reference from the student’s funder or international sponsor.
2. A student’s registration for the Degree of DSpecMed (Dermatology) will be considered probationary until such time as:
   (a) the student successfully passes the departmental Confirmation Review; and
   (b) the student successfully passes the assessment at the end of year two.
3. A student may be permitted a maximum of two attempts to pass the Confirmation Review. The final decision regarding whether a student may be permitted to pass the Confirmation Review must be taken within 18 months of the students’ initial registration. A student who fails the Confirmation Review will be required to transfer to candidacy for the Degree of MPhil.
4. Assessment at the end of year two shall include:
   (a) Submission of a thesis to the standard expected for the Degree of MD, in accordance with the Regulations for Higher Degrees by Research; and
   (b) Successful completion of an oral examination in matters relevant to the subject of the thesis; and
   (c) Successful completion of an interview with a panel of experts appointed by the Faculty.
5. Students who fail to meet the requirements of assessment at the end of year two shall:

(a) be permitted on one occasion only to present a revised thesis, or take a further oral examination, or both; or

(b) be awarded instead a Master’s Degree; or

(c) be permitted to become instead a student for a Master’s Degree and to submit on one occasion only a revised thesis, and, if required by the Examiners, take a further oral examination for the Master’s Degree.

6. Students who do not wish to continue to pursue the Degree of DSpecMed (Dermatology), or who do not progress satisfactorily in years 3-5 (as determined by the department), but who successfully complete the requirements of Regulation 3 (a) and (b), may be awarded an MD in Clinical Dermatology.

7. Students who, after completing the minimum period of study (as defined in the Code of Practice for Research Degree Programmes), satisfactorily complete the requirements of the course as determined by the department, may be awarded the Degree of DSpecMed (Dermatology).

Regulations for the Degree of MSc(Res)

1. Material submitted in part satisfaction of the requirements for the Degree may additionally be used to form part of the thesis for a related Higher Degree by Research.

2. A student who fails to satisfy the Examiners may be permitted, on the recommendation of the Examiners, to present a revised thesis, or take a further oral examination, or both.

Regulations for the Degree of LLM by research

1. A student who fails to satisfy the Examiners may be permitted, on the recommendation of the Examiners, to present a revised thesis, or take a further oral examination, or both.

Regulations for the Degree of MMus by research

1. A student who fails to satisfy the Examiners may be permitted, on the recommendation of the Examiners, to present a revised thesis, or take a further oral examination, or both.
REGULATION XVII:
Regulations for Higher Doctorates

Faculty of Arts and Humanities

REGULATIONS FOR THE DEGREE OF DOCTOR OF LETTERS (LITTD)

1. The Degree of Doctor of Letters (LittD) may, on the recommendation of the Board, be awarded to:
   (a) a graduate of the University of not less than six years standing; or
   (b) a member of the academic staff who has completed a minimum of six years service as such a
       member.

2. A candidate shall be judged to be distinguished by scholarship in having:
   (a) made a substantial and original contribution to learning; and
   (b) become established as an authority in the relevant field of work.
       The published work of a candidate must have been adjudged by at least two External
       Examiners to constitute distinguished contribution to learning.

3. Work submitted for the Degree must be accompanied by a statement showing clearly what part of the
   work has previously been presented for a Degree or has been written in collaboration with others.

REGULATIONS FOR THE DEGREE OF DOCTOR OF MUSIC (DMUS)

1. The Degree of Doctor of Music (DMus) may be awarded to:
   (a) a graduate of the University of not less than six years standing; or
   (b) a member of the academic staff who has completed a minimum of six years service as such a
       member.

2. A candidate shall be judged to be distinguished by scholarship in having:
   (a) made a substantial and original contribution to learning; and
   (b) become established as an authority in the relevant field of work.
       The published work of a candidate must have been adjudged by at least two External
       Examiners to constitute a distinguished contribution to learning.

3. A candidate is required to submit a body of published musicological research or a folio of published
   original compositions, or both.

Faculty of Science

REGULATIONS FOR THE DEGREE OF DOCTOR OF SCIENCE (DSC)

1. The Degree of Doctor of Science (DSc) may be awarded to:
   (a) a graduate of the University of not less than six years standing; or
   (b) a member of the academic staff who has completed a minimum of six years service as such a
       member.

2. A candidate must be judged to be distinguished by scholarship in having:
   (a) made a substantial and original contribution to scientific knowledge; and
   (b) become established as an authority in the relevant field of work.

3. As evidence of distinction, a candidate must submit published work for assessment. Any number of
   publications may be submitted, including those published under joint authorship.
   A candidate may submit publications in two groups as follows:
   (a) publications which are evidence of the candidate’s original and authoritative contribution to
       scientific knowledge; and
   (b) other publications which are submitted as additional evidence of the scope of the candidate’s
       contributions to scientific knowledge. This group may, if appropriate, include manuscripts
       accepted for and awaiting publication and published papers arising out of work for a
       previously awarded Master’s Degree or Doctorate. Papers in these categories must be clearly
       identified by the candidate.

4. A candidate must submit to the Vice-President three sets of the published work intended for
   assessment.
A candidate must also submit three sets of the following:

(a) a statement certifying either that the published work is that of the candidate or, in the case of collaborative work, that the candidate has made a substantial contribution to the work. The proportion of the collaborative work represented by the candidate’s efforts must be clearly indicated; and

(b) a statement giving full details of any other Degree or Diploma for which the works, in whole or in part, may have been submitted; and

(c) a classified list of the submitted published work.

Independent evidence may be sought by the Board concerning the contribution of the candidate in cases of collaboration.

5. At least two External Examiners shall independently report to the Board concerning the submission for the Degree.

6. If the Senate decides on the recommendation of the Board that the Degree be not awarded, a candidate may not make another submission for the Degree within five years of the first candidature unless this period is specially reduced by the Board.

Faculty of Medicine, Dentistry and Health

REGULATIONS FOR THE DEGREE OF DOCTOR OF SCIENCE (DSC)

1. The Degree of Doctor of Science (DSc) may be awarded to:

(a) a graduate of the University of not less than six years standing; or

(b) a member of the academic staff who has completed a minimum of six years service as such a member.

2. A candidate must be judged to be distinguished by an original contribution to knowledge in the field of medical or dental science, the published records of which must be submitted for assessment by at least two External Examiners. A candidate must, in the opinion of the Examiners, have established a reputation as an authority on the subject.

3. A candidate shall submit to the Vice-President three sets of the published work intended for assessment.

4. A candidate shall also submit three sets of the following:

(a) a statement certifying that the published work is that of the candidate or, in the case of collaborative work, that the candidate has made a substantial contribution to the work. The proportion of the collaborative work represented by the candidate’s efforts must be clearly indicated; and

(b) a statement giving full details of any other Degree or Diploma for which the work in whole or in part may have been submitted; and

(c) a classified list of the submitted published work.

5. If the Senate decides on the recommendation of the Board that the Degree be not awarded, a candidate may not make another submission for the Degree within five years of the first candidature unless this period is specially reduced by the Board.

Faculty of Engineering

REGULATIONS FOR THE DEGREES OF DOCTOR OF ENGINEERING (DENG), DOCTOR OF METALLURGY (DMET) OR DOCTOR OF TECHNICAL SCIENCES (DSCTECH)

1. The Degree of Doctor of Engineering (DEng), Doctor of Metallurgy (DMet) or Doctor of Technical Science (DScTech) may be awarded to:

(a) a graduate of the University of not less than six years standing; or

(b) a member of the academic staff who has completed a minimum of six years service as such a member.

2. A candidate must be judged to be distinguished by scholarship in having:

(a) made a substantial and original contribution to knowledge and learning in Engineering and Applied Science; or

(b) become established as an authority in the relevant field of work.

3. As evidence of distinction, a candidate must submit published work for assessment. Any number of publications may be submitted, including those published under joint authorship.
A candidate may submit publications in two groups as follows:

(a) publications which are evidence of the candidate’s original and authoritative contribution to scientific knowledge; and

(b) other publications submitted as additional evidence of the scope of the candidate’s contributions to scientific knowledge. This group may, if appropriate, include manuscripts accepted for and awaiting publication and published papers arising out of work for a previously awarded Master’s Degree or Doctorate. Papers in these categories must be clearly identified by the candidate.

4. A candidate must submit to the Vice-President three sets of the published work intended for assessment.

A candidate must also submit three sets of the following:

(a) a statement certifying either that the published work is that of the candidate or, in the case of collaborative work, that the candidate has made a substantial contribution to the work. The proportion of the collaborative work represented by the candidate’s efforts must be clearly identified; and

(b) a statement giving full details of any other Degree or Diploma for which the works, in whole or in part, may have been submitted; and

(c) a classified list of the submitted published work.

Independent evidence may be sought by the Board concerning the contribution of the candidate in cases of collaboration.

5. At least two External Examiners shall independently report to the Board concerning the submission for the Degree.

6. If the Senate decides on the recommendation of the Board that the Degree be not awarded, a candidate may not make another submission for the Degree within five years of the first candidature unless this period is specially reduced by the Board.

Faculty of Social Sciences

REGULATIONS FOR THE DEGREE OF DOCTOR OF SCIENCE (DSc)

1. The Degree of Doctor of Science (DSc) may be awarded to:

(a) a graduate of the University of not less than six years standing; or

(b) a member of the academic staff who has completed a minimum of six years service as such a member.

2. A candidate shall be judged to be distinguished by scholarship in having:

(a) made a substantial and original contribution to learning; and

(b) become established as an authority in the relevant field of work.

3. A candidate shall submit to the Vice-President three sets of the published work intended for assessment.

4. A candidate shall also submit three sets of the following:

(a) a statement certifying either that the published work is that of the candidate or, in the case of collaborative work, that the candidate has made a substantial contribution to the work. The proportion of the collaborative work represented by the candidate’s effort must be clearly indicated;

(b) a statement giving full details of any other Degree or Diploma for which the work, in whole or in part, may have been submitted; and

(c) a classified list of the submitted published work.

5. At least two External Examiners shall independently report to the Board on the submission for the Degree.

6. If the Senate decides, on the recommendation of the Board, that the Degree be not awarded, a candidate may not make another submission for the Degree within five years of the first candidature unless this period is specially reduced by the Board.

REGULATIONS FOR THE DEGREE OF DOCTOR OF LETTERS (LittD)

1. The Degree of Doctor of Letters (LittD) may be awarded to:

(a) a graduate of the University of not less than six years standing; or
Regulations for Higher Doctorates

(b) a member of the academic staff who has completed a minimum of six years service as such a member.

2. A candidate shall be judged to be distinguished by scholarship in having:
(a) made a substantial and original contribution to learning; or
(b) made substantial contributions to design in the field of architectural studies; and
(c) become established as an authority in the relevant field of work.

3. A candidate shall be judged to be distinguished by scholarship in having:
(a) made a substantial and original contribution to learning;
(b) made substantial contributions to design in the field of architectural studies;
(c) become established as an authority in the relevant field of work.

3. A candidate shall submit to the Vice-President three sets of the published work intended for assessment.

4. A candidate shall also submit three sets of the following:
(a) a statement certifying either that the published work is that of the candidate or, in the case of collaborative work, that the candidate has made a substantial contribution to the work. The proportion of the collaborative work represented by the candidate’s effort must be clearly indicated;
(b) a statement giving full details of any other Degree or Diploma for which the work, in whole or in part, may have been submitted; and
(c) a classified list of the submitted published work.

5. At least two External Examiners shall independently report to the Board on the submission for the Degree.

6. If the Senate decides, on the recommendation of the Board, that the Degree be not awarded, a candidate may not make another submission for the Degree within five years of the first candidature unless this period is specially reduced by the Board.

REGULATIONS FOR THE DEGREE OF DOCTOR OF LAWS (LLD)
1. The Degree of Doctor of Laws (LLD) may be awarded to:
(a) a graduate of the University of not less than six years standing; or
(b) a member of the academic staff who has completed a minimum of six years service as such a member.

2. A candidate shall be judged to be distinguished by scholarship in having made substantial contributions to the advancement of the science or study of law.

3. A candidate shall submit to the Vice-President three sets of the published work intended for assessment.

4. A candidate shall also submit three sets of the following:
(a) a statement certifying either that the published work is that of the candidate or, in the case of collaborative work, that the candidate has made a substantial contribution to the work. The proportion of the collaborative work represented by the candidate’s effort must be clearly indicated;
(b) a statement giving full details of any other Degree or Diploma for which the work, in whole or in part, may have been submitted; and
(c) a classified list of the submitted published work.

5. At least two External Examiners shall independently report to the Board on the submission for the Degree.

6. If the Senate decides, on the recommendation of the Board, that the Degree be not awarded, a candidate may not make another submission for the Degree within five years of the first candidature unless this period is specially reduced by the Board.
REGULATION XVIII:
General Regulations relating to Examinations

INVIGILATED EXAMINATIONS

1. A student will not use or attempt to use any unfair means (e.g. plagiarism) and will not communicate with or attempt to communicate with any other students. Answers must be the candidate’s own work. Where other material is quoted, the student will state the source(s) from which it is derived.

2. A student will only use the answer book and continuation paper supplied in the examination hall by invigilators. All rough work should be completed using the paper provided and should be handed in at the end of the examination. The supply of additional material is at the discretion of the invigilator. This regulation should be read in conjunction with note 21 in Notes for Students on Invigilated Examinations.

3. All unauthorised material (such as revision notes, books and data tables) and electronic devices (such as electronic dictionaries, mobile or Smartphones, radios and personal audio equipment) will be left outside the examination hall or surrendered to an Invigilator before entering the hall. Any material required for any particular examination will be provided in the hall. (If students are permitted to introduce books, notes or other material into an examination hall, they will be informed by the Department concerned, and the nature and extent of the authorised material will be stated on the question paper.)

4. No part of an answer book will be torn off and all answer books and other material supplied in the examination hall will be left behind on students’ desks at the end of the examination.

5. A student whose first language is not English may use a dictionary during examinations, provided that:
   (a) the student has obtained the written permission of the Vice-President of the relevant Faculty or the Faculty’s representative;
   (b) the student presents the dictionary (which should bear their name and registration number) together with the written authority for inspection of the Chief Invigilator at the beginning of each examination in which the dictionary is to be used;
   (c) electronic dictionaries may not be used in invigilated examinations.

6. A student may use an electronic calculator in examinations (unless such use has been prohibited in any particular examination by the Examiners), subject to the following conditions:
   (a) the calculator will be of a type officially approved in advance by the University;
   (b) each calculator to be used in an examination will be approved in advance by the Vice-President of the relevant Faculty or by the Vice-President’s representative, and such approval will be symbolised by the attachment of a distinctive marker to the calculator;
   (c) the calculator will be presented for inspection by an Invigilator on request at the beginning of each examination in which the calculators are to be used;
   (d) the calculator will be self-contained, battery-operated and noiseless;
   (e) spare batteries may be taken into the examination hall, but instruction manuals, battery chargers, battery packs and spare parts are not permitted, nor any attachments which extend the capabilities of the calculator (such as printers or memory packs);
   (f) any time signals on the calculator will be switched off before entry into the examination hall;
   (g) a candidate who brings into the examination hall any calculator not approved in accordance with these Regulations will be considered to have used or attempted to use unfair means.

7. A student should also refer to the Notes on the Use of Unfair Means in the Assessment Process: Advice to Students.

NON-INVIGILATED EXAMINATIONS

8. A student will not use or attempt to use any unfair means (e.g. plagiarism).

9. A student will comply with any conditions prescribed by the relevant Department in respect of the submission of material for the purpose of assessment. Such conditions may include the date and place

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1 Regulations 1-12 apply to students in all Faculties, including the International Faculty. The Notes for Students on Invigilated Examinations apply only to students in the Sheffield-based Faculties. Separate Regulations apply to students in the International Faculty (http://www.citycollege.sheffield.eu/frontend/articles.php?cid=427&t=Regulations-and-Policies).
of submission of material, the method of submission (e.g. hard copy and/or electronic copy) the number of copies required, the method of presentation (e.g. typewritten), the need to obtain an official receipt and any other special requirements.

10. Submitted material must be a student's own original work. Where other material is used, the student will state the source(s) from which the information is derived and the extent to which the student has made use of the work of others.

11. A student should also refer to the Notes on the Use of Unfair Means in the Assessment Process: Advice to Students.

BREACH OF REGULATIONS

12. Any breach of the preceding Regulations will constitute the use of unfair means.

NOTES FOR STUDENTS ON INVIGILATED EXAMINATIONS

Before the Examination

1. The use of calculators in examinations

   A student wishing to use an electronic calculator in an examination should note Examination Regulation 6 and request approval for the particular calculator no later than week 10 of the relevant Semester. Approval for a calculator to be used in University examinations is granted on an individual basis and each calculator to be used must be presented by the student for the attachment of a distinctive marker.

   If you expect to use a calculator in an examination you must comply with the following instructions:
   (a) Consult the lists of approved/prohibited models http://www.shef.ac.uk/ssid/exams/calculator2.
   (b) If your calculator is shown on the list of approved models, take it to SSiD where a marker will be attached.
   (c) If your calculator is shown on the list of prohibited models, do not attempt to use it in an examination. If you do you will be judged to have “used or attempted to use unfair means” and disciplinary action may be taken against you.
   (d) If your calculator is on neither list, take it to SSiD as soon as possible and preferably no later than mid-December in any year, and complete the application for it to be approved. You will be able to keep your calculator. A decision will be available before the Christmas vacation. Further applications can be considered after the Easter vacation but no later than the beginning of May in any year. Calculators which do not have external means of programming and have numeric function only will almost certainly be permitted. Among the calculators which are prohibited are:
      (i) those having an alphabetic display of stored data (including text) or equations or alphabetic formulae; models which produce alphabetic output only in the form of messages in the normal course of operation are permissible;
      (ii) those capable of external programmability, whether by detachable modules or the insertion of cards, tape, bar codes or cassettes, or by any other means (other programmable calculators are normally acceptable).

   Approval given to calculators in previous years remains valid. Any calculators which are already marked as approved do not need to be re-submitted for approval.

2. All students should read carefully the General Regulations as to Examinations.

3. Fee: No fee is payable when a student takes an examination at the first sitting. A re-examination fee must be paid when a student has failed at the first sitting or been absent on that occasion without good cause.

4. Timetables: For invigilated examinations draft timetables will be displayed in departments in week 9 or 10 of each Semester. Students are asked to check that all their papers appear on the draft. If there are any clashes or missing papers, students should contact the Student Administration Office, Level 6, Students’ Union immediately. The final examination timetables will be displayed in academic departments and on the University’s web-site in week 11 and 12 of each Semester. The numbers of the question papers will be indicated on the timetables and printed in bold type in the top right-hand corner of the papers. The examination halls in which papers are being held will be included on the final timetable. As desks are allocated by paper numbers, students should note the number of the paper to be taken and not just the title.
5. **UCards:** Students will write their Registration Numbers on all answer books. Students must bring their UCard (which bears the Registration Number) to every examination. Any student who does not possess such a card must consult the Student Administration Office, Level 6, Students’ Union at least 15 working days before the first examination.

6. **Anonymous marking:** The University has an anonymous marking policy which applies to all invigilated examinations. Students should familiarise themselves with the Statement of Procedures for the Anonymous Marking of Examinations.

7. **Religious beliefs:** Any student who is not able, for religious reasons, to take examinations on any day on which examinations may be set during the year (including Saturdays but not Sundays), is asked to notify the Student Administration Service at the beginning of each session for which he/she registers. Students may request that draft examination timetables take account of religious observance requirements and should follow the procedure for notifying Religious Observance ensuring that they adhere to the deadlines given. Requests received after the relevant deadline will not be considered. Once final examination timetables have been set, alterations will not be made.

8. **Individual examination arrangements:** The Student Administration Service will put in place separate examination arrangements for disabled students and those with temporary impairments, if alternative arrangements have been recommended by at least one of the following: the Disability and Dyslexia Support Service, the University Health Service, the University Counselling Service or a member of the National Network of Assessment Centres.

9. **Dictionaries:** A student whose first language is not English should note the provision of Invigilated Examination Regulation 5. Permission to use dictionaries can be requested through the Student Services Information Desk (SSiD).

10. **Illness before an examination:** A student who is taken ill prior to an examination should consult the University Health Service at the earliest opportunity in order that alternative examination arrangements can be considered and a medical report can be made to the Examiners.

**On the day of an invigilated examination**

11. **Personal belongings:** Students should bring to the hall only essential personal belongings (e.g. pens and rulers in a transparent plastic bag) and preferably no items of value. Outdoor coats, large bags, brief cases etc., must be left outside the hall, in cloakrooms, if available, or in a designated area of the hall itself. (Small bags may be left on the floor by the student’s desk). The University of Sheffield accepts no responsibility for any loss or damage to personal belongings. You will be unable to claim from the University if they are lost or damaged.

12. **Mobile phones and other electronic devices:** (and other devices which can store text and images) must not be taken to examinations. A mobile phone is potentially a method of storing unauthorised material. See Regulation 3 for Invigilated Examinations. If taken to an examination unintentionally, they must be surrendered to an Invigilator before entering the hall. No additional time will be allowed in compensation for time taken in recording the custody of such items by the Invigilators. The return of such items to their owners after an examination may be delayed if the Invigilators are otherwise occupied by examination duties.

13. **Unauthorised material (such as revision notes):** See Regulation 3 for Invigilated Examinations.

14. **Smoking (including the use of electronic, vapour and other similar devices) and the consumption of alcoholic drinks:** is not allowed in the examination halls.

15. **Admission to the hall:** Students will be admitted to the hall a few minutes before the start of each examination at the discretion of the Chief Invigilator.

16. **Absence:** If, for no good reason, a student fails to attend an examination, special papers will not be set and the student will be deemed to have failed.

17. **Late arrival and early departure:** Students who are more than 30 minutes late for an examination will not be admitted. A student will not be allowed to withdraw from an examination until 40 minutes have elapsed. Any student leaving an examination early should raise their hand and wait for an Invigilator to collect examination material from their desk. Any student leaving an examination must do so quietly and take great care not to disturb other students. No student will be allowed to leave during the last ten minutes of an examination.

18. **Seating arrangements in examination halls:** Students should read carefully the seating arrangements which will be set out on the noticeboard outside the examination hall, noting that there may be more than one examination taking place in the hall. Students are not allowed to reserve seats for themselves beforehand. Invigilators may require students to move to alternative seats.
19. **On the examination desk** the student will find the question paper, answer books, an attendance slip and any ancillary materials provided for the particular examination.

20. **During the few minutes before the examination begins**, students must:
   (a) listen carefully to any announcements the Chief Invigilator may have to make;
   (b) make sure that they have the correct question paper on their desks; if in doubt they should put up a hand and ask an Invigilator;
   (c) complete the attendance slip on the desk (this will be collected by an Invigilator soon after the start of the examination);
   (d) complete as far as possible the cover sheet of one answer book on the desk, including details of the questions attempted;
   (e) read the notes on the cover of the answer book.

21. **Stationery**: Students should only use the answer book and continuation paper supplied in the examination hall. If students require further stationery during the examination they should put up a hand and an Invigilator will assist them. See also Regulation 2 for Invigilated Examinations.

22. **Temporary withdrawal**: A student who wishes to make a temporary withdrawal from an examination for personal reasons must put up a hand and ask to be accompanied by an Invigilator or other authorised person.

23. **Illness during an examination**:
   (a) A student who wishes to withdraw from an examination because of illness should inform an Invigilator. The student may be accompanied to the University Health Service and may be able to complete the examination later. If the student chooses not to be accompanied he/she should attend the University Health Service without delay or ask one of the Medical Officers to call on him/her; otherwise the University Health Service may not be able to advise the academic authorities on the student’s condition at the time of the examination.
   (b) If a student is temporarily affected by illness but is able to continue the examination after a short absence, the period of time lost through illness will be noted and the information taken into consideration where appropriate.

24. **At the end of an examination**: All answer books, continuation sheets (even if only used for rough notes) and graph paper should be fastened together with string unless instructed otherwise. If more than one book is used, all relevant papers should be fastened in their appropriate book. All cover sheets of used answer books must be completed and students must enter in the space provided the numbers of the questions answered within, in the order in which they have been attempted. Students should wait until Invigilators have collected examination material from desks and must leave the hall quickly and quietly when permitted to do so, leaving all written work on the desk. Question papers may be removed from the hall only if no instruction to the contrary is given. Students causing a disruption at the end of an examination may be subject to disciplinary action.

After the Examination

25. **Publication of results**: Examination results will be made available through academic departments or posted to the student’s permanent or correspondence address, if not available during a Semester.

**USE OF UNFAIR MEANS IN THE ASSESSMENT PROCESS (non-invigilated exams): ADVICE TO STUDENTS**

The University expects its graduates to have acquired certain attributes (see the Sheffield Graduate). Many of these relate to good academic practice:

- a critical, analytical and creative thinker;
- an independent learner and researcher;
- information literate and IT literate;
- a flexible team worker;
- an accomplished communicator
- competent in applying their knowledge and skills;
- professional and adaptable.

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3 http://www.shef.ac.uk/sheffieldgraduate/
Throughout your programme of studies at the University you will learn how to develop these skills and attributes. Your assessed work is the main way in which you demonstrate that you have acquired and can apply them. Using unfair means in the assessment process is dishonest and means that you cannot demonstrate that you have acquired these essential academic skills and attributes.

What constitutes unfair means?

The basic principle underlying the preparation of any piece of academic work is that the work submitted must be your own work. Plagiarism, submitting bought or commissioned work, double submission (or self-plagiarism), collusion and fabrication of results are not allowed because they violate this principle (see definitions below). Rules about these forms of cheating apply to all assessed and non-assessed work.

1. Plagiarism (either intentional or unintentional) is using the ideas or work of another person (including experts and fellow or former students) and submitting them as your own. It is considered dishonest and unprofessional. Plagiarism may take the form of cutting and pasting, taking or closely paraphrasing ideas, passages, sections, sentences, paragraphs, drawings, graphs and other graphical material from books, articles, internet sites or any other source and submitting them for assessment without appropriate acknowledgement.

2. Submitting bought or commissioned work (for example from internet sites, essay “banks” or “mills”) is an extremely serious form of plagiarism. This may take the form of buying or commissioning either the whole piece of work or part of it and implies a clear intention to deceive the examiners. The University also takes an extremely serious view of any student who sells, offers to sell or passes on their own assessed work to other students.

3. Double submission (or self-plagiarism) is resubmitting previously submitted work on one or more occasions (without proper acknowledgement). This may take the form of copying either the whole piece of work or part of it. Normally credit will already have been given for this work.

4. Collusion is where two or more students work together to produce a piece of work, all or part of which is then submitted by each of them as their own individual work. This includes passing on work in any format to another student. Collusion does not occur where students involved in group work are encouraged to work together to produce a single piece of work as part of the assessment process.

5. Fabrication is submitting work (for example, practical or laboratory work) any part of which is untrue, made up, falsified or fabricated in any way. This is regarded as fraudulent and dishonest.

6. Facilitating the use of unfair means is where any student assists a fellow student in using any of the forms of unfair means defined above, for example in submitting bought or commissioned work.

How can I avoid the use of unfair means?

To avoid using unfair means, any work submitted must be your own and must not include the work of any other person, unless it is properly acknowledged and referenced.

As part of your programme of studies you will learn how to reference sources appropriately in order to avoid plagiarism. This is an essential skill that you will need throughout your University career and beyond. You should follow any guidance on the preparation of assessed work given by the academic department setting the assignment.

You are required to declare that all work submitted is entirely your own work. Many departments will ask you to attach a declaration form to all pieces of submitted work (including work submitted online). Your department will inform you how to do this.

If you have any concerns about appropriate academic practices or if you are experiencing any personal difficulties which are affecting your work, you should consult your personal tutor, supervisor or another member of staff involved.

The following websites provide additional information on referencing appropriately and avoiding unfair means:

The Library provides online information literacy skills tutorials:
http://www.shef.ac.uk/library/services/infoskills.html

The Library also has information on reference management software:
http://www.shef.ac.uk/library/refmant/refmant.html

The English Language Teaching Centre operates a Writing Advisory Service through which students can make individual appointments to discuss a piece of writing. This is available for all students, both native and non-native speakers of English.
http://www.sheffield.ac.uk/eltc/languagesupport/writingadvisory
General Regulations relating to Examinations

What happens if I use unfair means?
Any form of unfair means is treated as a serious academic offence and action may be taken under the Discipline Regulations. For a student registered on a professionally accredited programme of study, action may also be taken under the Fitness to Practise Regulations. Where unfair means is found to have been used, the University may impose penalties ranging from awarding no grade for the piece of work or failure in a PhD examination through to expulsion from the University in extremely serious cases.

Detection of Unfair Means
The University subscribes to a national plagiarism detection service which helps academic staff identify the original source of material submitted by students. This means that academic staff have access to specialist software that searches a database of reference material gathered from professional publications, student essay websites and other work submitted by students. It is also a resource which can help tutors and supervisors to advise students on ways of improving their referencing techniques. Your work is likely to be submitted to this service.

For further information
http://www.sheffield.ac.uk/library/skills
http://www.sheffield.ac.uk/eltc/languagesupport/writingadvisory
http://www.shef.ac.uk/ssid/procedures/grid#discipline
REGULATION XIX:
General Regulations relating to the Progress of Students

CONDUCT OF REVIEW

1. A review of the progress of a student registered as a candidate for any Degree or other qualification will be conducted by the Faculty under the following Regulations or by the Faculty Student Review Committee to which the Faculty has delegated this function.

2. A student’s progress may be reviewed if the student is reported by a Head of Department to the Vice-President of the relevant Faculty for review on any one or more of the following grounds:
   (a) failure to attend regularly, or as specified in the relevant Regulations, the programme of study for which the student has registered;
   (b) failure to perform adequately the work of the programme;
   (c) failure to present at the times appointed such written work as may have been required;
   (d) failure to pass an examination;
   (e) failure to pursue the programme of research or to co-operate appropriately with the appointed supervisor;
   (f) failure to demonstrate a satisfactory level of professional competence in the programme of study or research.

   The purpose of the review will be to determine whether the student will be permitted to continue the programme of study, and if so on what terms and all aspects of the student’s record may be taken into consideration. Where successful conclusion of the programme of study leads to professional practice, that may be taken into consideration.

3. The Vice-President or another Officer of the Faculty will offer any student whose progress is to be reviewed the opportunity to bring before the Faculty or the Committee considerations affecting the case.

4. The Faculty or the Committee will have power:
   (a) to exclude or suspend the student from further attendance at lectures, classes and examinations in the Faculty;
   (b) to suspend the student from attendance at lectures and classes in the Faculty but with permission to take examinations;
   (c) to exclude or suspend the student from candidature for the Higher Degree for which the student is registered;
   (d) to require that the student transfers to an alternative programme of study;
   (e) to permit the student to continue the programme of study unconditionally or subject to such requirements of an academic nature as may be imposed, provided that, in the absence of special circumstances, an undergraduate student registered for a full-time programme of study will not be permitted to repeat with attendance more than one year of the programme of study.

5. The despatch of a letter to a student’s address last notified to the Student Support Services Department will fulfil any requirements of giving notice or information to the student under these Regulations.

6. A student who has been excluded from attendance at lectures, classes and examinations in any Faculty may register in another Faculty only with the permission of the Faculty and the latter Faculty.

RIGHT OF APPEAL

7. The decision of the Faculty or Committee will be reported to the Executive Director of Academic Services, or their nominee, who will inform the student of the decision and of the effect of this Regulation. A student wishing to appeal against the decision to the Senate Appeals Panel will give notice in writing within 15 working days of the date of the letter of notification and the notice will contain a statement of the grounds for appeal. A Vice-President may extend the time-limit imposed by this Regulation.

8. A student may only appeal against a decision of the Panel upon one or more of the following grounds:

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1 Regulations 1-6 apply to students in all Faculties. Regulations 7-10 apply only to students in the Sheffield-based Faculties. Separate Regulations apply to students in the International Faculty (http://www.citycollege.sheffield.eu/frontend/articles.php?cid=427&t=Regulations-and-Policies).
General Regulations relating to the Progress of Students

(a) that there was a material procedural irregularity which rendered the process leading to the initial decision unfair;
(b) that material which the student could not reasonably have been expected to produce at the time of the initial decision casts substantial doubt upon the appropriateness of that decision;
(c) that the initial decision was manifestly unreasonable.

9. Appeals are considered by the Senate Appeals Panel. The Senate Appeals Panel may confirm, vary or quash the decision of the Faculty or Committee and may exercise any of the powers conferred upon the Faculty or Committee by the foregoing Regulations. The Senate Appeals Panel will have no power to vary any decision made by Examiners.

10. The student will be informed of the decision of the Senate Appeals Panel.

EXTERNAL REVIEW

11. If the student is dissatisfied with the decision of the Senate Appeals Panel, the student may be able to apply for a review of their case by the Office of the Independent Adjudicator for Higher Education (OIA), providing that their case is eligible under its Rules. This is an independent review scheme and forms no part of the University’s review or appeal procedures. The OIA will normally only review issues that have been dealt with through the University’s internal procedures.
REGULATION XX:
General Regulations relating to Academic Appeals

1. A student may apply under these Regulations for a recommended grade for any unit or Degree classification or examination result to be re-considered in the light of new evidence.

GROUNDS FOR APPEAL

2. For these purposes, “new evidence” is defined as:
   (a) procedural error either by the Examiners or during the recording, transcription and reporting of the examination results and additionally, in the case of Higher Degrees by Research, evidence of negligence or misconduct on the part of an Examiner;
   (b) extenuating circumstances which the student was unable to place, or for valid reasons did not place, before the Examiners;
   (b) evidence of a failure of supervision which significantly affected the student’s performance and which could not reasonably be expected to have been the subject of complaint by the student to the Head of Department or the Vice-President of the Faculty before the examination.

These are the only grounds on which representations can be made. Appeals will not be considered against the academic judgement of the Examiners. Representations may, however, be made in cases where the Examiners have recommended, in response to a student using unfair means in an examination, that a credit or examination result be refused or a grade reduced.

PROCEDURE

Reference in these Regulations to the Vice-President means the Vice-President of the relevant Faculty and includes any person authorised to act on their behalf.

3. A student who wishes to place such new evidence before the Faculty will apply in writing, setting out clearly the facts which the student wishes the Faculty to consider and showing how those facts constitute new evidence as here defined. The application must be made to the Vice-President:
   (a) within 30 working days of the publication of the examination result in the case of a candidate for a Higher Degree by Research; or
   (b) within 15 working days of the publication of the examination result in any other case.

The Vice-President may extend the time limit imposed by this Regulation.

For the purposes of these Regulations, the date of publication of examination results means the date upon which the examination results are first made available to students in the relevant Department, even though the results are still subject to confirmation by the Faculty and the Senate.

4. After consulting the Head of Department, the Vice-President may:
   (a) determine that the appeal be upheld; or
   (b) convene an Academic Appeals Committee of the Faculty to hear the case; or
   (c) refer a case to the Senate Discipline Panel for action under the Regulations as to the Discipline of Students where representations have been made by a student using unfair means (or alleged to have used unfair means) in an examination; or
   (d) determine that there is no substantive case for appeal.

ACADEMIC APPEALS COMMITTEE

5. The Academic Appeals Committee will comprise:
   (a) in the case of an appeal by a student for a Higher Degree by Research:
      (i)    the Vice-President or nominated representative;
      (ii)   two other members of the Faculty;
      (iii)  two members of another Faculty;
   (b) in any other case:
      (i)    the Vice-President or nominated representative;
      (ii)   not less than two and not more than four other members of the Faculty.

6. The student may opt either:
   (a) for the appeal to be dealt with on written submissions; or
General Regulations relating to Academic Appeals

(b) for an oral hearing (at which the student may choose to be accompanied by a friend or adviser).

7. Where the appeal is to be dealt with on written submissions, the Committee will receive:
   (a) the material submitted by the student;
   (b) any written comments made on that material by or on behalf of the Head of Department and, where appropriate, by the Supervisor; and
   (c) any written comments made by the student on the material submitted under (b) above.

8. Where there is an oral hearing, the Committee will hear oral submissions by or on behalf of the student, the Head or other representative of the Department, and where appropriate the Supervisor. The student may comment on the submissions made by others. In any case in which factual matters are in dispute, the Committee will investigate the facts, and may invite appropriate persons to attend to assist; during this process, the student may be present and may ask questions, make comments, and produce other persons who can provide information or testimony.

9. The Committee will reconsider the grade, classification, result or other subject of the appeal in the light of the material available to it. Except as provided above, no person other than members of the Committee and its Secretary will be present during its deliberations.

10. The Vice-President or the Committee will report to the Faculty and may make any recommendation as to the subject matter of the appeal as could, under the relevant Regulations, have been made by the Examiners.

11. Where the substance of the appeal concerns acts or omissions of the Vice-President, and in any other case where it is inappropriate for the Vice-President to act under these Regulations, the Vice-President will appoint a Deputy.

12. Where a student is not satisfied with the decision taken in respect of the academic appeal they may request a Case Review in writing within 10 working days of the letter of notification and in accordance with the Student Complaints Procedure at http://www.shef.ac.uk/ssid/procedures/complaints, stating their grounds for the request.
REGULATION XXI:
General Regulations relating to Student Fitness to Practise

These Regulations relate to students following programmes specified in the published Fitness to Practise Procedures. In these cases, the University has a responsibility (and in some instances a statutory obligation) to ensure that students are fit to practise in the relevant profession and that they meet or are likely to meet the requirements of the relevant professional body.

1. Any concerns about a student (which might become the subject of proceedings under these Regulations) will be reported to the Head of Department.

2. Matters which may give rise for concern may include any health condition, behaviour or attitude which may affect the student’s fitness to practise in the relevant profession.

INITIAL INVESTIGATION

Reference in these Regulations to the Vice-President means the Vice-President of the relevant Faculty and includes any person authorised to act on their behalf.

3. On receipt of information regarding any areas of concern, the Head of Department will instigate an initial investigation into the matter. The student will be informed in writing of the concerns and will be given the opportunity to make representations in person or in writing.

4. Following the initial investigation, the Head of Department may decide to:
   (a) take no further action under these Regulations;
   (b) make a recommendation that the case is considered by the Faculty Fitness to Practise Committee.

SUSPENSION OF STUDENTS

5. Pending the completion of proceedings under these Regulations, the Head of Department may, with the agreement of the Vice-President, suspend the student from studies on the programme, any practice components or from both studies and practice components, and may include other requirements within the terms of the suspension. The Vice-President may take action under this Regulation only to protect the University community in general or a particular member of members of that community or members of the public and will limit the scope of any suspension to that which is, in their opinion, necessary to achieve that object. The Head of Department will notify the student in writing of the terms of the suspension.

6. Before exercising powers under the preceding Regulation, the Vice-President will give the student concerned an opportunity to make representations in person or in writing. In cases of great urgency the Vice-President may suspend a student with immediate effect, and without giving any such opportunity, for a period of not more than five working days, and will in any such case review the suspension at or before the end of that period, having in the meantime given the student concerned an opportunity to make representations in person or in writing.

7. The Vice-President will review any suspension every 20 working days in the light of any developments and any representations made by or on behalf of the student.

PROCEDURE WHEN MATTER REFERRED TO A FITNESS TO PRACTISE COMMITTEE

8. There will be a Faculty Fitness to Practise Committee which will consist of:
   (a) the Vice-President or nominated representative;
   (b) one member of the academic staff from the Department in which the student is registered who will normally be registered with the relevant professional regulatory body;
   (c) one member of academic staff from another Faculty or a member of academic staff from another University or other relevant institution or body.

9. The student will be given at least 15 working days’ notice in writing of the date upon which the Committee will meet. If the student fails to attend the hearing, the Committee may proceed in the absence of the student if it is satisfied that due notice of the meeting has been given or may adjourn to a later date.

10. The hearing will be in private. The student may attend and be accompanied at the hearing by a friend or representative, whether legally qualified or not. The representative may speak and act on behalf of the student.
11. The Committee will have power:
   (a) to decide that the grounds for concern are not made out, in which case the Committee may recommend the removal of any suspension imposed under Regulation 5;
   (b) to permit the student to continue with the programme, either unconditionally or subject to such requirements pertaining to the programme as may be imposed;
   (c) to suspend the studies of the student for a specified time;
   (d) to require the student to repeat a specified part or parts of the programme;
   (e) to require any other action considered appropriate by the Committee to enable the student’s successful completion of the remainder of the programme;
   (f) to exclude the student from further study on a programme leading to a professional qualification but permit registration for an alternative programme;
   (g) to exclude the student from further study in the Faculty.
12. The despatch of a letter to a student’s address last notified to the Student Support Services Department will fulfil any requirements of giving notice or information to the student under these Regulations.
13. A student who has been excluded from attendance at lectures, classes and examinations in any Faculty may register in another Faculty only with the permission of the latter Faculty.

RIGHT OF APPEAL
14. The Executive Director of Academic Services will notify the student of the decision and of the effect of this Regulation. A student may appeal against a decision of the Committee upon one or more of the following grounds:
   (a) that there was a material procedural irregularity which rendered the process leading to the initial decision unfair;
   (b) that material of which the student could not reasonably have been expected to have been aware of at the time of the initial decision casts substantial doubt upon the appropriateness of that decision;
   (c) that the initial decision was manifestly unreasonable.
15. Notice of appeal, specifying which of the grounds listed in the preceding Regulation is relied upon, will be given to the Executive Director of Academic Services within 15 working days of the date of the letter of notification to the student of the decision. The Executive Director of Academic Services will refer the appeal to the Senate Appeals Panel.
16. The Senate Appeals Panel may confirm, vary or quash the original decision and may exercise any of the powers conferred on the Faculty Fitness to Practise Committee by the foregoing Regulations.
17. The Executive Director of Academic Services will notify the student of the decision of the Senate Appeals Panel.
18. Reference in these Regulations to the Executive Director of Academic Services includes any person authorised to act on their behalf.

EXTERNAL REVIEW
19. If the student is dissatisfied with the decision of the Senate Appeals Panel, the student may be able to apply for a review of their case by the Office of the Independent Adjudicator for Higher Education (OIA), providing that their case is eligible under its Rules. This is an independent review scheme and forms no part of the University’s review or appeal procedures. The OIA will normally only review issues that have been dealt with through the University’s internal procedures.
REGULATION XXII:

Regulations relating to the Discipline of Students

MISCONDUCT DEFINED

1. Misconduct for the purposes of this Regulation is the improper interference, in the broadest sense, with the proper functioning or activities of the University, or those who work or study in the University; or action which otherwise damages the University.

2. Subject to the general definition in the preceding Regulation, the following will constitute misconduct:

(a) disruption of, or improper interference with, the academic, administrative, sporting, social or other activities of the University, whether on University premises or elsewhere;

(b) obstruction of, or improper interference with, the functions, duties or activities of any student, member of staff or other employee of the University or any authorised visitor to the University;

(c) violent, indecent, disorderly, threatening or offensive behaviour or language whilst on premises owned, managed or leased by the University or engaged in any University activity or which affects or concerns any member of the University;

(d) any unwanted conduct of a sexual nature which occurred in person or by letter, telephone, text, email or other electronic and/or social media and includes, but is not limited to, the following:
   (i) engaging, or attempting to engage, in a sexual act with another individual without consent;
   (ii) sexually touching another person without their consent;
   (iii) conduct of a sexual nature which creates (or could create) an intimidating, hostile, degrading, humiliating, or offensive environment for others including making unwanted remarks of a sexual nature;
   (iv) inappropriately showing sexual organs to another person;
   (v) repeatedly following another person without good reason;
   (vi) recording and/or sharing intimate images or recordings of another person without their consent; and
   (vii) arranging or participating in events aimed at degrading or humiliating those who have experienced sexual violence, for example inappropriately themed social events or initiation.

(e) fraud, deceit, deception or dishonesty in relation to the University or its staff or in connection with holding any office in the University or in relation to being a student of the University;

(f) behaviour likely to cause injury or impair safety on premises owned, managed or leased by the University or such behaviour which affects or concerns any member of the University;

(g) behaviour which puts or is likely to put at risk of harm any person with whom a student has dealings as part of a programme of study or research;

(h) any form of harassment or abuse to include, but not limited to, reference to ethnicity, religion or belief, sex, sexual orientation, gender identity or disability of any student, member of staff or other employee of the University or any authorised visitor to the University;

(i) oral or written comments which occurred in person or by letter, telephone, text, email or other electronic and/or social media intended to alarm or distress a person or persons on the grounds of their race or ethnicity, religion or belief, sex, sexual orientation, gender identity or disability or intended to incite abuse, threats or violence against a person or persons on the grounds of their race or ethnicity, religion or belief, sex, sexual orientation, gender identity or disability;

(j) breach of the University’s Code of Practice relating to Meetings and Other Activities on University Premises under section 43 of the Education (No 2) Act 1986 or of any other Regulation which provides for breaches to be dealt with under these Regulations;

(k) the use of any unfair means in the assessment process of the University;

(l) damage to, or defacement of, property on any premises owned, managed or leased by the

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Regulations relating to the Discipline of Students

University or the property of other members of the University community caused intentionally or recklessly, or misappropriation of such property;

(m) misuse or unauthorised use of premises or items of property in premises owned, managed or leased by the University, including computer misuse;

(n) conduct which constitutes a breach of the criminal law where that conduct:
   (i) took place on premises owned, managed or leased by the University; or
   (ii) affects or concerns other members of the University community, or the interests of the University, or members of the public, or took place during an activity organised by the University; or
   (iii) damages the good name of the University; or
   (iv) itself constitutes misconduct within the terms of these Regulations; or
   (v) is an offence of dishonesty, where the student holds an office of responsibility in the Students’ Union, or on premises owned, managed or leased by the University.

(o) behaviour which brings or is likely to bring the University into disrepute, for example misconduct in a community or other public setting;

(p) failure to disclose name and other relevant details to an officer or employee of the University in circumstances when it is reasonable to require that such information be given;

(q) failure to comply with a previously-imposed penalty, requirement or undertaking under these Regulations;

(r) breach of any residence contract in relation to accommodation owned, managed or leased by the University.

PROCEDURE

The Executive Director of Academic Services has the discretion to vary the procedures in the following Regulations if it would be appropriate to do so.

DISCIPLINE PANEL AND DISCIPLINE POOL

Reference in these Regulations to the Executive Director of Academic Services includes any person or delegate authorised to act on their behalf, to include, but not limited to, the Director of Student Support Services and the Head of Student Administration Service, and responsibilities and powers may also be delegated as appropriate to the Student Conduct and Appeals Team.

3. There will be a Discipline Pool which will consist of:
   (a) at least three members of the staff of the School of Law appointed by the Senate to be Chairs of Discipline Panels convened pursuant to paragraph 4 below;
   (b) at least seven members of the academic staff appointed by the Senate;
   (c) persons nominated by the Executive Director of Academic Services.

If for any reason no member of the Discipline Pool appointed under paragraph 3(a) is able to act, the Executive Director of Academic Services may appoint another person with legal training to act as a Temporary Chair, and that person may be a member of the academic staff of another University.

4. The Discipline Panel of the Senate acting in respect of any matter will be convened by the Executive Director of Academic Services and will comprise the following members drawn from the Discipline Pool:
   (a) a Chair, appointed under paragraph 3(a);
   (b) two other members of the Discipline Pool (in the case of alleged misconduct by a student in appropriate professionally accredited programmes of study, to normally include one member of the Discipline Pool who is a member of the staff of that Faculty registered with the relevant professional regulatory body);
   (c) two student members appointed by the President of the Students’ Union (or if the President is unable to act, by the Education Officer of the Students’ Union).

A secretary to the Pool will be appointed by the Executive Director of Academic Services and will normally be drawn from the Student Conduct and Appeals Team.

REPORTING OF ALLEGED MISCONDUCT

5. Any member of the University who becomes aware of conduct by a student which might become the subject of proceedings under these Regulations will report the matter to the Executive Director of
INITIAL INVESTIGATION
6. The Executive Director of Academic Services will make enquiries and determine:
   (a) that no further action be taken under these Regulations;
   (b) that where the matter does not involve the use of unfair means it be referred for consideration under the administrative procedure established under these Regulations;
   (c) that the matter be referred to a Chair of the Discipline Pool with a view to the consideration of the matter under the summary procedure established under these Regulations;
   (d) that the matter be referred to the Directors of Accommodation and Commercial Services, to Corporate Information and Computing Services, to the Library or to the President of the Students’ Union with a view to the matter being dealt with under the disciplinary procedures of the Director of Accommodation and Commercial Services or the Students’ Union or the Regulations on the Use of Computing Facilities or the Regulations Relating to the Library or under General Regulation 24 concerning Ethics Approval;
   (e) that the matter be referred to the Discipline Panel.
In determining whether the matter be referred for consideration under the summary procedure or to the Discipline Panel, the Executive Director of Academic Services will consider the severity of the matter, whether the student has admitted to the matter, and any previous incidences of misconduct on the part of the student.
7. When the matter appears to disclose the commission of a serious criminal offence it is normally expected that the matter will be reported to the police. Where the offence potentially falls within the scope of these Regulations, the Executive Director of Academic Services will decide whether internal discipline procedures should be started. If started they would normally be adjourned pending the outcome of the police investigation. However, the fact that criminal proceedings have not concluded does not preclude the University from taking its own disciplinary action under these Regulations, particularly in relation to allegations of minor criminal offences. Similarly, if the police are unable or unwilling to proceed with the alleged offence, this also does not always preclude the University from taking disciplinary action under these Regulations. Where a student has been convicted and sentenced by a criminal court, the verdict of the court will not be open to challenge in proceedings initiated under these Regulations and the sentence will be taken into consideration in determining the penalty under these Regulations.

PRECAUTIONARY MEASURES AND THE SUSPENSION OF STUDENTS
Reference in these Regulations to the President & Vice-Chancellor includes the Provost & Deputy Vice-Chancellor and reference to the Vice-President means the Vice-Presidents for Education and Research & Innovation, and their Deputies.
The putting in place of precautionary measures or a suspension is a neutral act and does not indicate that the University has concluded that the student is guilty of misconduct and/or a criminal offence; it is a temporary measure pending the outcomes of the completion of proceedings under these Regulations, the trial of the charges or the completion of the police investigation.
8. A student who is the subject of a report of misconduct or against whom a criminal charge, criminal trial or appeal, police investigation is pending may be subject to precautionary measures or a suspension or exclusion pending the completion of proceedings under these Regulations, the trial of the charges or the completion of the police investigation.
9. A Risk Assessment Panel will be convened where there are circumstances involving a student or students which warrant an evaluation of the risks to the students involved, the University community in general or a particular member or members of that community or members of the public or to the carrying out of a full and proper investigation.
The Risk Assessment Panel will be chaired by the Director of Student Support Services or their nominee, and will usually include representatives from Student Support and Guidance, Security, Student Conduct and Appeals, the Academic Department(s) for the students involved and/or affected, and may also include, subject to the circumstances of the case, representatives from other departments or services to include but not limited to Accommodation and Commercial Services, Dyslexia and Disability Support Services, Corporate and Communication Services, Library and the Students’ Union.
The Risk Assessment Panel will consider of the information available at the time of its meeting and
may put in place precautionary measures which is considered necessary to protect the University community in general or a particular member or members of that community or members of the public or to ensure that a full and proper investigation can be carried out. Precautionary measures may include, but are not limited to, specific arrangements in relation to the student’s programme of study; University owned or managed or leased accommodation; access to specific places, facilities or activities; and restrictions on the student contacting a named person or persons.

The student will be notified in writing of the precautionary measures and will be given the opportunity to make written representations. A record will be kept in writing of the precautionary measures and any subsequent action taken by a Risk Assessment Panel. Any breach by the student of the precautionary measures may be reported for consideration under Regulation 6 and consideration of a suspension under Regulations 10 to 13 below.

10. Where the precautionary measures referred to in the preceding Regulation are not considered sufficient to protect the University community in general or a particular member or members of that community or members of the public or to ensure that a full and proper investigation can be carried out, the Risk Assessment Panel may recommend that the student be considered for a suspension or exclusion by a Vice-President pending the completion of proceedings under these Regulations, the trial of the charges or the completion of the police investigation.

Where on the information available at the time, there appears to be a very real and immediate risk to the University community in general or a particular member or members of that community or members of the public or to the carrying out of a full and proper investigation, the student may be considered for a suspension by a Vice-President pending the completion of proceedings under these Regulations, the trial of the charges or the completion of the police investigation, without prior consideration by a Risk Assessment Panel.

Suspension may involve exclusion from all premises owned, managed or leased by the University and activities of the University or may be limited to specified places or activities or by reference to time or other circumstances. It may include a requirement that the student have no contact of any kind with a named person or persons.

The Vice-President will limit the scope of any suspension or exclusion to that which is, in their opinion, necessary to achieve the protection of the University community in general or a particular member or members of that community or members of the public or to ensure that a full and proper investigation can be carried out. The student will be notified in writing of the terms of the suspension or exclusion and a record will be kept in writing of the terms of any suspension or exclusion and any subsequent action taken by a Vice-President in respect of the suspension or exclusion.

11. Before the Vice-President exercises their powers under the preceding Regulation, the student will be given an opportunity to make representations in writing. In cases of great urgency, the Vice-President may suspend a student with immediate effect, and without giving any such opportunity, for a period of not more than five working days, and will in any such case review the suspension or exclusion at or before the end of that period having in the meantime given the student concerned an opportunity to make representations in writing.

12. The Vice-President will review any suspension or exclusion every six weeks or where there is a material change of circumstances in the light of any developments and any written representations made by or on behalf of the student.

13. The student may appeal the decision of the Risk Assessment Panel to put in place precautionary measures or a Vice-President to suspend or exclude upon one or more of the following grounds:
   (a) that there was a material procedural irregularity which rendered the process leading to the decision of the Risk Assessment Panel to put in place precautionary measures, or a Vice-President, to suspend unfair;
   (b) that the terms of the precautionary measures or suspension were too severe as being disproportionate to the protection of the University community in general or a particular member or members of that community or members of the public or to ensure that a full and proper investigation can be carried out;
   (c) that the decision of the Risk Assessment Panel to put in place precautionary measures, or a Vice-President to suspend, was manifestly unreasonable.

Notice of appeal specifying which of the grounds listed in the preceding Regulation is relied upon, will be given by the student to the Executive Director of Academic Services, within 5 working days of the notification to the student of the decision of the Risk Assessment Panel to put in place precautionary measures or the decision of the Vice-President to suspend or exclude the student. The Executive
Regulations relating to the Discipline of Students

Director of Academic Services will refer the appeal to a Director of Faculty Learning and Teaching or Professional Services in the case of a decision of the Risk Assessment Panel and to the President and Chancellor in the case of a decision of the Vice-President, in order that they may decide whether or not to set aside or vary the terms of the precautionary measures or suspension.

PROCEDURE WHEN MATTER REFERRED TO A DISCIPLINE PANEL

14. Where the Executive Director of Academic Services determines that the matter be referred to the Discipline Panel, the Executive Director of Academic Services will appoint an officer to take charge of the proceedings (“the officer”) who will formulate specific charges identifying the acts of misconduct alleged. They will notify the student of the charges and supply to the student copies of any documents or written statements on which it is proposed to rely in support of the charges. The student will be given at least 15 working days’ notice of the date upon which the Discipline Panel will meet, and will be asked to indicate in writing not less than five working days before the hearing whether the charge is admitted or denied and whether they are to attend the hearing in person with or without a representative, and to provide the details of any such representative. The student will be invited to submit a written statement not less than five working days before the hearing.

Where a student has good reason for not being able to attend the hearing they may request an adjournment to another date. Where for example they will not be in the UK at the time of the hearing, consideration will be given to the student being able to participate in the hearing via electronic means such as telephone or skype.

The student may request to bring a witness or witnesses to the hearing and will be asked to provide the name of each witness and a copy of each witness’ written statement not less than five working days before the hearing. The student will be asked to indicate in writing of any wish to question at the hearing the maker of any written statement on which it is proposed to rely in support of the charges.

A Chair of the Discipline Pool (who may, but need not be, the person appointed to take the chair of the Discipline Panel dealing with the matter) may give directions as to the procedure to be followed in preparing for the hearing (which may include directions varying the time-limits in this Regulation where the student would not be prejudiced thereby; whether an adjournment be granted; whether the student may participate in the hearing via electronic means; whether witnesses may be called and whether the student can ask them questions directly or through the Chair of the Discipline Panel dealing with the matter), being directions designed to ensure a fair and speedy resolution.

15. The hearing will be in private. The student may be accompanied at the hearing by a friend or representative, whether legally qualified or not. The officer may be similarly accompanied. A representative may speak and act on behalf of the student or the officer as the case may be. If the student has not already admitted the charge under the preceding Regulation, each charge will at the hearing be put to the student who will be invited to admit or deny it. If the student does not admit the charge, the hearing will proceed as though the student denied the charge.

16. If the charge is denied, the burden of proof will be on the officer to prove that the charge is made out. The officer will present the facts alleged and present material in support of the charge (which may take the form of oral or written statements by witnesses or of documents or digital images). The student may then make a statement and present material in refutation of the charge. The officer and the student may each ask questions and comment upon the material presented by the other party. The Discipline Panel may itself ask questions or seek additional material (any such material to be open to question and comment by either party) and may adjourn from time to time as seems expedient. The Discipline Panel will then (in the absence of all other persons) decide whether, on the balance of probabilities, it is satisfied on the material before it that the charge is made out.

17. If the charge is admitted, or the Discipline Panel finds the charge made out, the officer and the student may make statements and present material relevant to the question of the penalty to be imposed. The burden of proof will be on the student to prove any mitigating circumstances presented. The Discipline Panel will then (in the absence of all other persons) consider the statements made and material presented on the balance of probabilities, in order to determine the penalty to be imposed.

18. If the student fails to attend the hearing, the Panel may proceed if it is satisfied that due notice of the meeting has been given, or may adjourn to a later date. If the Panel acts in the absence of the student it will proceed as if the student denied the charge unless it is satisfied that the student has voluntarily provided a written admission of the charge as specified.

PENALTIES

19. The Discipline Panel may impose any one or more of the following penalties:
(a) a reprimand;
Regulations relating to the Discipline of Students

(b) with the consent of the student, a requirement that the student gives an undertaking as to future conduct in such terms and containing such conditions as the Panel may prescribe, breach of the undertaking to constitute misconduct;

e) in the case of the use of unfair means in the assessment process of the University in relation to taught programmes of study, refusal of credit for any or all units for which the student is registered (with no grade being returned) or failure of a part thereof, or a reduction of grade for any or all units for which the student is registered or a part thereof, with or without the right to enter for a subsequent examination in respect of that unit or part thereof;

(d) in the case of the use of unfair means in the assessment process of the University in relation to:

(i) the confirmation review of a Higher Degree by Research (or upgrade procedure for a Higher Degree by Research, for students whose initial registration was prior to August 2012), failure in the examination with or without the right to submit a revised confirmation review, in the latter case the student will be required to become a candidate for a Master’s degree (or in the case of an upgrade, remain a candidate for a Master’s degree); or

(ii) the final thesis of a Higher Degree by Research, failure in the examination with or without a right to submit a revised thesis, or for a candidate for the Degree of PhD, PhD with Integrated Studies, PhD in an EPSRC Doctoral Training Centre, DEdCPsy, DMedSci, EdD, DBA, DDSc, EngD or MD failure in the examination with the right to be considered by the Examiners for the award of a Master’s Degree;

(e) a fine of not more than £750;

(f) the payment of compensation in a sum not to exceed £1,000 for damage to property or loss or injury to any person caused by the student;

(g) exclusion for a stated period or permanently from any part of the University or from the use of any of the facilities of the University;

(h) suspension for a stated period or permanently from any of the University’s activities;

(i) suspension for a stated period from membership of the University;

(j) expulsion from membership of the University.

20. Any student on whom the penalty of expulsion from membership of the University has been imposed is no longer eligible to be registered for a programme of study or a component of a programme of study or to be awarded a qualification from the University.

SUMMARY PROCEDURE

21. When the matter is referred to be dealt with under the summary procedure, the Executive Director of Academic Services will nominate a member of the Discipline Panel to act as Chair and will:

(a) notify the student of the acts of misconduct alleged and that the matter has been referred to a Chair of the Discipline Panel to be dealt with under the summary procedure;

(b) supply to the student and the Chair of the Discipline Panel a statement of the facts alleged and copies of any other written material relevant to the circumstances;

(c) require the student to attend at a summary hearing of which the student will be given at least five working days’ notice;

(d) ask the student to confirm in writing not later than three working days before the summary hearing that the charge is admitted and to indicate any wish to question at the summary hearing the maker of any written statement on any matter relevant to the penalty to be imposed.

22. The summary hearing will be in private. The student may be accompanied at the hearing by a friend or representative, whether legally qualified or not, who may speak and act on behalf of the student. A representative of the Executive Director of Academic Services will also attend.

23. Where the student has given notice of an intention to do so, the student may question the maker of any written statement and may make representations relevant to the question of the penalty to be imposed. The Chair of the Discipline Panel will then determine the penalty to be imposed.

24. The Chair of the Discipline Panel may impose any one or more of the following penalties:

(a) a reprimand;

(b) with the consent of the student, a requirement that the student gives an undertaking as to future conduct in such terms and containing such conditions as the Chair of the Discipline Panel may
Regulations relating to the Discipline of Students

25. If the student fails to confirm that the charge is admitted, or fails to attend the summary hearing, or the Chair of the Discipline Panel considers that the available penalties are insufficient, the Chair of the Discipline Panel may refer the matter to the Discipline Committee (of which he or she will not be a member) and may give directions as to the procedure to be followed in preparing for a hearing of the matter by that Committee.

ADMINISTRATIVE PROCEDURE

26. The Executive Director of Academic Services will appoint an investigating officer who will:
   (a) notify the student of the act or acts of misconduct alleged;
   (b) require the student to attend a meeting of which the student will be given at least three working days’ notice.

27. The meeting will be in private. The student may be accompanied at the meeting by a friend or representative, whether legally qualified or not.

28. Where the student admits the act or acts of misconduct alleged, the investigating officer may impose one or more of the following penalties:
   (a) with the consent of the student, a requirement that the student gives an undertaking as to future conduct in such terms and containing such conditions as may be prescribed, breach of the undertaking to constitute misconduct;
   (b) a fine of not more than £200;
   (c) the payment of compensation in a sum not to exceed £500 for damage to property or loss or injury to any person caused by the student.

29. If the student fails to confirm that the act or acts of misconduct alleged are admitted, or fails to attend the meeting, or the investigating officer considers that the available penalties are insufficient, the investigating officer may refer the matter to the Executive Director of Academic Services for reconsideration in line with Regulation 6.

DISCIPLINARY POWERS OF THE DIRECTOR OF ACCOMMODATION AND COMMERCIAL SERVICES IN RELATION TO THE OCCUPATION OF ACCOMMODATION OWNED, MANAGED OR LEASED BY THE UNIVERSITY

30. The Director of Accommodation and Commercial Services will have the power in the interests of the student residents, as well as that of the University, to respond to misconduct in accommodation owned, managed or leased by the University. Misconduct is defined in Regulations 1 and 2 of these Regulations. Reference to the Director of Accommodation and Commercial Services in this and the following Regulations includes any person authorised to act on their behalf.

31. Where a report of alleged misconduct in accommodation owned, managed or leased by the University against any student is received, the Director of Accommodation and Commercial Services may investigate the matter and:
   (a) notify the student of the act or acts of misconduct alleged;
   (b) supply to the student a statement of the facts alleged and copies of any other written material relevant to the circumstances;
   (c) require the student to attend a meeting of which the student will be given at least three working days’ notice.

32. The meeting will be in private. The student may be accompanied by a friend or representative, whether legally qualified or not, who may speak or act on behalf of the student.

33. The student may question any statement made and may make representations relevant either to the facts alleged or the question of the penalty imposed.

34. If the Director of Accommodation and Commercial Services is satisfied that a student is guilty of misconduct in accommodation owned, managed or leased by the University, then one or more of the

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prescribe, breach of the undertaking to constitute misconduct;
(c) in the case of the use of unfair means in the assessment process of the University in relation to taught programmes of study, refusal of credit for any or all units for which the student is registered (with no grade being returned) or failure of a part thereof, or a reduction of grade for any or all units for which the student is registered or a part thereof;
(d) a fine of not more than £200;
(e) the payment of compensation in a sum not to exceed £500 for damage to property or loss or injury to any person caused by the student.
following penalties may be imposed:
(a) with the consent of the student, a requirement that the student gives an undertaking as to future conduct in such terms and containing such conditions as may be prescribed, such as writing letters of apology or undertaking a period of voluntary service, breach of the undertaking to constitute misconduct;
(b) a fine of not more than £200;
(c) the payment of compensation for loss or damage to property in a sum not to exceed £500;
(d) exclusion of the student from any part of the accommodation owned, managed or leased by the University or from specified activities taking place in the accommodation for a stated period of time.

35. Where the matter is considered to be of a serious nature or is not admitted, the matter may be referred to the Executive Director of Academic Services, with a view to it being dealt with under Regulations 11-15 or 18-22.

CONTRACTUAL POWERS OF THE DIRECTOR OF ACCOMMODATION AND COMMERCIAL SERVICES

36. These Regulations will be without prejudice to the rights of the Director of Accommodation and Commercial Services or the University under any residence contract entered into by the student or as the owner or occupier of the premises comprising accommodation owned, managed or leased by the University for example requiring the student to move to alternative accommodation or serving Notice to Quit.

APPEALS

37. There will be a Disciplinary Appeals Panel of the Senate which will be convened by the Executive Director of Academic Services and will comprise:
(a) a Chair of the Discipline Pool (other than a member of the Discipline Panel for the particular matter);
(b) a senior member of the academic staff appointed by the Executive Director of Academic Services;
(c) an officer of the Students’ Union (other than a member of the Discipline Panel for the particular matter) appointed by the President of the Students’ Union (or if the President is unable to act, by the Education Officer of the Students’ Union). If for any reason no Chair of the Discipline Pool is able to act, the Executive Director of Academic Services may appoint another person with judicial experience or legal training. If for any reason no officer of the Students’ Union is able to act, the President of the Students’ Union (or if the President is unable to act, the Education Officer of the Students’ Union) may appoint another member of the Students’ Union with experience of disciplinary matters.

38. The student may appeal from a decision of the Discipline Panel or of a Chair of the Discipline Pool or of the investigating officer or of the Director of Accommodation and Commercial Services to the Disciplinary Appeals Panel of the Senate upon any one or more of the following grounds:
(a) that there was a material procedural irregularity which rendered the process leading to the initial decision unfair;
(b) that the penalty imposed was too severe as being disproportionate to the gravity of the charge admitted or found to have been made out;
(c) that material of which the student could not reasonably have been expected to have been aware at the time of the initial decision casts substantial doubt upon the appropriateness of that decision.

39. Notice of appeal, specifying which of the grounds listed in the preceding Regulation is relied upon, will be given, within 15 working days of the notification to the student of the decision of the Discipline Panel or of the decision of the Chair of the Discipline Pool or of the decision of the investigating officer or of the Director of Accommodation and Commercial Services, to the Executive Director of Academic Services who will refer the appeal to the Disciplinary Appeals Panel. The student will be given at least 15 working days’ notice of the date upon which the Disciplinary Appeals Panel will meet.

40. The Executive Director of Academic Services may refer a case to the Disciplinary Appeals Panel in any case where no valid notice of appeal has been given but where there appear to be grounds for believing that the original decision was unsafe or unsatisfactory.

41. At the hearing of the appeal, the student may be accompanied at the hearing by a friend or
representative, whether legally qualified or not. The officer will act as respondent to the appeal and may be similarly accompanied. A representative may speak and act on behalf of the student or the officer as the case may be. The student and the officer may each address the Disciplinary Appeals Panel as to the grounds of the appeal, and the student may reply to the arguments adduced by the officer. If the Disciplinary Appeals Panel upholds the appeal in whole or in part, it may as the case requires:
(a) quash the decision; or
(b) substitute a different penalty; or
(c) refer either the matter as a whole or the decision as to penalty for rehearing by a differently-constituted Discipline Panel or by a different disciplinary procedure established under these Regulations.

PROVISIONS AS TO EXAMINATIONS
42. Where a penalty imposed under these Regulations includes the refusal of credit for any unit (or part thereof), the student will be deemed to have taken and failed the assessment in that unit or component with no grade being returned and the results of any relevant examination will reflect (and if already approved will be revised to reflect) that position.

DISCIPLINARY POWERS OF THE STUDENTS’ UNION
45. The Students’ Union will, subject to these Regulations, have power to prevent misconduct in the premises and areas assigned to the Students’ Union and may exercise discipline over its members under such rules as may be made under the Constitution of the Students’ Union and approved by the Senate.

NOTICE TO THE STUDENT
44. The dispatch of a letter to a student’s address last notified to Student Services will fulfil any requirement of giving notice or information to the student under these Regulations.

EXTERNAL REVIEW
45. If the student is dissatisfied with the decision of the Disciplinary Appeals Panel, the student may be able to apply for a review of their case by the Office of the Independent Adjudicator for Higher Education (OIA), providing that their case is eligible under its Rules. This is an independent review scheme and forms no part of the University’s review or appeal procedures. The OIA will normally only review issues that have been dealt with through the University’s internal procedures.
REGULATION XXIII:

Regulations relating to Intellectual Property

1. For the purposes of these Regulations:
   a) "Intellectual Property" means patents, trademarks, service marks, logos, internet domain names, rights in designs, copyright (including rights in software), database rights, rights in confidential information, trade secrets, inventions and know-how and other intellectual property rights whether registered or unregistered and including any applications for registration and all other rights or forms of protection having equivalent or similar effect anywhere in the world;
   b) "Student Intellectual Property" means any Intellectual Property created, devised, made, produced or developed by a student in the course of the student’s programme of study or research. For the avoidance of any doubt, if the student is also an employee of the University (for example a teaching assistant) then any Intellectual Property created in the course of such employment will be governed by the terms of such employment and will not be Student Intellectual Property.

2. A student undertaking undergraduate and taught postgraduate programmes of study will be the owner of the Student Intellectual Property created by that student. Exceptions to this Regulation may include the following:
   a) students employed or sponsored by another institution or organisation;
   b) students undertaking a sponsored project or placement;
   c) where the Intellectual Property is generated as a result of collaborative work, for example with other students or with members of staff (or where the work being undertaken derives from the Intellectual Property of staff);
   d) units which have as a primary or substantial purpose, the creation of Intellectual Property;
   e) other exceptional circumstances.

3. The University will maintain a list of units of study to which the exceptions set out in 2(d) above will apply and make it available as an appendix (see below) to these Regulations.

4. The University retains the right to use student works for the purposes of education and/or quality assurance. The University will use reasonable endeavours to seek the permission of students where the University wishes to use student work for such purposes.

5. It will be a condition of registration for Higher Degrees by research that the student will agree to assign the Student Intellectual Property to the University.

6. Where the whole or part of a student’s programme of study or research is sponsored by, or involves the use of, facilities provided by another institution or organisation, under an agreement made with the University, the following provisions will apply:
   a) the student assign or license to the third party the Intellectual Property, or any part of it, on such terms as it may think fit;
   b) all rights to the Intellectual Property in any work (including any report, essay, dissertation or thesis) produced by the student during or as a result of such programme will be assigned to the University;
   c) the student will, in accordance with any relevant terms of the agreement between the University and the third party, keep confidential all information relating to the work or business of the third party, acquired by the student during that programme, and neither use for the student’s own benefit nor, save with the consent of the third party, disclose to any other person any such information.

7. Where the Student Intellectual Property is vested in the University pursuant to these Regulations, the University may exploit it, or any part of it, using reasonable endeavours to that end and granting to the student a reasonable share of any revenues received by the University as a result of such exploitation. If the University does not wish to exploit any part of the Student Intellectual Property vested in it, it will at the request of the student return such part to the student.

8. Where Student Intellectual Property is vested in the University pursuant to these Regulations, a student may not without the consent of the University (which will not be unreasonably withheld or delayed)
9. Any breach of these Regulations may be dealt with under the Regulations as to the Discipline of Students.

APPENDIX

FACULTY OF ARTS AND HUMANITIES

Archaeology
AAP234  Archaeology Matters
AAP648  Reconstructing Ancient Technologies: Ancient Vitreous Materials
AAP3000  Dissertation in Archaeology
AAP6117  Ost Assemblage Analysis
AAP6118  Dissertation (Cultural Heritage Management)
AAP6142  Landscape Survey Project
AAP6149  Advanced Archaeobotany
AAP6156  Dissertation (Journal Style)
AAP6157  Dissertation
AAP6158  Work Placement

History
HST681  Work Placement
HST6042  Presenting the Past: Making History Public

Interdisciplinary Programmes Office
IPA625  Public Engagement in Practice

Languages and Culture
MDL6039  International Project Management
MDL6052  Professional Problem-Based Learning

Music
MUS636  Strategic Planning for Music Business Clients
MUS3008  Music Placements

Philosophy
PHI377  Work Place Learning
PHI6100  Work Placement

FACULTY OF ENGINEERING

Advanced Manufacturing Research Centre
AMR341  Industrial Project

Aerospace Engineering
AER301  MEng Aerospace Group Design Project: Design
AER302  MEng Aerospace Group Design Project: Build and Test
AER385  Aerospace Group Design Project
AER389  Aerospace Individual Investigative Project
AER390  Aerospace Engineering Year in Industry
AER410  Industrial Training Programme: (ITP) Propulsion
AER429  Aerospace Individual Investigative Project
**AER4447**  Industrial Training Programme (ITP): Aerospace materials  
**AER6000**  Aerospace Group Design Project: Build and Test  
**AER6001**  Aerospace Group Design Project: Design  
**AER6550**  Aerospace Individual Investigative Project  

**Automatic Control and Systems Engineering**  
**ACS322**  Individual Project  
**ACS330**  Group Project  
**ACS389**  Aerospace Individual Investigative Project  
**ACS395**  Year in Industry  
**ACS402**  Industrial Training Programme (ITP) in Avionics  
**ACS421**  Socrates/Erasmus Project (I)  
**ACS421**  Socrates/Erasmus Project (II)  
**ACS422**  Socrates/Erasmus Project  
**ACS429**  Aerospace Individual Investigative Project  
**ACS495**  Year in Industry  
**ACS3000**  Biomedical Engineering Research Project  
**ACS4000**  Biomedical Engineering Research Project  
**ACS6107**  Control Research Project  
**ACS6130**  Industrial Placement  
**ACS6200**  Control Systems Project and Dissertation  
**ACS6300**  Robotics Project and Dissertation  
**ACS6324**  Advanced Individual Research Project (AMRC Industrial)  
**ACS6325**  Certificate Research Project (AMRC Industrial)  
**ACS6402**  Industrial Training Programme (ITP) in Advanced Manufacturing  
**ACS6403**  Industrial Training Programme (ITP) in Advanced Manufacturing  
**ACS6420**  Advanced Project  
**ACS6550**  Aerospace Individual Investigative Project  

**Bioengineering**  
**BIE372**  Group Project in Bioengineering  
**BIE390**  Bioengineering Year in Industry  

**Computer Science**  
**COM389**  Aerospace Individual Investigative Project  
**COM429**  Aerospace Individual Investigative Project  
**COM3000**  Biomedical Engineering Project  
**COM3300**  Experiencing Genesys  
**COM3301**  Experiencing Genesys  
**COM3420**  Software Hut  
**COM3500**  Individual Research Project  
**COM3527**  Cyber Security Team Project  
**COM3610**  Dissertation Project  
**COM4000**  Biomedical Engineering Research Project  
**COM4520**  Darwin Project  
**COM4525**  Genesys  
**COM6013**  Cybersecurity and AI Dissertation Project  
**COM6103**  Team Software Project  
**COM6322**  Computational Intelligence Individual Project  
**COM6520**  Darwin Project  
**COM6534**  Introduction to Genesys  
**COM6535**  Genesys  
**COM6905**  Research Methods and Professional Issues
COM6906 Dissertation Project
COM6910 Dissertation Project
COM6911 Industrial Team Project
COM6912 Individual Data Analytics Dissertation

**Chemical and Biological Engineering**
CPE130 Principles of Chemical Engineering 1
CPE140 Principles of Chemical Engineering 2
CPE321 Process Design Project
CPE401 Research Project
CPE490 Research Project (Erasmus)
CPE491 Research Project (Erasmus)
CPE3000 Biomedical Engineering Project
CPE4000 Biomedical Engineering Research Project
CPE6010 Research Project
CPE6011 Research Project
CPE6311 Applied Energy Engineering
CPE6390 Research Project

**Civil and Structural Engineering**
CIV3202 Individual Research Project for BEng students
CIV4000 Individual Research Project for MEng students
CIV4004 European-Based Individual Research Study for MEng students
CIV4008 Individual Research Project for Architectural Engineering
CIV4009 Independent Research Project for Structural Engineering and Architecture
CIV6000 Advanced Engineering Research Study
CIV6003 European Investigative Project
CIV6005 European Investigative Project (ERASMUS)

**Electronic and Electrical Engineering**
EEE221 Industrial Project
EEE380 Individual Design Project
EEE381 Individual Investigative Project
EEE389 Aerospace Individual Investigative Project
EEE394 Year in Industry
EEE429 Aerospace Individual Investigative Project
EEE480 Individual Investigative Project
EEE481 Group Project
EEE3000 Bioengineering Research Project
EEE4000 Biomedical Engineering Research Project
EEE6600 Industrial Research Project
EEE6602 MSc Investigative Research Project
EEE6604 MSc(Res) Research Project
EEE6606 MSc Interdisciplinary Research Project

**Materials Science and Engineering**
AER4447 Industrial Training Programme (ITP): Project 3 Aerospace Materials
MAT356 Literature Survey and Project
MAT357 Project for Exchange Students
MAT358 Extended Literature Survey and Project
MAT360 Mini Guided Project
MAT389 Aerospace Individual Investigative Project
MAT404 Bioengineering Research Project
MAT429 Aerospace Individual Investigative Project
MAT3000 Bioengineering Research Project
MAT3330 Industrial placement: Part 1
MAT3331 Industrial Training Programme: Nuclear Materials
MAT3335 Industrial Training Programme: Inorganic Materials
MAT4000 Biomedical Engineering Research Project
MAT4356 Research Project and Literature Review
MAT4357 Bioengineering Research Project
MAT4404 Bioengineering Research Project
MAT4440: Industrial Placement: Part 2
MAT4445 Materials Outreach
MAT4449 Industrial Training Programme: Metals Processing
MAT4581 Extended Research Project
MAT6040 Project
MAT6047 Project (DL)
MAT6200 Computational Medicine Project
MAT6308 Research Project
MAT6399 Research Project
MAT6800 Extended Research Project

Mechanical Engineering
GEE101 Interdisciplinary Design I
GEE201 Interdisciplinary Design II
GEE301 Interdisciplinary Group Design Project
GEE302 Individual Investigative Project
GEE401 Individual Advanced Project
MEC307 Group Design Project
MEC389 Aerospace Individual Investigative Project
MEC397 Year in Industry
MEC429 Aerospace Individual Investigative Project
MEC3000 Bioengineering Research Project
MEC4000 Biomedical Engineering Research Project

FACULTY OF MEDICINE DENTISTRY AND HEALTH

Clinical Dentistry
CDH611 Orthodontic Thesis
CDH616 Paediatric Dentistry Dissertation
CDH6003 Dissertation in Dental Public Health
DEN606 Research Project, Dental Technology and Dental Materials Science
DEN615 Orthodontic Thesis
ORP6021 Dissertation in Diagnostic Oral Pathology
RSD625 Research Project DClinDent Endodontics, Periodontics, Prosthodontics
RSD629 Research Project DClinDent Endodontics, Periodontics, Prosthodontics

Health and Related Research (ScHARR)
HAR6012 MSc Dissertation
HAR6024 Dissertation
HAR6052 Dissertation (online)
HAR6053 Dissertation (online)
HAR6054 Dissertation (Advanced Emergency Care)
HAR6350 Dissertation (Health Informatics)
HAR673 Dissertation
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<tr>
<td>HAR679</td>
<td>Dissertation</td>
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<tr>
<td>HAR689</td>
<td>Long Project (online)</td>
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<td>HAR690</td>
<td>Short Project (online)</td>
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**Human Communication Sciences**

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<td>HCS316</td>
<td>Practical Project in Human Communication Sciences</td>
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<td>HCS337</td>
<td>Research Methods 4</td>
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<tr>
<td>HCS405</td>
<td>Research Methods 4</td>
</tr>
<tr>
<td>HCS6007</td>
<td>Research Project</td>
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<tr>
<td>HCS6095</td>
<td>Evaluating Clinical Practice</td>
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<tr>
<td>HCS6100</td>
<td>Dissertation</td>
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<td>HCS6205</td>
<td>Research Methods 4 (Dissertation)</td>
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**Infection, Immunity and Cardiovascular Disease**

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<td>Literature Review</td>
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<td>CDL603</td>
<td>Research Project</td>
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**Medical Physics**

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<td>Bioengineering Research Project</td>
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<td>MPY4000</td>
<td>Biomedical Engineering Research Project</td>
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**Oncology and Metabolism**

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<td>MED6116</td>
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<td>OCP606</td>
<td>Literature Review</td>
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<td>OCP607</td>
<td>Research Project</td>
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<td>OCP608</td>
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**Ophthalmology and Orthoptics**

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**The Medical School**

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<td>MED617</td>
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<td>MED618</td>
<td>Library Based Project</td>
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<td>MED619</td>
<td>Research Project</td>
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<td>MED6023</td>
<td>Practical Presentation (Experimental Medicine)</td>
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<td>MED6024</td>
<td>Laboratory Project (Experimental Medicine)</td>
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<tr>
<td>MED6043</td>
<td>Practical Presentation (Cancer)</td>
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<td>MED6044</td>
<td>Laboratory Project (Cancer)</td>
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<tr>
<td>MED6053</td>
<td>Project Presentation (Cardiovascular Pathway)</td>
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<td>MED6054</td>
<td>Research Project (Cardiovascular Pathway)</td>
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<td>MED6063</td>
<td>Project Presentation (Microbial Pathogenicity)</td>
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<td>MED6064</td>
<td>Laboratory Project (Microbial Pathogenicity)</td>
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<td>MED6073</td>
<td>Practical Presentation (Genetics Mechanisms)</td>
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<td>MED6074</td>
<td>Laboratory Project (Genetics Mechanisms)</td>
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<td>MED6090</td>
<td>Research Literature Review</td>
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<td>MED6116</td>
<td>Research Project (Reproduction)</td>
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<td>MED6510</td>
<td>Assessment, Biology and Maintenance of Skeletal Health</td>
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<td>MED6511</td>
<td>The Research Project in Sheffield</td>
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<td>MED6512</td>
<td>Principles of Human Nutrition: Relevance to Ageing</td>
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**Neuroscience**

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<td>MED621</td>
<td>Literature Review &amp; Critical Analysis of Science</td>
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MED625 Research Project
MED626 Formulating a Scientific Proposal
MED633 Clinical Neurology Experiential Learning Module (CNELM)
MED637 Research Project
MED677 Research Project
MED678 Literature Review
MED680 Workplace based Module
NEU677 Research Project
NEU678 Formulating a Scientific Proposal

Nursing and Midwifery
SNM3242 Work Experience Placement

FACULTY OF SCIENCE

Animal and Plant Sciences
APS235 Biology Undergraduate Ambassadors Scheme
APS330 Project
APS331 Dissertation
APS345 Research Project
APS356 Biological Field Research
APS402 Research Dissertation
APS406 Research Project
APS6003 Project Dissertation
APS6610 Literature Review
APS6611 Research Project
APS6618 Individual Research Project
NUL301 Industrial Experience

Biomedical Science
BMS109 Introduction to Biomedical Science
BMS226 Communicating Bioscience
BMS227 Career Development Skills
BMS242 Advanced Concepts in Molecular Physiology
BMS243 Advanced Concepts in Cell & Developmental Biology
BMS246 Introduction to Human Anatomy
BMS339 Patients as Educators
BMS349 Extended Library Project
BMS352 Forensic Anatomy
BMS369 Laboratory Project
BMS397 Laboratory Research Project
BMS401 Retrieval and Evaluation of Research Information
BMS402 Laboratory Research Project
BMS6015 Action Research: Scholarship in Learning and Teaching
BMS6016 Anatomy of the Head, Neck and Brain
BMS6017 Anatomy of the Trunk and Limbs
BMS6051 Retrieval and Evaluation of Research Information
BMS6052 Laboratory Research Project

Chemistry
CHM3402 Advanced Chemistry Laboratory Projects
CHM3403 Biological Chemistry Projects
CHM3404 Chemistry Projects
CHM4401 Chemistry Research Project
CHM6301 Polymer Research Project
CHM6403 Chemistry Projects
CHM6404 Chemistry Research Project
CHM6405 Chemistry Research Project

Mathematics and Statistics
MAS115 Mathematical Investigation Skills
MAS212 Scientific Computation and Simulation
MAS286 Mathematics and Statistics in Action
MAS301 Group Project
MAS302 Undergraduate Ambassadors Scheme in Mathematics
MAS360 Practical and Applied Statistics
MAS406 Mathematics and Statistics Project
MAS407 Mathematics and Statistics Project
MAS6041 MSc Dissertation
MAS6042 Dissertation (MSc Statistics with Medical Applications)
MAS6600 MSc Dissertation

Molecular Biology and Biotechnology
MBB361 Literature Review
MBB380 Project
MBB402 Advanced Literature Review
MBB403 Extended Laboratory Project
MBB404 Project in Industry
MBB6402 Literature Review
MBB6403 Research Project
MBB6502 Literature Review

Physics and Astronomy
PHY346 Group Industrial Project in Physics (GRiP)
PHY6480 Research Project in Physics

Psychology
PSY331 Extended Essay in Psychology
PSY6110 Research Project in Psychology
PSY6231 Professional Skills for Psychologists
PSY6232 Systematically Reviewing Psychological Research
PSY6233 Research Project in Psychology with Advanced Statistics
PSY6318 Research Project
PSY6321 Research Project in Cognitive and Computational Neuroscience
PSY6325 Individual Project in Computational Intelligence
PSY6431 Research Project in Cognitive Neuroscience

FACULTY OF SOCIAL SCIENCES

Architecture
ARC125 Architectural Design
ARC126 Architectural Design
ARC136 Architectural Design (Landscape) 2
ARC165 Architectural Design 1
ARC225 Architectural Design 3
ARC226  Architectural Design 4
ARC237  Architectural Design (Landscape) 3
ARC238  Architectural Design (Landscape) 4
ARC322  Special Study
ARC325  Architectural Design 5
ARC326  Architectural Design 6
ARC337  Architectural Design (Landscape) 5
ARC356  Architectural Design 6 (Dual Architecture and Engineering
ARC550  Design 1
ARC551  Design 2
ARC552  Live Project 1
ARC556  Dissertation 1
ARC566  Dissertation 2
ARC560  Design 3
ARC561  Design 4
ARC562  Live Project 2
ARC596  Dissertation Proposal: Collaborative Practice
ARC696  Dissertation 2
ARC6814  Critical Applications of BIM
ARC6815  Digital Design Studio Project
ARC6816  MSc DDIBE Dissertation Project (A)
ARC6817  MSc DDIBE Dissertation Project (B)
ARC6978  Urban Design Project 1
ARC6981  Urban Design Project 2
ARC6982  Urban Design Project 3: Thesis Project
ARC6983  Participation in Architecture and Urban Design
ARC6984  History and Theory of Urban Design
ARC6985  Reflections on Urban Design Practice

Geography
GEO6669  Polar and Alpine Change Research Project
GEO6805  Dissertation with Placement

Journalism Studies
JNL316  Magazine Journalism
JNL6022  Magazine Journalism

Landscape
LSC230  Landscape Ecology 1 - Ecological Processes, Design and Management
LSC231  Material of Landscape - Planting Design
LSC232  Sustainable Communities
LSC332  Integrated Design Studio
LSC334  Green Infrastructure and Ecological Masterplanning

Management School
MGT681  Management Project
MGT689  Project Dissertation
MGT6118  Project Workshops
MGT6131  Management Inquiry Project
MGT6132  Management Inquiry Project (Group Variant)
MGT6216  EMBA Extended Project
MGT6217  EMBA Project A
MGT6218  EMBA Project B
MGT6269  Collaborative Networks and Relationships in a Global Context
MGT6270  Corporate Governance and Accountability
MGT6271  Global and Managerial Economics
MGT6272  Operations and Continuous Improvement
MGT6273  Accounting and Financial Management
MGT6274  Business Research Methods and Management Project
MGT6275  Foundations of Professional Practice in Leadership
MGT6276  Professional Practice in Leadership
MGT6277  Intelligent Organisations and Decision Making
MGT6278  Leading in a Complex and Changing Environment
MGT6279  Strategic Marketing Management
MGT6281  Strategy and Risk
MGT6282  Organisational Entrepreneurship and Innovation
MGT6283  Responsible Leadership: Developing People, Teams and Collectives
MGT6903  MBA Extended Project
MGT6904  MBA Project A
MGT6905  MBA Project B
MGT6906  Project Dissertation (Group Variant)

**Urban Studies and Planning**
TRP235  The Development Process
TRP450  Critical Perspectives on Planning Practices
TRP613  Urban Design in the Global South
TRP622  Professional Group Project
TRP633  International Urban Development Consultancy Project
TRP6405  Integrated Project
TRP6425  Integrated Project
REGULATION XXIV:

Regulations on the Use of Computing Facilities

1. In these Regulations “computing facilities” means any computing facilities:
   (a) controlled or operating on behalf of the University;
   (b) owned by the University or any University company;
   (c) situated on University premises or connecting to University IT systems.
“Head of Department” means the Head or Chair of the Department which controls the facilities or the premises on which the facilities are situated.

2. No person may use computing facilities without the authorisation of the Director of Corporate Information and Computing Services acting on behalf of the University, or of the Head of Department or of the person or body to whom the facilities belong.

3. Every authorisation for the use of computing facilities will be subject to the conditions that the facilities are to be used only by the person(s) to whom the authorisation is given and only for the purpose or purposes for which it was granted and will be subject to these Regulations.

4. Where the use of computing facilities is for the purposes of externally funded research or for purposes private to an individual user or external to the university, authorisation may be subject to the payment of charges prescribed from time to time by the UEB IT Sub-Group or by the Director of Corporate Information and Computing Services acting in accordance with any directions of that Sub-Group.

5. No computing facilities may be used:
   (a) to secure unauthorised access to any program or data held in any computer, wherever located;
   (b) to cause any unauthorised modification of the contents of any computer, wherever located;
   (c) in any way which jeopardises the work of others, or the integrity of the equipment or of any programs or data;
   (d) in breach of the Computer Misuse Act 1990 or other applicable legislation, or of any local rules made by the Director of Corporate Information and Computing Services or the Head of Department.

6. No student or member of the University staff may use any means:
   (a) to secure unauthorised access to any program or data held in any computer facilities;
   (b) to cause any unauthorised modification of any such material.

7. Any breach of these Regulations may be dealt with, in the case of students under the Discipline Regulations and in the case of members of the staff of the University in accordance with disciplinary procedures approved (subject to the Statutes) by the Council. Any person suspected of a breach of these Regulations may be debarred from access to computing facilities by the Director of Corporate Information and Computing Services or the Head of Department until the appropriate disciplinary procedures have been completed; any use or attempted use of facilities by a person so debarred from access or by another acting on that person’s behalf will constitute a breach of these Regulations.

Note: These Regulations should be read in conjunction with the IT Code of Practice – https://www.sheffield.ac.uk/cics/codeofpractice.

REGULATION XXV:

Regulations relating to the Library

1. For the purposes of these Regulations, the University Library comprises the collections, services and facilities provided on the premises of the University Library on the University’s Sheffield campus and elsewhere; and the services and resources provided at any location, directly or indirectly, by the University Library across the campus network or the Internet.

2. Admission to and licence to remain on University Library premises, and use of University Library facilities, are conditional upon observance of these Regulations.

3. The following will be eligible to use the University Library and to borrow materials from it:
   (a) any registered student of the University or member of the University staff in possession of a currently valid UCard;
   (b) any other member of the University as defined in Regulation V, except that a graduate who is not currently a registered student may not normally access the Library’s licensed digital content;
   (c) any other person meeting criteria approved by the University Librarian.

4. Other persons may be afforded such access to the collections, services and facilities provided on the premises of the University Library during staffed service hours as the University Librarian considers appropriate. In offering such access, the Librarian may impose a charge.

5. Persons who are eligible for and hold more than one UCard must declare this entitlement to the University Library and designate one UCard as valid for borrowing. No person may use more than one UCard for borrowing.

6. Users other than registered students and members of University staff must register separately with the University Library. Such users will be issued with a University Library card and must inform the Library of any change of address.

7. Every user must present a valid UCard or Library card when borrowing, and must produce this, or another form of identification, when asked to do so by a member of Library staff on Library premises. UCards and Library cards are not transferable.

8. Users must comply with the rules as to loan entitlements and loan periods, return dates, recall periods and renewal conditions published on the University Library’s premises and on its printed guides and web pages.

9. No material may be removed from the Library without its loan being recorded. Users leaving University Library premises with books and papers may be required to show them at the control point.

10. The borrower of an item as shown in the current loan record is responsible for the safe return of that item, and liable for any loss or damage to it.

11. Materials must be returned at short notice, if required, and users must bear the cost of returning such items by post, including from overseas, and must ensure adequate insurance for loss or damage in transit.

12. Users must comply with all such additional conditions as may be published in respect of certain services such as interlibrary loans, and access to digital materials.

13. Users must comply with all relevant legal and contractual requirements, including those relating to digital resources licensing, copyright, data protection and computer misuse.

14. Users must not borrow materials using another person’s UCard or Library card, unless they have written permission to do so. All borrowing must be made in accordance with rules published on the University Library’s premises and on its printed guides and web pages.

15. Users may be required by the University Librarian to pay compensation for the replacement of lost or damaged library materials, the non-collection of items obtained on interlibrary loan and certain other acts or omissions. Users’ borrowing rights may in addition be suspended in the case of late return of borrowed or recalled items.

16. Any person behaving inconsiderately or inappropriately on University Library premises may be required to leave immediately by the University Librarian or another member of Library staff acting on

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1 These Regulations apply to students in the Sheffield-based Faculties and to students of the International Faculty visiting the Sheffield campus. Separate Regulations apply to students of the International Faculty, or visiting the City campus, for use of its libraries (http://www.citycollege.sheffield.eu/frontend/articles.php?cid=427&t=Regulations-and-Policies).
behalf of the University Librarian.

17. Any breach of these Regulations and any other misconduct relating to the University Library may be dealt with, in the case of students, under the Discipline Regulations, and in the case of members of the staff of the University in accordance with the disciplinary procedures prescribed in the Statutes or approved by the Council. A student or a member of staff may be suspended from access to the premises of the University Library in accordance with those Regulations and procedures. Access to University Library premises and services may be withdrawn by the University Librarian from any other person in breach of these Regulations.

18. Complaints against the imposition of any penalty under these regulations may be dealt with, in the case of students, in accordance with the Student Complaints Procedure.

19. In the case of any other person, complaints against the imposition of any penalty under these Regulations may be dealt with through written appeal to the University Librarian, submitted not more than 15 working days after the notice period of the penalty is issued. Acknowledgement of the appeal will be made within 5 working days and a full written response will be made within 30 working days.

20. These Regulations should be read in conjunction with information about the use of the University Library, and information about the University Library and its services published on the University’s web pages.
REGULATION XXVI:
Regulations relating to Academic Costume

1. There shall be an Academic Costume for undergraduates who have satisfied the entrance requirements of the University. An undergraduate is required to wear academic dress at such University ceremonies as the Marshal shall determine.

2. The gown of the holder of an Undergraduate Certificate, Foundation Degree and Nursing Associates shall be made of black stuff to the pattern of the gown of the Oxford Bachelor of Arts; no hood shall be worn.

3. The gown of an Undergraduate Diplomate shall be made of black stuff to the pattern of the gown of the Oxford Bachelor of Arts; no hood shall be worn. The yoke and facing shall be edged in broad corded ribbon of the colour distinctive of the Faculty.

4. The gown of a Bachelor shall be made of black stuff to the pattern of the gown for the Oxford Bachelor of Arts.

5. The gown of a Postgraduate Diplomate and Postgraduate Certificate shall be made of black stuff to the pattern of the gown of the Oxford Bachelor of Arts.

6. The gown of a Master shall be made either of black stuff or black silk to the pattern of the gown for the Oxford Master of Arts.

7. The undress gown of a Doctor shall be made of black silk to a pattern similar to the undress gown for the Oxford Doctor of Civil Law.

8. (a) The full dress gown of a Doctor holding the Degree of LittD, DSc, LLd, DEng, DMet, DSc(Tech) and DMus shall be made of fine scarlet cloth to a pattern similar to the festal gowns worn by Cambridge Doctors. It shall be faced with green silk of the shade approved by the University and shall have sleeves lined with scarlet silk and looped with a green button and cord.

   (b) The full dress gown of a PhD, MD, DBA, DClinPsy, EdD, DdSc, DMedSci, DEdPsy, DEdCPsY and DMin shall be made of fine scarlet cloth and shall have bell-shaped sleeves. It shall be faced with green silk of the shade approved by the University.

9. The hood of a Bachelor shall be of the Cambridge shape, made of fine green cloth, of the shade approved by the University. It shall be half-lined with white fur (artificial), and edged round the tippet with a border of silk, of the colour distinctive of the degree and of the Faculty or the Extra-Faculty Learning and Teaching Committee.

10. The hood of a Postgraduate Diplomate and Postgraduate Certificate shall be of the Cambridge shape, made of green silk, of the shade approved by the University. It shall be edged in silk of the colour distinctive of the Faculty. The neckband shall be made of silk of the colour distinctive of the Faculty.

11. The hood of a Master shall be of the Cambridge shape, made of green silk, of the shade approved by the University. It shall be lined throughout with silk of the colour distinctive of the degree and of the Faculty.

12. The hood of a Doctor shall be of the Cambridge shape, made of red ottoman silk. It shall be lined throughout with silk of the colour prescribed in the following Regulations.

13. The colours of the silks distinctive of the various qualifications (except PhD and MPhil) shall be as follows:

   Faculty of Arts and Humanities
   Crushed strawberry - all qualifications except BMus, MMus and DMus
   Cream brocade - BMus, MMus, and DMus

   Faculty of Engineering
   Purple - all qualifications

   Faculty of Medicine, Dentistry and Health
   Red - Medicine and Surgery
   Pale rose pink - Dental Surgery
   Cerise - all other qualifications

   Faculty of Science
Regulations relating to Academic Costume

Apricot - all qualifications

*Faculty of Social Sciences*
Lemon yellow - all qualifications

*International Faculty*
Saxon blue - all qualifications

*Extra-Faculty Learning and Teaching Committee*
Pale blue – all qualifications

14. The colour of the silk distinctive of the degrees of Doctor of Philosophy and of the degree of Master of Philosophy shall be dark green.

15. (a) The cap to be worn by the holder of an Undergraduate Certificate, a Diplomate, a graduate undergraduate shall be black, and of the ordinary academic shape.

(b) A Doctor's cap shall be covered with velvet, all other caps with fine cloth.

16. A graduate, diplomate or associate in any Faculty may wear the academic costume prescribed in the Regulations in force at the time of the date of conferment of the Degree or award of the qualification.

17. A graduand and any other person who is to be presented at a Degree Congregation shall wear the appropriate academic dress over suitable clothes of subdued colour, or Naval, Military or Air Force uniform. The Marshal may exclude from presentation any candidate whose attire does not, in the Marshal's opinion, comply with this regulation.
CODE OF PRACTICE RELATING TO MEETINGS AND OTHER ACTIVITIES ON UNIVERSITY PREMISES

1. These Regulations are made in accordance with section 43 of the Education (No.2) Act 1986 with a view to taking the steps which are reasonably practicable to ensure that freedom of speech within the law is secured for members of the University, for persons attending events arranged by outside bodies, and for visiting speakers.

2. So far as is reasonably practicable, no premises of the University shall be denied to any individual or body of persons on any grounds connected with:
   (a) the beliefs or views of that individual or of any member of that body; or
   (b) the policy or objectives of that body.
This shall be without prejudice to the other legal obligations of the University which may require it to have regard to what is said on its premises, such as that speakers and audience engage with a range of views.

3. The following provisions of these Regulations shall apply to any event (which term includes any meeting or other activity) which is to be held on premises of the University, or premises hired by the University, if there is a real likelihood that a speaker may not be able to:
   (a) enter or leave the building safely; or
   (b) deliver his or her speech.
In these Regulations any reference to the premises of the University includes any premises occupied by the Students’ Union.

4. (a) Any permission, licence or contract for the use of University premises shall be subject to these Regulations.
   (b) Infringements of, or departures from, these Regulations in whatever respect may render those responsible subject to legal action on the part of the University or to disciplinary proceedings in accordance with the University’s Discipline Regulations and procedures.
   (c) Additionally, if any such actions involve breaches of the criminal law the University authorities will be ready to assist the prosecuting authorities to implement the processes of law.
   (d) The President & Vice-Chancellor shall act on behalf of the Council to ensure as far as is reasonably practicable that all members and staff of the University, outside bodies meeting on University premises and visiting speakers comply with the provisions of these Regulations.

5. (a) The organisers of any event to which these Regulations apply shall ensure that a single person is appointed as principal organiser of the event.
   (b) The principal organiser shall ensure that the University Room Bookings procedure is followed. The University shall conduct a risk assessment before granting or withholding permission for the event booking.
   (c) Any such permission shall be subject to these Regulations and may be subject to conditions as reasonably necessary to secure fulfilment of the University’s statutory responsibilities.
   (d) The President & Vice-Chancellor shall report to Council any decision to withhold permission for an event.
CODE OF PRACTICE RELATING TO THE STUDENTS’ UNION

Part II of the Education Act 1994 places a range of responsibilities on the governing bodies of university institutions in regard to the organisation of Students’ Unions. In particular, the governing body shall prepare and issue, and when necessary revise, a code of practice as to the manner in which certain requirements of the act are carried into effect.

1. This code of practice, issued by the University Council with the agreement of the Students’ Union, sets out how the University will carry out its responsibilities under the Act. The specific responsibilities to be included in the code are highlighted in italics below.

2. The Students’ Union qualifies as a Students’ Union within section 20 of the Act; no other organisation of students in the University falls within the definition.

Constitution

The student union should have a written constitution and the provisions of that constitution should be subject to the approval of the governing body at intervals of not more than five years.

3. The Students’ Union is governed by a constitution approved by the student body and endorsed by the University Council. The Constitution makes provision for regulations to be published in bye-laws which shall be approved by the student body.

4. Copies of the Constitution and Bye-laws are available on the Students’ Union web pages.

5. The Constitution is to be reviewed by the University Council at intervals of not more than five years. This need not mean a special quinquennial review of the Constitution. The Council may take the opportunity to review the terms of the Constitution should the Students’ Union at any time bring forward proposed amendments.

Membership

Students should have the right not to be members of the Students’ Union. Students who exercise that right should not be unfairly disadvantaged, with regard to the provision of services or otherwise, by reason of their having done so.

6. All persons who are registered students of the University as full-time or part-time candidates for degrees, diplomas or certificates shall, subject to this Constitution, and except for students of the International Faculty, be Full Members of the Students’ Union. The Bye-laws may provide limitations on this entitlement for short term or lower level attendance. Any student who wishes not to be a member, or who decides to withdraw from membership of the Students’ Union, should inform the President of the Students’ Union and the University Secretary in writing.

7. Any student not in membership of the Students’ Union is not entitled:
   (a) To participate in the democratic government of the Students’ Union and, in particular, to propose or vote in referenda, attend meetings, stand or vote in the election of Students’ Union Officers, Students’ Union Council and Working and Representative Committees, or play any part in any other comparable bodies that may be established.
   (b) To hold office in any Students’ Union Working or Representative Committee or Society.
   (c) To benefit from any concessionary rates for membership of Clubs and Societies, or for attendance at entertainments events, that may be offered to Students’ Union members.

8. Any such student shall cease to be a member immediately and may not be re-admitted to membership until the following academic session.

9. Students who are eligible for full membership, but have exercised the right not to be a member, shall have access to all services and activities provided by the Students’ Union other than those outlined in paragraph 7, and shall be subject to the same disciplinary procedures in relation to their use of these services and participation in these activities.

10. The University has made no special arrangements for the provision of services or facilities for non-members of the Students’ Union, since it is satisfied that the provision made by the University and the Students’ Union for all students, whether they are members of the Students’ Union or not, is sufficient to ensure that those who have exercised the right of non-membership under the Act are not unfairly disadvantaged. There will be no financial compensation to students who have exercised their right of non-membership.
Elections

Appointment to major Students’ Union offices should be by election in a secret ballot in which all members are entitled to vote. The governing body is required to satisfy itself that the Students’ Union elections are fairly and properly conducted.

11. Major Students’ Union offices shall be defined as full time sabbatical Students’ Union Officers.

12. All elections in the Students’ Union shall be conducted in accordance with regulations laid down in a bye-law to the Constitution which shall be approved by the University Council. These regulations ensure that appointment to major Students’ Union offices is by election in a secret ballot in which all full members are entitled to vote.

13. Any complaint regarding the conduct of elections shall be decided upon by Returning Officers appointed by the Students’ Union Council, subject to appeal to the Elections Appeals Committee and subsequently to Students’ Union Council. Any decision by Students’ Union Council shall be subject to appeal to the University Secretary, whose decision shall be final.

14. The University Secretary (or his/her nominee) may observe any part of the election process and an annual Returning Officers’ report will be made to the University Secretary on the conduct and outcome of the elections to the major Students’ Union offices.

A person should not hold paid elected Students’ Union office for more than two years in total.

15. Paid Students’ Union offices shall be defined as full time sabbatical Students’ Union Officers. No person shall hold such office for more than two academic years and this shall be provided for in the Constitution and bye-laws of the Students’ Union.

Finance, Affiliations and Donations

The financial affairs of the Students’ Union should be properly conducted and appropriate arrangements should exist for the approval of the Students’ Union’s budget and the monitoring of its expenditure by the governing body.

The Students’ Union is to publish a financial report annually or more frequently. The report is to be made available to the governing body and to all students and should contain, in particular, a list of external organisations to which the Students’ Union has made donations during the period to which the report refers and details of those donations.

If the Students’ Union decides to affiliate to an external organisation it must publish notice of its decision, stating the name of the organisation and details of any subscription or similar fee paid or proposed to be paid and of any donation made or proposed to be made to the organisation and such notice is to be made available to the governing body and to all students.

16. The Students’ Union Student Executive Committee shall prepare an annual budget prior to the commencement of each financial year, which shall be submitted to the Students’ Union Council for consideration and the Students’ Union Trustee Board for approval. The annual budget shall be presented for ratification to the University Finance Committee, which will report to University Council.

17. Annual audited accounts of the Students’ Union and its companies, including details of all affiliations to external bodies, shall be presented to the Trustee Board for approval, and subsequently shall be presented to the Students’ Union Council and University Council.

18. The accounts shall contain details of any donations made to external organisations during the financial year.

19. Affiliations and donations made by decision of a Referendum, Students’ Union Council, Working or Representative Committee or Students’ Union Officers shall be published in the annual accounts of the Students’ Union.

20. Any such affiliations or donations made subsequent to the publication of the annual accounts shall be published to students and notified to the University Secretary who shall make such notice available to the governing body.

When a Students’ Union is affiliated to any external organisation there are to be procedures for the review of affiliations under which the current list of affiliations is submitted for approval by members annually or more frequently, and at such intervals of not more than a year as the governing body may determine, a requisition may be made by such proportion of members (not exceeding 5 per cent) as the governing body may determine, that the question of continued affiliation to any particular organisation be decided upon by a secret ballot in which all members are entitled to vote.

21. An annual referendum will be held to consider the affiliation of the Students’ Union to the National Union of Students, and any other continuing affiliations previously agreed.
22. Any affiliation made by the Students’ Union may be rescinded by a decision of students made in accordance with the procedures for referenda outlined in the regulations contained in a bye-law to the constitution of the Students’ Union.

The procedure for allocating resources to student groups should be fair and should be set down in writing and be freely accessible to all students.

23. Procedures for the allocation of resources to societies recognised to the Students’ Union are included in a bye-law to the Students’ Union Constitution.

Complaints Procedure

There should be a complaints procedure available to all students or groups of students who are dissatisfied in their dealings with the Students’ Union, or claim to have been unfairly disadvantaged by reason of having exercised the right to not be a member. This procedure should include the provision for an independent person appointed by the governing body to investigate and report on complaints.

24. Any student or students who are dissatisfied in their dealings with the Students’ Union, or claim to be disadvantaged by reason of their having exercised the right to withdraw from membership, shall be entitled to have their complaint considered in accordance with the Students’ Union Bye-Laws which constitutes the Students’ Union’s complaints procedure and is available to all students. This procedure includes the right of appeal to the University.

The following are not required to be referenced in the code of practice, but it is an obligation for the governing body to bring these matters to the attention of students at least once a year. These will be published on the Students’ Union website and also included alongside this code.

Charity Law

25. The activities of the Students’ Union are restricted by the law relating to charities. Consequently, the Students’ Union cannot have a political purpose and must not seek to advance the interest of a political party, but it may seek to influence opinion on issues relating directly to its own stated purposes, provided such activity is within its powers. The ways in which charities may or may not legitimately engage in political activities is the subject of advice and legislation from time to time by the Charities Commissioners, courts of law, Parliament and government departments.

Freedom of Speech

26. The University is responsible under section 43 of the Education (No.2) Act 1986 for the provision of safeguards to ensure freedom of speech within the law.

27. The provisions for freedom of speech are made in the University Calendar under the Code of Practice Relating to Meetings and Activities on University Premises.

Code of Practice

28. This code of practice is brought to the attention of students annually by publication on the University web pages as part of the University Calendar. It is also published on the Students’ Union web pages.

Information for prospective students

29. The right of a student to not be a member of the Students’ Union, and the arrangements for students to still access those services provided by the Students Union for all students whether members or not, should be made available to information available to prospective students via inclusion in the prospectus and on the Students’ Union website.
THE UNIVERSITY OFFICERS
As at 1 January 2020

The Chancellor
The Rt Hon Lady Justice Rafferty, DBE

The Pro-Chancellors
A M Hope, BSc, FCA (ICAEW)
R Mayson, BA
A P Pedder, OBE, DL, BSc, MSc

The President & Vice-Chancellor
Professor K Lamberts, PhD

The Provost & Deputy Vice-Chancellor
Professor G Valentine, BA, PhD, FBA, FAcSS, FRGS

The Vice-Presidents
Professor J Derrick, BSc, DPhil
Professor S Fitzmaurice, BA, MPhil, PhD, FRSA
Professor S E Hartley, OBE, BA, DPhil, FRES
Professor M J Hounslow, BE, PhD, FREng, FIChemE, CEng
Professor C W Morgan BA, PhD, FRSA
Professor C Newman, MA, PhD, FRCP (Interim Appointment from 1 September 2019)
Professor D N Petley, BSc, PhD, FRGS
Professor C A Watkins, BA, PhD, FAcSS, FRSA

The Treasurer
J T Sutcliffe, BA, ACA

The University Secretary
Dr T Strike, MSc, PhD, DMS, CertEd, FCIPD
THE UNIVERSITY COUNCIL
As at 1 January 2020

The Pro-Chancellors
A M Hope, BSc, FCA (ICAEW)
R Mayson, BA
A P Pedder, OBE, DL, BSc, MSc

The Treasurer
J T Sutcliffe, BA, ACA

The President & Vice-Chancellor
Professor K Lamberts, PhD

Not fewer than two and not more than three Senior Academic Officers appointed annually by the Chair of Council in consultation with the President & Vice-Chancellor
Professor C W Morgan BA, PhD, FRSA
Professor D N Petley, BSc, PhD, FRGS
Professor G Valentine, BA, PhD, FBA, FAcSS, FRGS

Not fewer than seven and not more than eight Persons appointed by the Council
D J Bagley, BA, FCA
A Belton, BSc, ACIB, DipFS
Professor K Layden, BSc, PhD, FRSC
Dr J W Nicholls, BA, PhD
S Sly, LLB
A P Wray, BSc

Vacancy

Three Members of the Senate elected by the Senate
Professor J Brazier, BA, MSc, PhD, FMedSci
Professor L Maltby, BSc, PhD

Vacancy

The President of the Union of Students
J A Verity, BA

One person to be elected by and from the employees of the University who are not members of the Academic or Academic-related Staff
G Hague, BA

Secretary to the Council
Dr T Strike, MSc, PhD, DMS, CertEd, FCIPD
THE UNIVERSITY SENATE
As at 1 January 2020

The President & Vice-Chancellor
Professor Koen Lamberts

The Provost & Deputy Vice-Chancellor
Professor Gill Valentine

The Vice-Presidents

Education
Innovation
Research
Faculty of Arts & Humanities
Faculty of Engineering
Faculty of Medicine, Dentistry & Health
Faculty of Science
Faculty of Social Sciences

Professor Wyn Morgan
Professor Dave Petley
Professor Sue Hartley
Professor Susan Fitzmaurice
Professor Michael Hounslow
Professor Chris Newman (Interim)
Professor John Derrick
Professor Craig Watkins

Faculty Directors of Learning & Teaching

Faculty of Arts & Humanities
Faculty of Engineering
Faculty of Medicine, Dentistry & Health
Faculty of Science
Faculty of Social Sciences

Dr David Forrest
Professor Rachel Horn
Dr Vanessa Halliday
Professor Luke Wilson
Professor Kate Morris

Faculty Directors of Research & Innovation

Faculty of Arts & Humanities
Faculty of Engineering
Faculty of Medicine, Dentistry & Health
Faculty of Science
Faculty of Social Sciences

Professor Nicola Dibben
Professor George Panoutsos
Professor Wendy Baird
Professor Simon Foster
Professor John Flint

Chairs of Committees who are not otherwise members
Professor Richard Jackson

Heads or Acting Heads of Departments nominated to membership of the Senate

Architecture
Automatic Control & Systems Engineering
Biomedical Science
Chemical & Biological Engineering
Chemistry
Civil & Structural Engineering
School of Clinical Dentistry
Computer Science
School of Education
Electronic & Electrical Engineering
History
Infection, Immunity & Cardiovascular Disease
Journalism Studies
Law

Professor Karim Hadjiri
Professor Daniel Coca
Professor Kathryn Ayscough
Professor Jim Litster
Professor Graham Leggett
Professor Joby Boxall
Professor Chris Deery
Professor Guy Brown
Professor Elizabeth Wood
Professor Chee Hing Tan
Professor Adrian Bingham
Professor Tim Chico
Professor Jacqueline Harrison
Professor Graham Gee
Executive Director of Academic Services
Mr Rob Sykes

The Librarian
Mrs Anne Horn

Elected representatives of staff in Grade 7 and above

For the period until 2022

Professor Stephen Beck  Faculty of Engineering
Dr Lynne Bingle  Faculty of Medicine, Dentistry & Health
Mrs Anna Cantrell  Faculty of Medicine, Dentistry & Health
Dr Julian Crockford  Professional Services
Professor Jane Grasby  Faculty of Science
Professor Russell Hand  Faculty of Engineering
Mrs Ana Hidalgo-Kingston  Professional Services
Dr Nicholas Murgatroyd  Extra-Faculty
Dr Siobhán North  Faculty of Engineering
Mrs Zoe Ollerenshaw  Faculty of Social Sciences
Dr Stefanie Pukallus  Faculty of Social Sciences
Dr Simon Rushton  Faculty of Social Sciences
Dr Sarah Staniland  Faculty of Science
Professor Mary Vincent  Faculty of Arts & Humanities
Dr Thomas Walther  Faculty of Engineering

For the period until 2021

Dr Parveen Ali  Faculty of Medicine, Dentistry & Health
Professor Sumon Bhaumik  Faculty of Social Sciences
Professor Caitlin Buck  Faculty of Science
Dr Julie Jones  Faculty of Social Sciences
Professor Janine Kirby  Faculty of Medicine, Dentistry & Health
Dr Louise Robson  Faculty of Science
Mr Richard Simpson  Professional Services

For the period until 2020

Mr Abualbishr Alshreef  Faculty of Medicine, Dentistry & Health
Professor Peter Bath  Faculty of Social Sciences
Mrs Lisa Bradley  Faculty of Social Sciences
Ms Peta Kirk  Faculty of Engineering
Professor Lorraine Maltby  Faculty of Science
Mr Dan Newberry  Professional Services
Professor Derek Sinclair  Faculty of Engineering
### Six Student Officers

<table>
<thead>
<tr>
<th>Role</th>
<th>Name</th>
</tr>
</thead>
<tbody>
<tr>
<td>President</td>
<td>Mr Jake Verity</td>
</tr>
<tr>
<td>Education Officer</td>
<td>Mr Charlie Porter</td>
</tr>
<tr>
<td>Students’ Union representative</td>
<td>Mr Dimitrios Boufidis</td>
</tr>
<tr>
<td>Students’ Union representative</td>
<td>Ms Loma Jones</td>
</tr>
<tr>
<td>Students’ Union representative</td>
<td>Ms Mengya Li (International Officer)</td>
</tr>
<tr>
<td>Students’ Union representative</td>
<td>Ms Charlea Murphy</td>
</tr>
</tbody>
</table>

### Secretary to the Senate

Dr Tony Strike (University Secretary)
THE STUDENTS’ UNION
As at 1 August 2019

STUDENT OFFICERS

President:
J A Verity, BA

Activities Officer:
M D Evans, BSc

Education Officer:
C D Porter, BA

SU Development Officer:
H Carling, BA

International Students’ Officer:
M S Li (completes degree in 2020)

Sports Officer:
B P Bowles, LLB

Welfare Officer:
B Maddison, BSc

Women’s Officer:
R W H Tully (completes degree in 2020)

SENIOR STAFF

Chief Executive:
J A Booth, BCom

Director of Membership Engagement:
L Scanlon, CIM

Director of Social Enterprise:
C D Aucott

Director of Digital Transformation:
R Copley, BSc, MSc

Deputy Director of Membership Engagement:
K Fraser, BA, CIS (Affiliated)
## HONORARY GRADUATES

<table>
<thead>
<tr>
<th>Date of Degree</th>
<th>Honorary Graduates</th>
</tr>
</thead>
<tbody>
<tr>
<td>1966</td>
<td>Her Majesty Queen Elizabeth The Queen Mother, DMus</td>
</tr>
<tr>
<td>2008</td>
<td>His Highness Sheikh Dr Sultan Bin Mohammed Al-Qasimi, LittD</td>
</tr>
<tr>
<td>1909</td>
<td>His Royal Highness The Prince of Wales (King George V), LittD</td>
</tr>
<tr>
<td>1930</td>
<td>His Royal Highness Prince George (Duke of Kent), LLD</td>
</tr>
<tr>
<td>1926</td>
<td>Her Royal Highness The Princess Mary, Viscountess Lascelles (Princess Royal, Countess of Harewood), LLD</td>
</tr>
<tr>
<td>2007</td>
<td>Her Royal Highness Crown Princess Katherine of Serbia, LittD</td>
</tr>
<tr>
<td>2016</td>
<td>Aileen Kirkpatrick Adams, MD</td>
</tr>
<tr>
<td>2016</td>
<td>George Robert Adams, LittD</td>
</tr>
<tr>
<td>1948</td>
<td>The Right Honourable Viscount Addison of Stallington, LLD</td>
</tr>
<tr>
<td>1955</td>
<td>The Right Honourable the Lord Adrian, DSc</td>
</tr>
<tr>
<td>1992</td>
<td>Datuk Haji Sharom Ahmat, LittD</td>
</tr>
<tr>
<td>1992</td>
<td>Yang Berbahagia Dato’ Hisham Albakri, LittD</td>
</tr>
<tr>
<td>1947</td>
<td>The Right Honourable Albert Victor Alexander (Viscount Alexander of Hillsborough), LLD</td>
</tr>
<tr>
<td>1991</td>
<td>The Right Honourable the Lord Alexander of Weedon, LLD</td>
</tr>
<tr>
<td>2008</td>
<td>Reverend Canon Adrian Alker, MA</td>
</tr>
<tr>
<td>2008</td>
<td>John Allan, LittD</td>
</tr>
<tr>
<td>1993</td>
<td>Sir Geoffrey Allen, DSc</td>
</tr>
<tr>
<td>1926</td>
<td>Sir Hugh Percy Allen, LittD</td>
</tr>
<tr>
<td>1994</td>
<td>John Robert Lawrence Allen, LLD</td>
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<td>Lee Hong-Koo, LittD</td>
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<td>Lee Quan Yew, LLD</td>
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<td>Jean-Marie Pierre Lehn, DSc</td>
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Honorary Graduates

1922  Frank John Leslie, MA
1968  Isidore Lewis, LLD
2018  Lowell Lyttleton Lewis, MD
2009  Michael Hugh Arthur Lewis, LittD
2011  Tomas Robert Lindahl, DSc
1992  The Right Honourable the Lord Lewis of Newnham, DSc
1928  Sir Thomas Lewis, DSc
2003  Sir John Lilleyman, MD
1996  Lim Neo Chian, Deng
2014  Xu Lin, LittD
2016  Norman Ling, LittD
1999  Maureen Diane Lipman, LittD
2009  Nicholas Joseph OrvilleLiverpool, LLD
2001  Douglas Brian Liversidge, DEng
2005  Pamela Liversidge, DEng
1929  Frederick Lloyd, MEng
1955  The Right Honourable Selwyn Lloyd, LLD
2007  David Locker, DSc
1910  Sir Joseph Norman Lockyer, DSc
1910  Sir Oliver Joseph Lodge, DSc
1985  Sir Thomas Lodge, MD
1995  Hugh Christopher Longuet-Higgins, DMus
1983  James Loughran, DMus
2003  Calliopa Pearlette Louisey, LLD
1994  Loy Hean Heong, LLD
2000  Colin Renshaw Lucas, LittD
1908  Just Marie Marcellin Lucas-Championniere, DSc
1997  The Right Reverend David Ramsey Lunn, LLD
2013  David Edward Luscombe, LittD
1987  Jonathan Adam Lynn, MA
1996  Fiona Caroline MacCarthy, LittD
2002  Iain MacIntyre, MD
2015  Julia Macur, LLD
1959  Joseph Madin, LLM
2019  Juergen Maier, DEng
2015  Emily Maitlis, LittD
2003  Marion Maitlis, MA
2017  Lee Elliot Major, DSc
1960  Sir Roger Mellor Makins, (Lord Sherfield), LLD
1978  George John Malcolm, DMus
1957  Thomas Goddard Mander
2005  Hilary Mantel, LittD
1997  Robert John Margetts, DEng
1936  Violet Rose Markham, LittD
2002  David Ian Quandt, LittD
1926  Harry Parker Marsh, LLD
1972  Sir Oshley Roy Marshall, LLD
1908  Sir Charles James Martin, DSc
1992  Thomas John Martin, MD
1946  John Masefield, LittD
2014  Paul Mason, LittD
1953  Sir James Irvine Orme Masson, LLD
1964  Christina Mary Mather, MA
1992  Ahmad Mohamed Mattar, LittD
2016  Nicholas Matthew, LittD
1963  Sir Robert Hogg Matthew, LLD
1933  Ellen Maud Maxfield, MA
2005  Lord May of Oxford, DSc
1999  Michael John May, DMet
2010  Kevin Charles McCabe, LittD
2012  John David McClean, LittD
1974  John Gibb McCrie, MD
1995  Sir Meredith Alister McIntryre, LLD
2001  Neil Stuart McKerlie, MA
1954  Thomas Aloysius McKenna, LLD
1998  Dermot Henry McLain, DSc
1965  Sir John McMichael, DSc
1924  The Reverend George Henry McNeal, MA
2000  James McQuaid, Deng
2008  Maureen McTeer, LLD
1968  Thomas William Melhuish, MA
1933  Lady Mellanby, DSc
1934  Sir Edward Mellanby, DSc
1983  Kenneth Mellanby, DSc
1986  David Mellor, LittD
1997  David Melville, DSc
1967  Sir Eric Mensforth, DEng
1984  Sir Peter Edward Middleton, LittD
1910  Sir Henry Alexander Miers, DSc
1975  Anthony Miles, MA
1972  Edward Miller, LittD
1980  Harold Miller, DSc
2005  Keith John Miller, DEng
2000  Roy Millington, LittD
1943  Samuel Roslington Milner, DSc
2013  Antonio Minichiello, LittD
2007  George John Mitchell, LLD
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<td>Alan James Peech, LLB</td>
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Honorary Graduates

2010    George Henry Neville Peel, LittD
1926    Dame Emily Penrose, LLD
1951    Dame Lillian Margery Penson, LittD
1982    Donald Hill Perkins, DSc
1956    James Alexander Petch, LLD
2015    Stephen Peters, MD
1954    Ernest William Pettifer, MA
1959    Sidney Augustus Peyton, LittD
1998    David Chilton Phillips (Lord Phillips of Ellesmere), DSc
1939    Miles Harris Phillips, DSc
1939    Sir Arthur Wallace Pickard-Cambridge, LittD
1978    William Roy Piggott, DSc
1996    Vivien Dorothy Pike, MMus
1956    Lawrence Herbert Pike, DSc
1974    Reginald Pilkington, LLD
1977    Herbert Harkness Pilling, LLM
1988    Jens Jørgen Pindborg, MD
2005    Trevor Pinnock, DMus
1959    Sir Robert Platt, Baronet, (Baron Platt of Grindleford), LLD
2001    Baroness Platt of Writtle, DSc
1930    The Right Honourable Herbert Charles Onslow Plumer, Viscount Plumer of Messines and Bilton, Yorkshire, LLD
2005    Dame Julia Polak, DSc
1992    Sidney Pollard, LittD
1968    The Right Honourable the Lord Porter of Luddenham, DSc
2012    Dirkje Sjoukje Postma, MD
1999    David Edwin Potter, DSc
2002    Paul John Potts, LittD
2004    Keith Povey, LLD
1997    Ghillean Tolmie Prance, DSc
1956    Sir Raymond Edward Priestley, LLD
1980    Jacqueline du Pré, DMus
2016    Irina Prokhorova, LittD
2013    Dame Gillian Mary Pugh, LittD
1966    William David Pugh, DMet
1993    Sir William Purves, LLd
1980    Arthur George Quarrell, DMet
1992    John Rodney Quayle, DSc
1914    The Right Reverend John Nathaniel Quirk, Lord Bishop of Jarrow, LittD
1989    Sir Randolph Quirk, LittD
1981    Stanley Race, DScTech
2017    Neil Rackham, DSc
1999    George Karoly Radda, DSc
2005    Dame Anne Judith Rafferty, LLD
1922    William Emsley Raley, MA
1997    Tan Sri Ramli Ngah Talib, LLD
1998    Mamphela Aletta Ramphele, MD
1910    Sir William Ramsay, DSc
1996    Bernard Rands, DMus
1999    Peter Hamilton Raven, DSc
2012    Sir Michael David Rawlins, MD
2015    John Anthony Rawsthorne, LittD
2013    Sir David John Read, DSc
2001    Peter William Redgrove, LittD
2005    Laurence Rees, LittD
1987    Andrew Colin Renfrew, LittD
1968    Sir John Renwick, LLD
1982    Henning Graf von Reventlow, LittD
2006    Fiona Clare Reynolds, LittD
2005    President George Maxwell Richards, LittD
1994    John Richmond, MD
2014    Kathryn Elizabeth Riddle, LittD
1981    Derrick Newton Riley, PhD
1984    Sir Ralph Riley, LLD
1995    John David Rimington, DSc
1934    The Right Honourable the 1st Baron Riverdale of Sheffield, LLD
1967    Lionel Charles Robbins, Baron Robbins of Clare Market, LLD
2002    Sir Gareth Roberts, DSc
2006    John Maxwell Roberts, DEng
1994    Richard John Roberts, DSc
2007    Timothy Wace Roberts, LLD
1936    Sir Charles Grant Robertson, LittD
1961    Sir Dennis Holme Robertson, LittD
2001    Sir Ralph Robins, DEng
1946    Sir Robert Robinson, DSc
1975    Sir Hugh Norwood Robson, LLD
2000    Peter Neville Robson, Deng
2009    Daniel Frederick Gordon Rohlehr, LittD
1998    Jack Morris Rosenthal, LittD
1996    Ian Craig Ross, MEng
2010    Sheila Rowbotham, LittD
2002    David Rowe, DMus
1999    Philip Charles Ruffles, DEng
2003    Robert Graham Goodwin Russell, MD
Honorary Graduates

1988 Charles Ryan, MA
1913 Sir Michael Ernest Sadler, LittD
1996 Jane Elizabeth Salvage, LLD
1991 Steven Browning Sample, LLD
2006 Gillian Mary Ryder Samuels, DSc
1962 Frank Henry Saniter, DScTech
2018 Ann Sansom, LittD
2018 Peter Sansom, LittD
1995 Stuart John Saunders, LLD
1989 Wilfred Leonard Saunders, LittD
2009 John Stewart Savill, MD
1979 John Arthur Saxton, Deng
2008 Joe Scarborough, LittD
1918 The Right Honourable the 10th Earl of Scarbrough, LLD
1951 The Right Honourable the 11th Earl of Scarbrough, LLD
2001 The Right Honourable the 12th Earl of Scarbrough, LLD
2002 Geoffrey Christopher Schild, DSc
1987 Sir Robert Scholey, DEng
1983 James Alexander Scott, LLD
2004 Pavel Seifter, LittD
2007 The Most Revd and Rt Hon John Mugabi Tucker Sentamu (Lord Archbishop of York), LittD
1997 Paul William Seton, LLD
2002 Dame Margaret Seward, DSc
1994 Lord Shackleton of Burley, DEng
2017 Helen Patricia Sharman, DSc
1931 Sir Percival Sharp, LLD
1991 Mary Edith Sharrock, MA
1994 Joseph Henry Hinggiam Sheares, MD
2005 Xie Shengwu, DSc
1910 Sir Charles Scott Sherrington, DSc
1989 Douglas Shortridge, DSc
1939 The Right Honourable the Viscount Simon of Stackpole, LLD
2017 David Simons, MD
2005 Joe Simpson, LittD
2016 Charles Robert Sims, DEng
1991 Geoffrey Donald Sims, LLD
1955 Dame Edith Sitwell, LittD
1951 Sir Osbert Sitwell, Baronet, LittD
2004 Sir Reresby Sitwell, LittD
1979 Sir Sacheverell Sitwell, LittD
2001 Kenneth Herbert Sleaford, MMus
1988 Gerald Patrick Smedley, DEng
1996 John Michael Smethurst, LittD
1963 Albert Hugh Smith, LittD
2004 Colin John Smith, DSc
1936 Sir Frank Edward Smith, DSc
1926 The Very Reverend Sir George Adam Smith, LittD
1927 George Charles Moore Smith, LittD
1984 John Cyril Smith, LLD
2015 Roderick Arthur Smith, DEng
1949 William Mackenzie Smith, LLD
1931 Field Marshal the Right Honourable Jan Christiaan Smuts, LLD
1980 Ian Bruce Sneddon, MD
1908 Simeon Snell, DSc
1922 Mary Jane Sorby, MA
1959 Herbert Southern, MScTech
1955 Sir Richard Vynne Southwell, DEng
1991 Stanley Southern, LLD
1981 Alfred Spinks, DSc
2002 Lewis Spitz, MD
2018 Sarah Marcella Springman, DEng
2013 Adrian Martyn Christopher (Kim) Staniforth, LittD
1924 Ernest Henry Starling, DSc
1910 John Edward Stead, DMet
1988 Stuart Stearns, DSc
1970 Erwin Stengel, MD
1948 Sir Frank Merry Stenton, LLD
1955 Sir Henry Francis Blake Stephenson, Baronet, LLD
1918 Sir Henry Kenyon Stephenson, Baronet, LLD
1963 William Raymond Shirecliffe Stephenson, MA
1968 James Wilfred Sterland, LLD
1995 Michael John Howard Sterling, Deng
2008 Nicholas Herbert Stern (Baron Stern of Brentford), LittD
1991 William Duncan Paterson Stewart, DSc
2007 Charles James Matthew Stirling, DSc
2008 Sir James Fraser Stoddart, DSc
1998 John Maurice Stoddart, LLD
2010 David Radcliffe Stone, LittD
2013 Helen Storey, DSc
1986 Robert Street, DSc
1976 Sir Charles Herbert Stuart-Harris, DSc
1912 The Right Honourable Charles Beilby Stuart Wortley, (Lord Stuart of Wortley), LLD
1924 Robert Styring, LLD
2008 Lakshminarayana Subramaniam, DMus
1972 Willie Sucksmith, DSc
2016 Richard Summers, DSc
1934 Walter Coventry Summers, LittD
1992 Harold Thomas Swan, LittD
1908 Sir Henry Rosborough Swanzy, DSc
2003 Jim Swithenbank, DEng
1955 Sir Charles Sykes, DMeta
1996 Hugh Ridley Sykes, LLD
1999 Sir Richard Brook Sykes, DSc
1998 Toshiyuki Takamiya, LittD
1998 Tony Tan Keng Yam, LLD
2016 Kenzo Tange, LittD
1970 Tiki Tansey, MD
1956 Savak Sohrab Tarapore, LLD
2017 Simon Tavaré, DSc
1953 Richard Henry Tawney, LittD
1977 Robert Donald Teare, LLD
1965 Dame Grace Tebbutt, LLD
1946 Marshal of the Royal Air Force, Lord Tedder of Glenguin, LLD
1931 The Most Reverend and Right Honourable William Temple, Lord Archbishop of York, (Lord Archbishop of Canterbury), LittD
1977 Frederick Charles Thomas, LLD
1992 Sir Keith Thomas, LittD
1982 Neville Thomas, MA
1997 Terence Ash Thomas, LLD
1954 Francis William Thompson, LittD
1956 Sir George Paget Thomson, DSc
1910 Sir Joseph John Thomson, DSc
1985 Anthony Hugh Thornton, MA
1985 Frederick Alfred Thorpe, LittD
1908 Hermann Tillmans, DSc
1976 Sir Michael Tippett, DMus
1953 Edward Charles Titchmarsh, DSc
1948 Sir Henry Thomas Tizard, LLD
1961 Sir Alexander Robertus Todd, (Baron Todd of Trumpington), DSc
1984 Reverend Canon George Tolley, DSc
2012 Stephen Tomlinson, DSc
2016 Sir John Edward Tooke, MD
1961 Donald Thomas Alfred Townend, DScTech
1990 Donald Gilchrist Trelford, LittD
1947 George Macaulay Trevelyan, LittD
2011 Athanasios Sotiris Tsafararis, DSc
1954 William Ernest Stephen Turner, DScTech
1979 David Arthur John Tyrrell, DSc
2012 Robert Ian William Upton, LittD
1974 Donald John Urquhart, DSc
1992 Alan Usher, DSc
2015 Rosie Valerio, LittD
1955 Dame Ninette de Valois, DMus
1997 Marianna Vardinoyannis, LLD
1994 Geza Vermes, LittD
1923 Douglas Vickers, DEng
1908 Thomas Edward Vickers, DMet
1934 William James Nathaniel Vincent, MA
1965 Herbert Willan Wadge, MA
2004 Jeffrey Wadsworth, DEng
1977 Ralph Louis Wain, DSc
2007 Derek Alton Walcott, LittD
2006 David Alan Walker, LittD
1933 The Reverend Edward Mewburn Walker, LittD
1918 George Blake Walker, MEng
2007 Lorna Stuart Walker, DEng
2006 Mark Jeremy Walport, DSc
1987 Sir John Nicholas Walton, MD
1955 Sir Ashley Skelton Ward, LLD
1957 George Herbert Bridges Ward, MA
2001 John Dale Ward, MD
1939 Joseph Ward, LLD
2013 James Wardrope, MD
2019 Daniel Meirion Walker, LittD
2011 David Alexander Warren, LittD
2019 Christopher Richard Watson, DMus
2013 Elizabeth Watts, DMus
1967 Sir Edward Johnson Wayne, DSc
1989 Sir David Weatherall, MD
1964 Noel Edwin Webster, DEng
1960 Cicely Veronica Wedgwood, LittD
1951 Sir Ronald Morce Weeks, (1st Baron Weeks of Ryton, Co Durham), DScTech
2019 Anthony Peter Weetman, MD
2015 Simon Charles Wessely, MD
2018 Dominic G F E West, LittD
1993 John Harald Westergaard, LittD
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### EX-OFFICIO GRADUATES

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<td>Linda Barbara Morewood, MA</td>
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<td>Lucius Trant O'Shea, MSc</td>
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